

## Faculty Presenters

- **Seema A. Khan, MD**, is Professor of Surgery at Northwestern University Feinberg School of Medicine and the Bluhm Family Professor of Cancer Research. She also serves as the co-leader of the Women's Cancer Research Program at Robert H. Lurie Comprehensive Cancer Center. Dr. Khan is a member of the NCCN Breast Cancer Panel and the NCCN Ovarian Cancer Panel.
- **Kilian E. Salerno, MD**, is Assistant Professor of Radiation Oncology and Director of Breast Radiation and Soft Tissue/Melanoma Radiation at Roswell Park Cancer Institute in Buffalo, New York. Dr. Salerno is a member of the ASCO Postmastectomy Radiation Guidelines Panel and the NCCN Breast Cancer Panel.
- **Benjamin O. Anderson, MD**, is Professor of Surgery and Global Health Medicine at the University of Washington and Chair of the Breast Health Global Initiative in the Division of Public Health Sciences at Fred Hutchinson Cancer Research Center. He also serves as Director of the Breast Health Clinic at the Seattle Cancer Care Alliance. Dr. Anderson serves as Vice Chair on the NCCN Breast Cancer Panel and as Chair of the NCCN International Program Steering Committee. He also leads the NCCN Resource Stratification Working Group.



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National Comprehensive Cancer Network

## SABCS Updates: Local Therapy Surveillance, Prevention, & Local Therapy

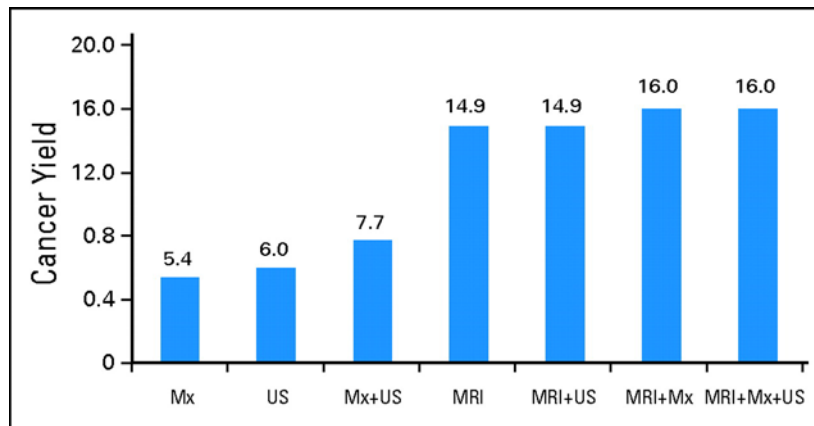
**Seema A. Khan, MD**  
Professor of Surgery  
Bluhm Family Professor of Cancer Research  
*Robert H. Lurie Comprehensive Cancer  
Center of Northwestern University*

# Surveillance

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## Which surveillance modality?

- Cancer yield of the different imaging methods, alone or in combination
- Women with a lifetime risk of >20%, based on the BRCAPro model.



Christiane Kuhl et al. JCO 2010;28:1450-1457

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## Surveillance of non-BRCA familial risk

Mark Robson, MD, *Memorial Sloan Kettering Cancer Center*

### Actionability requires clinical validity

#### Summary: 2015

Gene	Breast	Ovary	Other
ATM	Y (OR 2.8)	N	?Pancreas
CHEK2*	Y (OR 3.0)	N	?Colon
PALB2	Y (OR 5.3)	N	?Pancreas
NBN*	Y (OR 2.7)	N	
BRIP1	N	Y (OR 3.4-11.2)	
RAD51C/D	N	Y (OR 5.2-12)	
RAD51B	N	N	
BARD1	N	N	
MRE11A/RAD50	N	N	

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## Actionability is a matter of thresholds

### Breast MRI guidelines for non-BRCA patients

Group	NCCN/ACS/ACR	Ontario	NICE	GC-HBOC	Australia Medicare	IKNL 2012
Threshold	>20% LTR	>25% LTR	NR	>30% LTR	Strong FH (complex definition)	NR
Age Start	10 yrs prior (>30)	30	NA	25	Not specified	NA
Age Stop	--	69	NA	69 or ACR1	50	NA
Other					ATM 7271T>G PALB2 3113G>A	

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## Estimated cumulative risks (%) RR varying with age, no competing risk

Gene	30	40	50	60	70	80
No mutation	0.1%	0.5%	2.0%	4.4%	8.0%	12.0%
ATM (constant)	0.1%	1.4%	5.6%	11.8%	20.8%	30.0%
ATM (OR 5 to 50, then 2)	0.3%	2.4%	9.7%	14.0%	20.4%	27.1%
CHEK2 (constant)	0.2%	1.5%	5.9%	12.6%	22.1%	31.8%
CHEK2 (declining)	0.4%	1.6%	5.7%	10.5%	17.0%	23.4%

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## Problems with “cumulative lifetime risk”

- No consensus on how to calculate
  - To what age?
  - Remaining risk falls as absolute risk rises
- Nearly impossible to do calibration studies
- Not helpful in deciding when to initiate
- Good for classification of risk from a gene
- Not so good for individual decision-making

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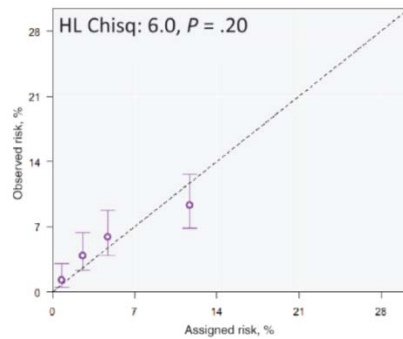
# Advantages for shorter horizons

Less discordance

Calibrations studies are feasible

Guidance as to when to begin surveillance

A IBIS model

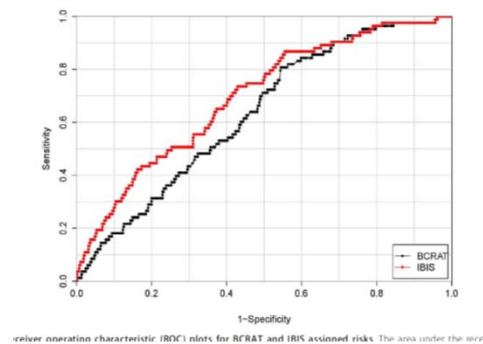
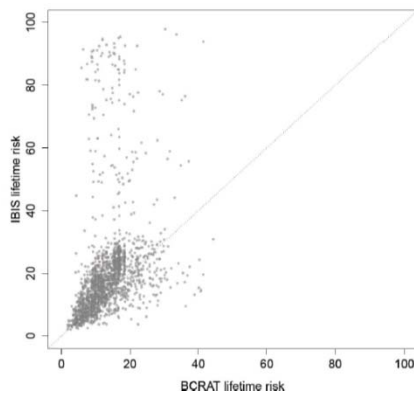


B BOADICEA model

- Observed BC risk (10 yr)
  - 4.8% (95% CI 4.2-6.5%)
- Predicted Mean Risks
  - IBIS 3.9%
  - BOADICEA 3.0%

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## Gail versus IBIS, lifetime estimates



## Summary

- Proposed target 5-year risk of 2.5% for MRI
  - Likely to be relatively sensitive for detection
  - PPV will be low (<10%)(risk-benefit? Cost-effectiveness?)
  - Threshold met at age 45 by “average” mod pen carrier
  - “Average” PALB2 carriers meet at 35
  - Multiplicative effect of FH would move ~5 yrs younger

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## Summary suggestions

Gene	Breast MRI*	RRSO*	Colonoscopy	Pancreas
ATM	45 years (40 w/ FH)	No	Population	No
CHEK2 truncating	45 years (40 w/ FH)	No	Consider at 40?	No
CHEK2 missense	No (FH model)	No	Population	No
PALB2	35 years (30 w/FH)	No	Population	No
NBN	45 years (40 w/ FH)	No	Population	No
BRIP1	No (FH model)	45-50	Population	No
RAD51C	No (FH model)	50-55	Population	No
RAD51D	No (FH model)	45-50	Population	No
APC I1307K	No (FH model)	No	Consider at 40?	No
MUTYH Mono	No (FH model)	No	Population	No

FH model: MRI if modeled 5 year risk >2.5%  
Mutations in other genes should be managed according to FH

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## Issues to resolve regarding thresholds for interventions

- Shorter thresholds make a lot of sense
- But they are very age dependent.
- Problem remains, which threshold?
- Which model?

Prevention

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# Anastrozole versus tamoxifen for the prevention of loco-regional and contralateral recurrence in postmenopausal women with Ductal Carcinoma In-Situ (IBIS-II DCIS)

**Jack Cuzick**

Ivana Sestak, Anthony Howell, Bernardo Bonanni, Nigel Bundred, Christelle Levy, Gunter von Minckwitz, Wolfgang Eiermann, Patrick Neven, Michael Stierer, Chris Holcombe, Robert E. Coleman, Louise Jones, Ian Ellis, John F. Forbes on behalf of the IBIS-II investigators

Centre for Cancer Prevention, Wolfson Institute of Preventive Medicine, Queen Mary University London, London, UK  
Genesis Breast Cancer Prevention Centre, Manchester, UK  
Division of Cancer Prevention and Genetics, European Institute of Oncology, Milan, Italy  
South Manchester University Hospital, Manchester, UK  
Centre François Badlesse, Caen, France  
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University of Leuven, Leuven, Belgium  
Austrian Breast and Colorectal Cancer Study Group, Vienna, Austria  
Royal Liverpool University Hospital, Liverpool, UK  
Weston Park Hospital, Sheffield, UK  
Barts Cancer Institute, John Vane Science Centre, London, UK  
University of Nottingham, Molecular Medical Sciences, Nottingham, UK  
University of Newcastle, Calvary Mater Hospital, Australia New Zealand Breast Cancer Trials Group Newcastle, Australia

Cuzick J, Sestak I, Howell A, et al. Presented at the 2015 San Antonio Breast Cancer Symposium; San Antonio, TX; December 8-12, 2015. Abstract S6-03  
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## Trial Schema

### Postmenopausal women:

- Aged 40-70 years
- Locally excised ER+ DCIS within last 6 months
- Atypical hyperplasia/LCIS

N=2980

**Anastrozole 1mg/day  
plus tamoxifen placebo  
(N=1471)**

**Tamoxifen 20mg/day  
plus anastrozole placebo  
(N=1509)**

5 years

Ongoing

**Primary analysis: 1449 anastrozole - 1489 tamoxifen**

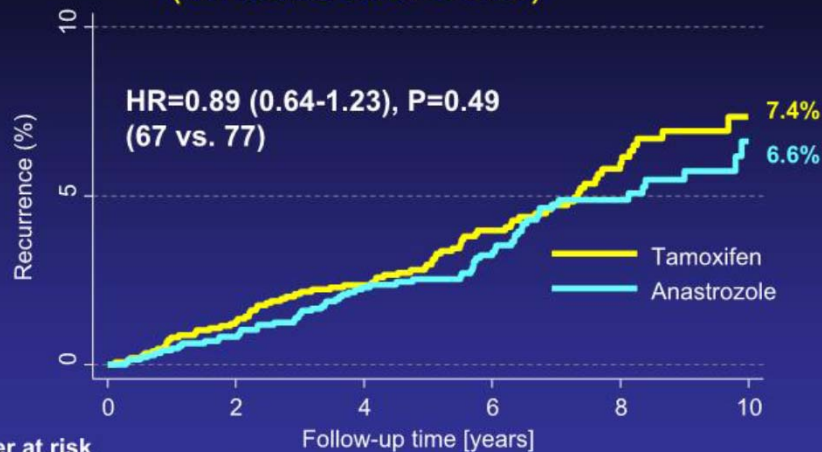
(excluding those who withdrew consent for data use)

**Primary endpoint: All breast cancer recurrence (incl. DCIS)**

**Median follow-up: 7.2 years (IQR 5.6-8.9)**

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## Breast cancer recurrence (invasive and DCIS)

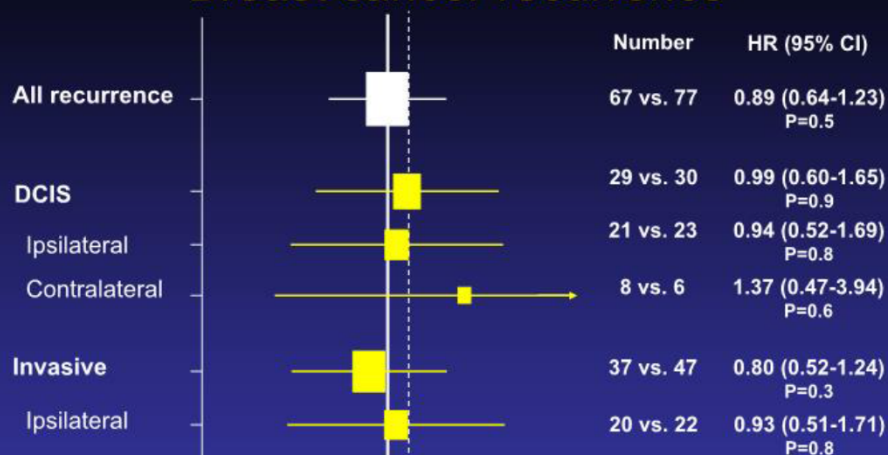


### Number at risk

	0	2	4	6	8	10
Tamoxifen	1489	1465	1372	1032	553	177
Anastrozole	1449	1434	1345	1006	541	185

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## Breast cancer recurrence



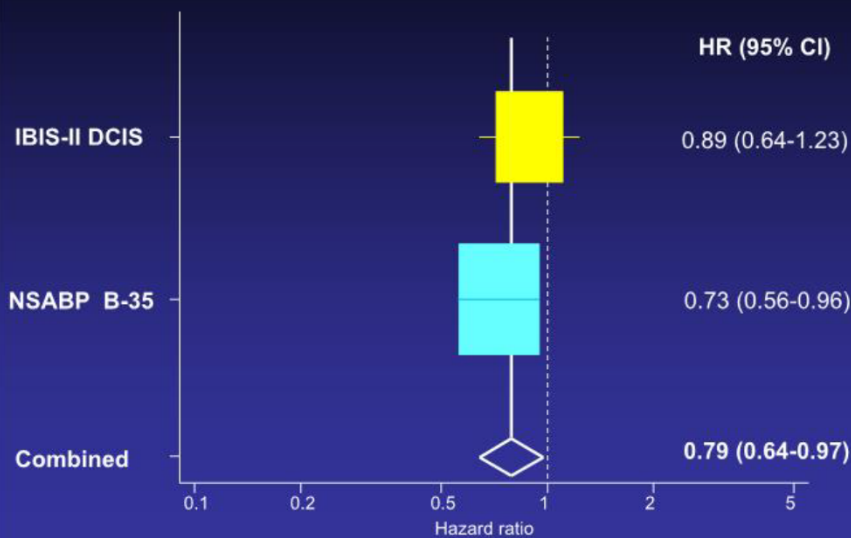
HR for invasive disease was 0.8 (95% CI 0.5-1.2)

HR for ER neg disease was 1.1 (95% CI 0.5-2.7)

Favours anastrozole ← Hazard Ratio → Favours tamoxifen

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## Meta-analysis



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## IBIS-II adverse events

Other cancers	Anastrozole (N=1449)	Tamoxifen (N=1489)	OR (95% CI)	P-value
<b>Total</b>	<b>61</b>	<b>71</b>	<b>0.88 (0.61-1.26)</b>	<b>0.5</b>
<b>Gynaecological</b>	<b>1</b>	<b>17</b>	<b>0.06 (0.001-0.38)</b>	<b>0.0002</b>
Endometrial	1	11	0.09 (0.002-0.64)	0.004
Ovarian	0	5	0.00 (0.00-0.79)	0.03
Fractures & clots	Anastrozole (N=1449)	Tamoxifen (N=1489)	OR (95% CI)	P-value
<b>Fractures</b>	<b>129</b>	<b>100</b>	<b>1.36 (1.03-1.80)</b>	<b>0.03</b>
Pelvic, hip	11	4	2.84 (0.84-12.25)	0.06
Spine	6	6	1.03 (0.27-3.85)	0.9
<b>Major thromboembolic</b>	<b>7</b>	<b>24</b>	<b>0.30 (0.11-0.71)</b>	<b>0.003</b>
Cerebrovascular accident	13	4	3.36 (1.04-14.18)	0.025

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## Summary & Conclusions

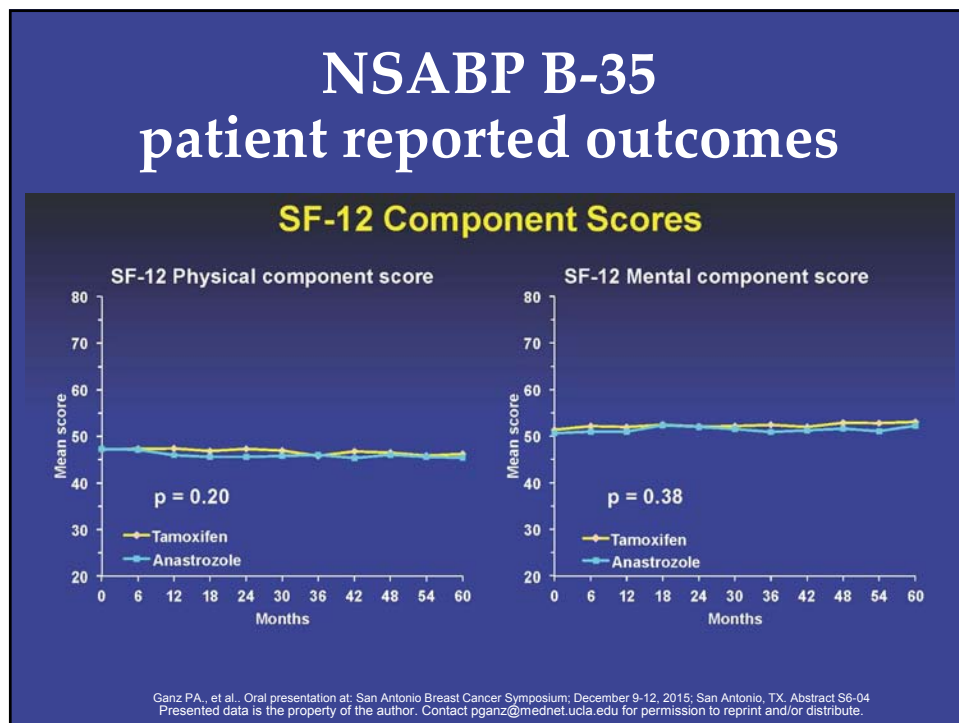
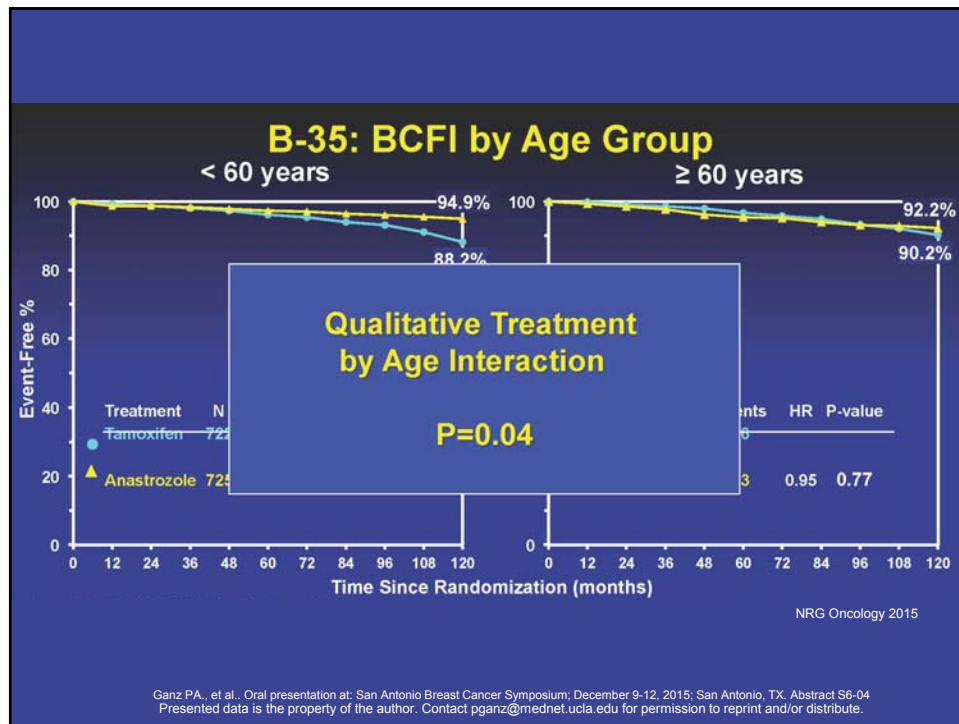
- No significant difference in recurrence between anastrozole and tamoxifen
  - Trend for lower invasive recurrence with anastrozole (not significant)
    - Non-inferiority established ( Upper CI for HR <1.25)
  - Data from all sources (B-35, ATAC, IBIS-II) support lower recurrences with anastrozole
- No overall effect on other cancers
  - Large decrease in endometrial, ovarian and skin cancer with anastrozole
  - Increase in gastrointestinal, lung, and lymphatic cancer with anastrozole (not significant)
- No effect on death (data not mature)

Cuzick J, Sestak I, Howell A, et al. Presented at the 2015 San Antonio Breast Cancer Symposium; San Antonio, TX; December 8-12, 2015. Abstract S6-03  
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## Patient-Reported Outcome Results NRG Oncology/NSABP B-35: A Clinical Trial of Anastrozole vs. Tamoxifen in Postmenopausal Patients with DCIS Undergoing Lumpectomy Plus Radiotherapy

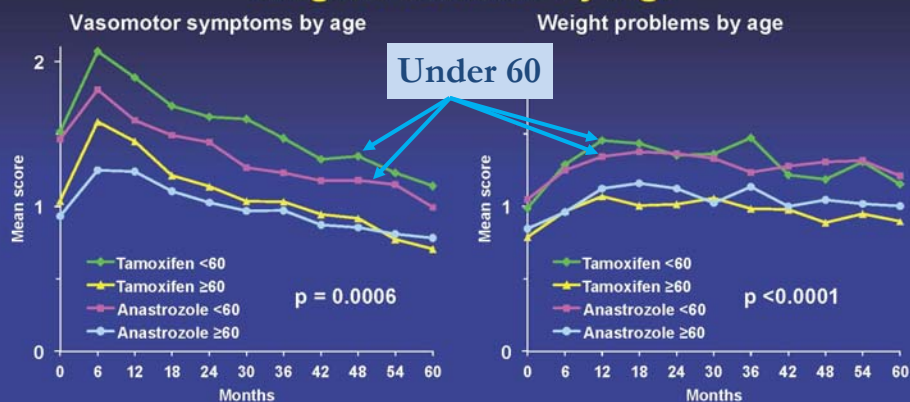
PA Ganz, RS Cecchini, TB Julian, RG Margoese,  
JP Costantino, LA Vallow, KS Albain, PW Whitworth,  
ME Cianfrocca, AM Brufsky, HM Gross, GS Soori,  
JO Hopkins, L Fehrenbacher, K Sturtz, TF Wozniak,  
TE Seay, EP Mamounas, N Wolmark

Ganz PA, et al. Oral presentation at: San Antonio Breast Cancer Symposium; December 9-12, 2015; San Antonio, TX. Abstract S6-04  
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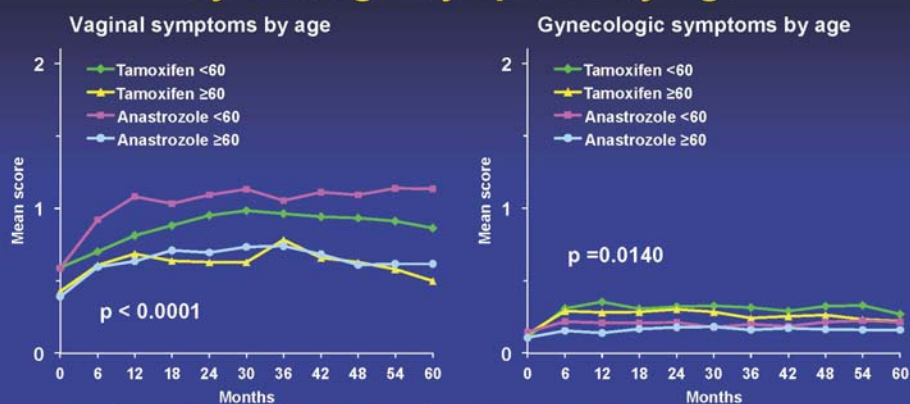


## Vasomotor Symptoms and Weight Problems by Age



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## Vaginal Symptoms and Gynecologic Symptoms by Age



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## Conclusions from IBIS-II & B-35

- Anastrozole may have an edge over tamoxifen in terms of efficacy, particularly for younger women.
- Adverse events as expected.
- Symptoms are worse with anastrozole for women under 60.
- These data are consistent regarding approximate equivalence of these agents
- Support personalized decisions based on age, patient preference, and co-morbidities.

## Nipple-sparing mastectomy reviewed by Petit, Milan.

- Complications
  - Nipple necrosis total 3%; partial 6%
  - Implant loss 5%
- Satisfaction
  - Better body image
  - Better sexual functioning
  - Less feeling of mutilation
- Recurrence
  - 772 invasive cancer patients, 5 year results
  - Non-nipple LR 3.6%
  - Nipple LR 0.8%

Petit J., et al. Symposium presentation at: San Antonio Breast Cancer Symposium: December 9-12, 2015: San Antonio, TX.

# Nipple-sparing mastectomy reviewed by Petit, Milan.

## Final contra indication of NSM

**NAC clinical infiltration**  
**Nipple blood discharge**  
**Microcalcifications or tumour  
nodule behind the NAC**  
**Positive Retro Areolar  
Frozen Section**

**Very large breast**

Petit J., et al. Symposium presentation at: San Antonio Breast Cancer Symposium: December 9-12, 2015: San Antonio, TX.  
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## Breast conservation & margins

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# MARGIN WIDTH AND RE-EXCISION IN BREAST CONSERVING TREATMENT, A DBCG BASED STUDY

A. Bodilsen, K. Bjerre, B.V. Offersen, P. Vahl, M. Mele, J.M. Dixon,  
B. Ejlersten, J. Overgaard, P. Christiansen



Bodilsen A., et al., Oral Presentation at: San Antonio Breast Cancer Symposium; December 9-12, 2015; San Antonio, TX. Abstract S2-01.  
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## Margin width & breast conservation: a DBCG study

### PURPOSE

- Investigate association between margin width and IBTR
- Identify factors associated with residual disease after re-excision
- Determine the effect of re-excision on IBTR in a population-based nationwide cohort

### INCLUSION

- 2000-2009
- Age 18-75
- Breast conserving surgery
- Invasive cancer
- Unilateral
- No prior cancer
- Treated according to DBCG guidelines
- In total 11,900 patients

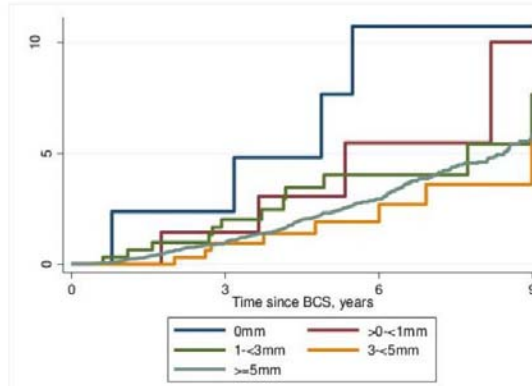
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# DBCSG results

- IBTR at 5 years 2.4% At 9 years 5.9%

## MARGIN & IBTR

	HR	95% CI
0 mm	2.52	1.02-6.23
> 0-<1mm	1.40	0.62-3.79
1-<3 mm	1.40	0.79-2.47
3-<5mm	0.79	0.39-1.62
≥ 5 mm	1	



Bodilsen A., et al., Oral Presentation at: San Antonio Breast Cancer Symposium; December 9-12, 2015; San Antonio, TX. Abstract S2-01.  
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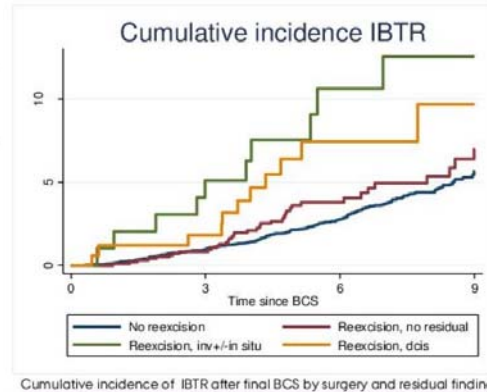
## Additional significant co-variates

- Age
- Re-excision
- Nodal positivity
- ER status
- Use of chemotherapy
- Use of boost RT

## Re-excision with residual disease indicates increased risk of IBTR

### RE-EXCISION & IBTR

	HR	95% CI
No re-excision	1	
Re-ex, no res	1.21	0.86-1.70
Res - inv+/- DCIS	2.97	1.57-5.62
Res - DCIS	2.58	1.50-4.45



Bodilsen A., et al., Oral Presentation at: San Antonio Breast Cancer Symposium; December 9-12, 2015; San Antonio, TX. Abstract S2-01.  
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## The effect of breast conserving surgery and mastectomy on 10-year survival

10-12-2015 | Marissa van Maaren

L. De Munck, G.H. de Bock, J.J. Jobsen, T. van Dalen, P. Poortmans, S.C. Linn, L.J.A Strobbe, S. Siesling

# The effect of breast conservation & mastectomy on 10-year survival



- Dutch guidelines:  
T1-2N0-1 indication for BCT or MAST
- Netherlands Cancer Registry
- Women, 1st primary tumor, diagnosis 2000-2004
- pT1-2N0-1 stage
- Treated with BCT or MAST, all Dutch hospitals
- No M. Paget
- No macroscopic residual tumour
- No neo-adjuvant systemic therapy

Van Maaren et al., Oral Presentation at: San Antonio Breast Cancer Symposium; December 9-12, 2015; San Antonio, TX. Abstract S3-05.

## Characteristics of 37000+ patients



- 58.4% BCT
  - Younger
  - Smaller, well differentiated, unifocal, ductal tumors
  - Localised in inner or outer parts
  - Less hormonal therapy
  - Less axillary lymph node dissection
- Median follow-up time 11.3 years

	n	HR [95% CI]	p-value
<b>Overall cohort</b>			
MAST	15,473	1	
BCT	21,734	0.81 [0.78-0.85]	<b>&lt;0.001</b>

Corrected for confounding

- **Analyses stratified by T and N stage showed similar results**

Van Maaren et al., Oral Presentation at: San Antonio Breast Cancer Symposium; December 9-12, 2015; San Antonio, TX. Abstract S3-05.

## Context and Discussion



- Radiotherapy was not used in this population.
- HER2 testing was not routine.
- Selection bias remains a viable explanation for the observed survival advantage with BCT use
- Nevertheless, this is reassuring information for women with early-stage breast cancer considering mastectomy

Van Maaren et al., Oral Presentation at: San Antonio Breast Cancer Symposium; December 9-12, 2015; San Antonio, TX. Abstract S3-05.

## Conclusions

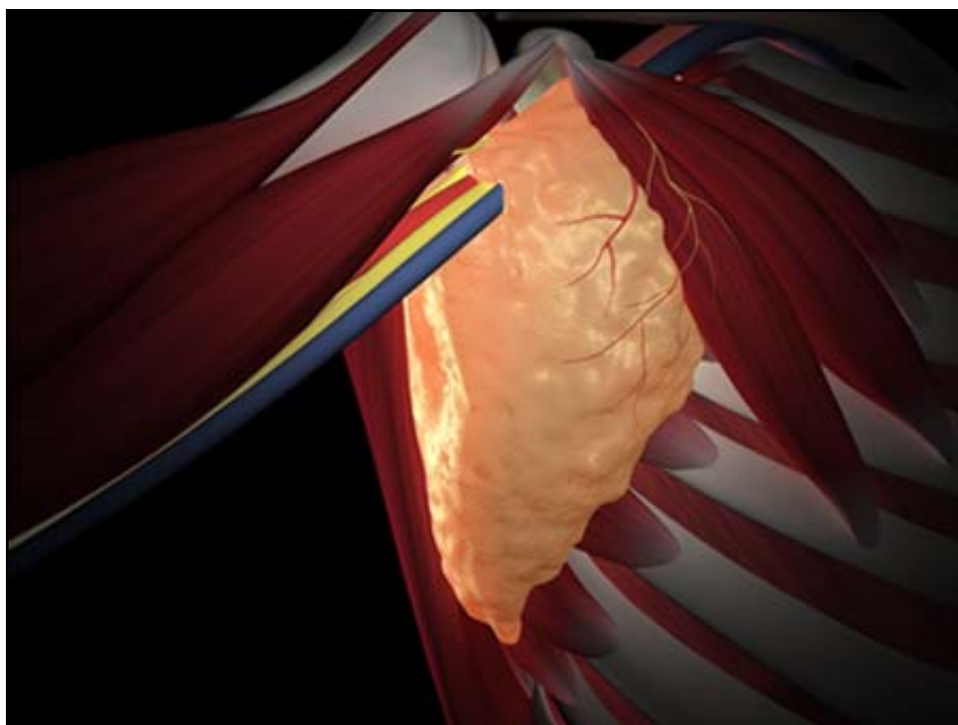
- Surveillance: lifetime estimates problematic; consider shorter timeframes for making recommendation for MRI.
- Prevention: anastrozole equivalent to tamoxifen for DCIS patients, adverse effects as expected, leaving room for personalized choices.
- Surgical treatment: no significant advantage of wide margins. Overall survival following BCT equivalent to mastectomy.
- Axillary management: the trend towards less surgery continues.



## **Surgical Management of the Axilla in Early Stage Breast Cancer**

**Benjamin O. Anderson, MD**

*Fred Hutchinson Cancer Research Center/  
Seattle Cancer Care Alliance*

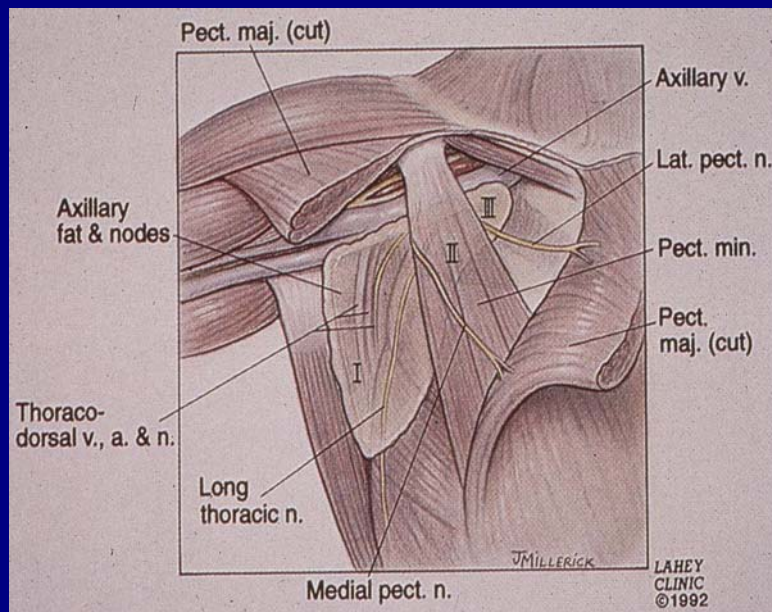


## BREAST CANCER 2016: Surgical Management of the Axilla

- Historical Perspective on the axilla
- Sentinel node excision for staging
- Sentinel node excision for treatment
- Sentinel node following neoadjuvant

## BREAST CANCER 2016: Surgical Management of the Axilla

- Historical Perspective on the axilla
- Sentinel node excision for staging
- Sentinel node excision for treatment
- Sentinel node following neoadjuvant



## AXILLARY RECURRENCE: NSABP B-04, 25 year follow-up

- 1,079 clinically node-negative patients
  1. Radical mastectomy
  2. Total mastectomy + axillary XRT
  3. Total mastectomy with salvage ALND (365 pts)
- 586 clinically node-positive patients
  1. Radical mastectomy
  2. Total mastectomy + axillary XRT
- No systemic therapy in either arm

*Fisher, et al. NEJM 347:567, 2002*

## AXILLARY RECURRENCE: NSABP B-04, 25 year follow-up

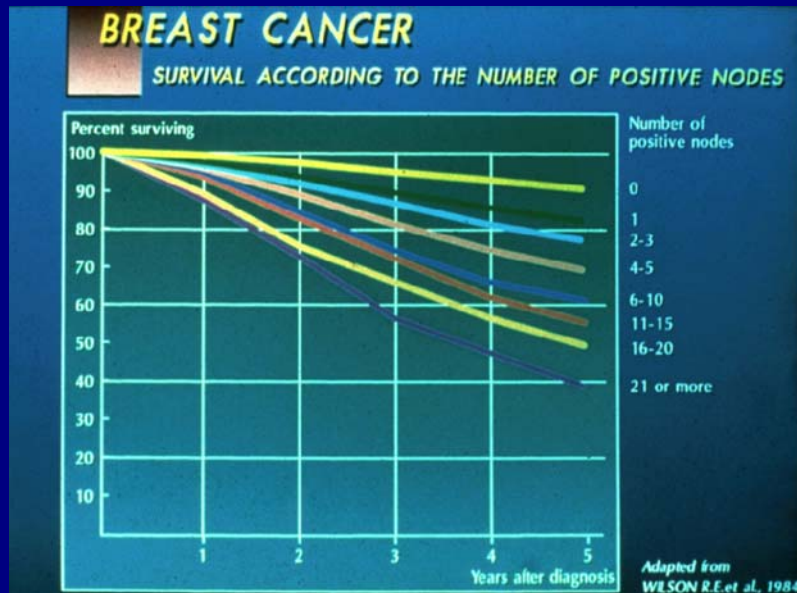
- Population
  - 70% over age 50
  - 3.3cm mean tumor size (T2)
  - 40% node positive in RM group
- Outcome comparing randomized groups
  - No difference in disease-free survival
  - No difference in overall survival

*Fisher, et al. NEJM 347:567, 2002*

## AXILLARY RECURRENCE: NSABP B-04, 25 year follow-up

- Axillary recurrence in 68 / 365 (18.6%)
  - Half of occult node positive cancers recurred
  - Median time to recurrence 14.8 months
  - One patient could not be resected
- TM specimens contained nodes in 35%
  - 23%: 1 - 5 lymph nodes
  - 12%: >5 lymph nodes

*Fisher, et al. NEJM 347:567, 2002*



## AXILLARY NODE DISSECTION: Complication Rates

- Lymphedema
  - Acute: 40%
  - Chronic: 15-20%
- Paraesthesia: 40%
- Need for a drain: 100%
- Seroma formation: 10%

## LYMPHEDEMA PRESENTATION



Mild - stage I (left)



Moderate – stage II (left)



Severe – stage III (right)

S. McLaughlin, "Lymphedema" in Diseases of the Breast 5<sup>th</sup> Ed, 2014

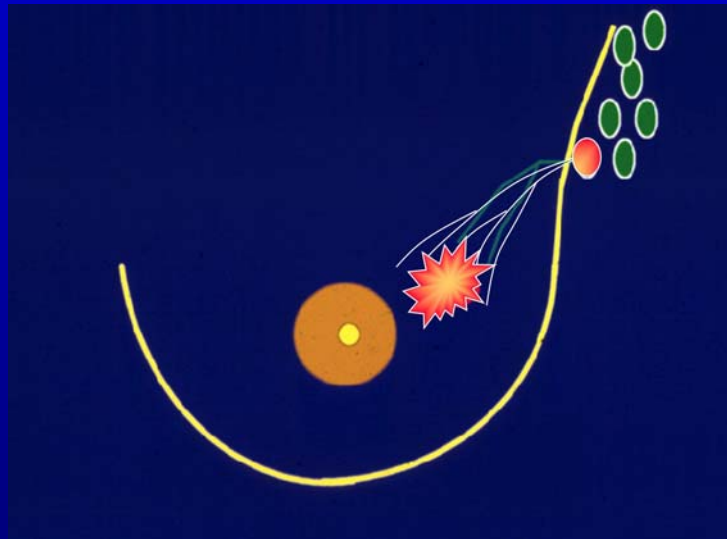
## BREAST CANCER 2016: Surgical Management of the Axilla

- Historical Perspective on the axilla
- Sentinel node excision for staging
- Sentinel node excision for treatment
- Sentinel node following neoadjuvant

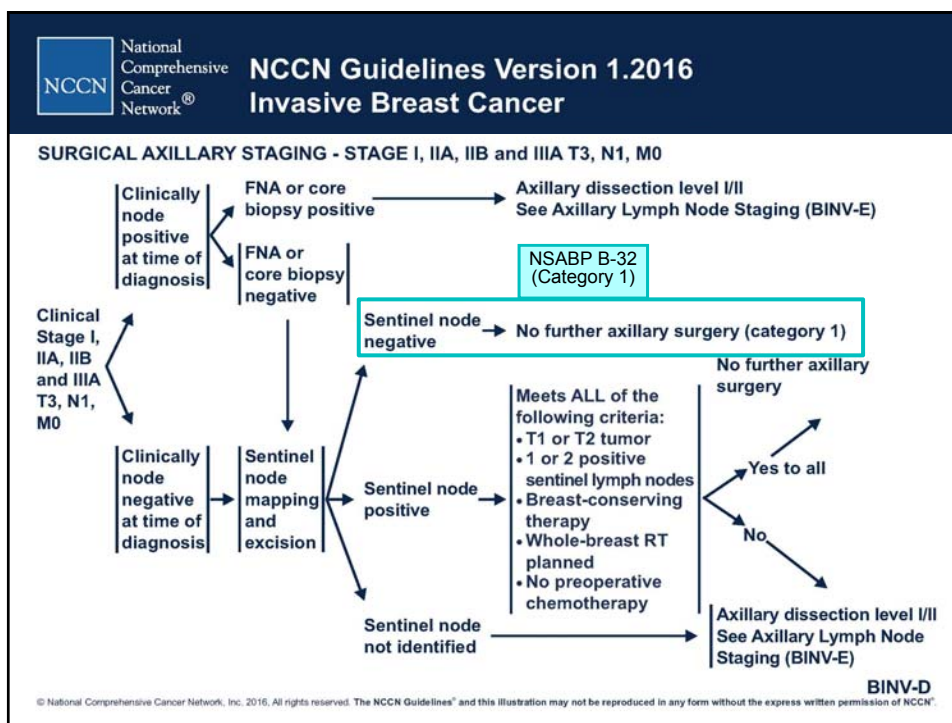
## BREAST CANCER 2016: Surgical Management of the Axilla

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## SENTINEL NODE CONCEPT







## QUESTION

**Was prospective randomized (category 1) evidence required before sentinel node biopsy was accepted as the standard of care in the U.S.?**

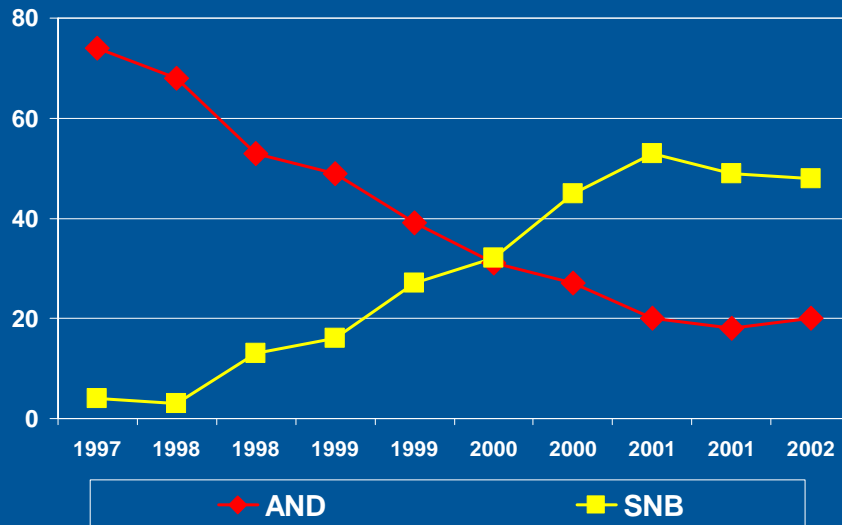
- 1) Yes
- 2) No





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## Sentinel Node Biopsy 1997-2002 0 – 2 cm; NCCN Centers



## NCCN Breast Guidelines Sentinel node biopsy

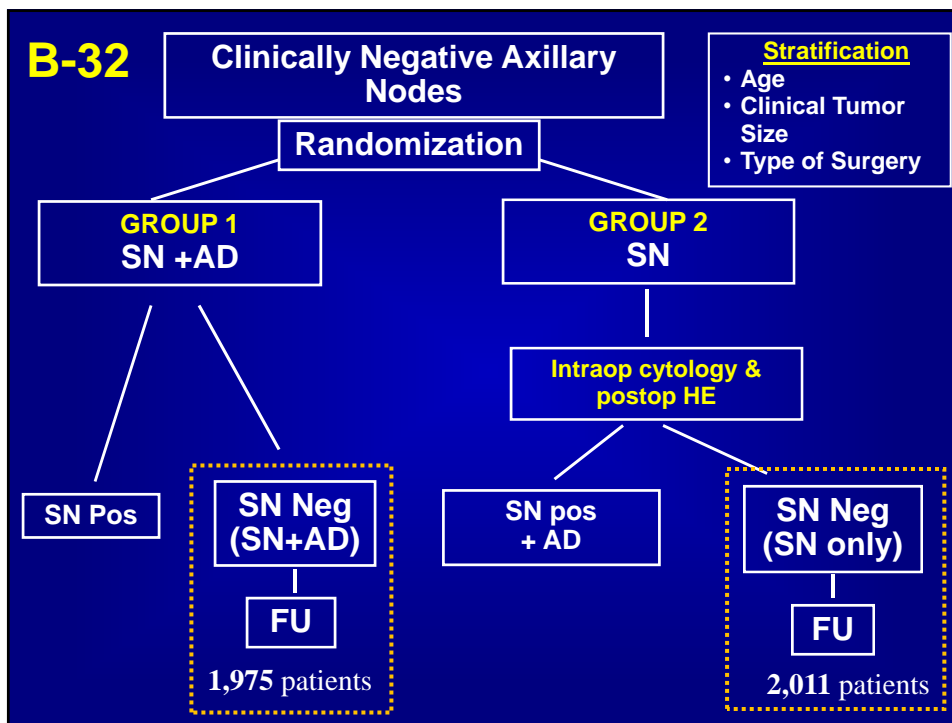
- 1998: Incorporated as option in guideline footnote
  - Smaller tumor; negative node; trained team
- 2002: Included in primary guideline
  - Expanded indications
- 2007: Sentinel node biopsy preferred
  - Experienced team and appropriate candidate

# NSABP PROTOCOL B-32

## A Randomized, Phase III Clinical Trial to Compare Sentinel Node Resection to Axillary Dissection in Clinically Node-Negative Breast Cancer Patients

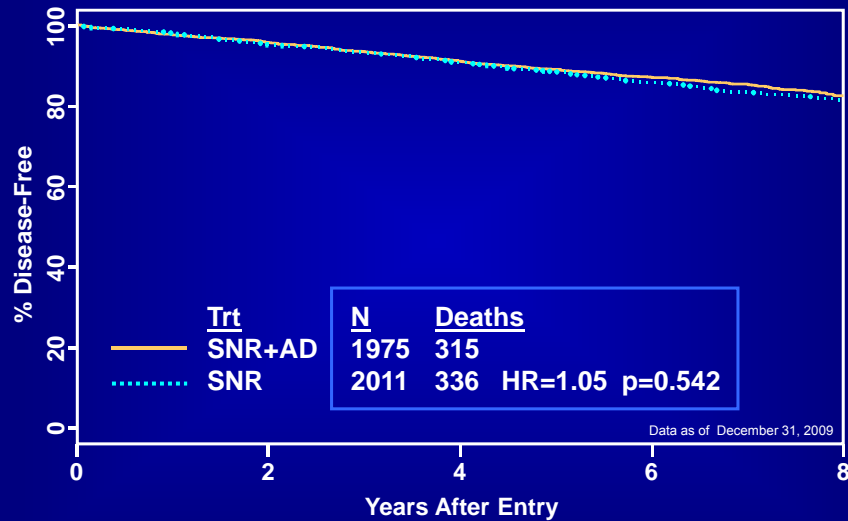
Definitive Analysis of the Primary Outcomes

DN Krag, SJ Anderson, TB Julian, A Brown, SP Harlow, JP Costantino, T Ashikaga, D Weaver, EP Mamounas, N Wolmark



## NSABP Protocol B-32

### Disease-Free Survival for Sentinel Node Negative Patients



*Krag, et al., Lancet Oncol 11:927, 2010*

## Local and Regional Recurrences as First Events

	Group 1 SN + AD	Group 2 SN
Local	54 (2.7%)	49 (2.4%)
Axillary	2 (0.1%)	8 (0.3%)
Extra-axillary	5 (0.25%)	6 (0.3%)

*Krag, et al., Lancet Oncol 11:927, 2010*

## Residual Morbidity at End of Follow-up

- Lower in SN group
- Not nonexistent

	Group 1 SN + AD	Group 2 SN
Shoulder abduction deficit	19%	13%
Arm volume difference >5%	28%	17%
Arm numbness	31%	8%
Arm tingling	13%	7%

Ashikaga JSO 102:111, 2010

All differences  $p < 0.001$

Krag, et al., *Lancet Oncol* 11:927, 2010

## NCCN Breast Guidelines Sentinel node biopsy

- Technical outcomes of sentinel-lymph-node resection and conventional axillary-lymph-node dissection in patients with clinically node-negative breast cancer: results from the NSABP B-32 randomised phase III trial [Lancet Oncol 2007; 8: 881-88](#)

David N Krag, Stewart J Anderson, Thomas B Julian, Ann M Brown, Seth P Harlow, Takamaru Ashikaga, Donald L Weaver, Barbara J Miller, Lynne M Jalovec, Thomas G Frazier, R Dirk Noyes, André Robidoux, Hugh M C Scarth, Denise M Mammolito, David R McCready, Eleftherios P Mamounas, Joseph P Costantino, Norman Wolmark, for the National Surgical Adjuvant Breast and Bowel Project (NSABP)

- Sentinel-lymph-node resection compared with conventional axillary-lymph-node dissection in clinically node-negative patients with breast cancer: overall survival findings from the NSABP B-32 randomised phase 3 trial [Lancet Oncol 2010; 11: 927-33](#)

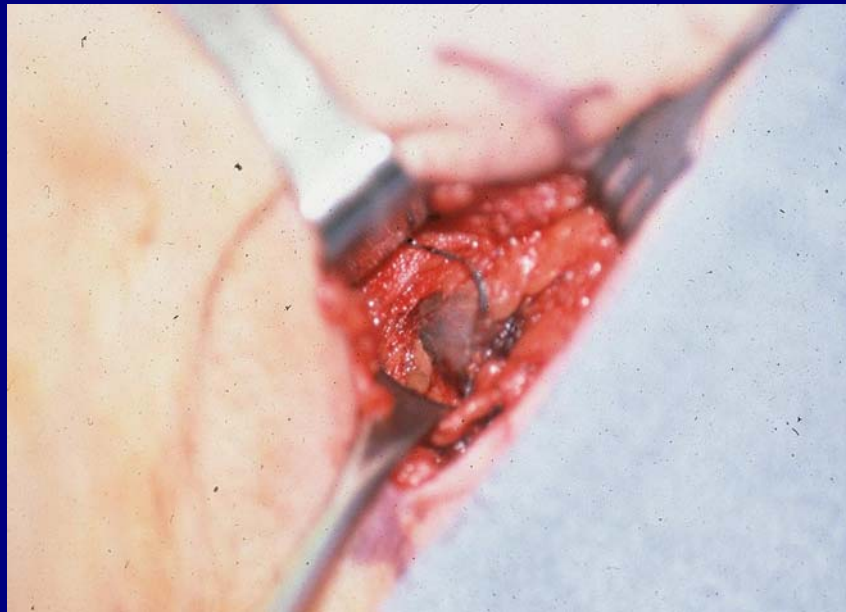
David N Krag, Stewart J Anderson, Thomas B Julian, Ann M Brown, Seth P Harlow, Joseph P Costantino, Takamaru Ashikaga, Donald L Weaver, Eleftherios P Mamounas, Lynne M Jalovec, Thomas G Frazier, R Dirk Noyes, André Robidoux, Hugh M C Scarth, Norman Wolmark

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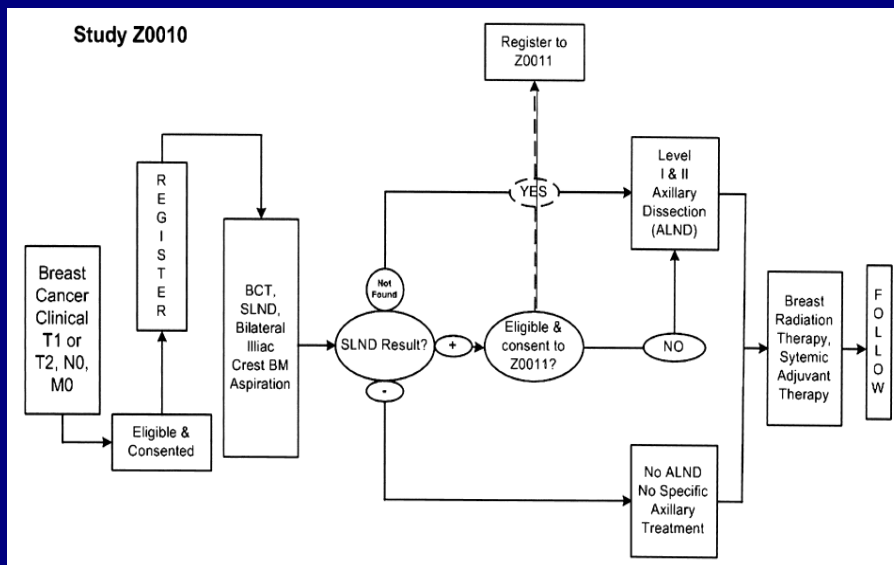
## QUESTIONS

**Does immunohistochemical staining of the sentinel lymph node improve therapeutic outcomes?**

- 1) Yes
- 2) No



Peace and Love Hospital (Kumasi , Ghana) 2004  
Recurrent breast cancer in axillary lymph node bed



*Giuliano, et al. JAMA 306:385, 2011*

## ACOSOG Z0010 Methods

- Bone marrow aspiration prior to SLN bx
- Bone marrow specimens subjected to IHC (investigators blinded to results)
- SLN processed – standard pathology and H&E staining
- SLN neg by H&E subjected to IHC for cytokeratin (investigators blinded to results)

*Giuliano, et al. JAMA 306:385, 2011*

## ACOSOG Z0010 Results

- Among SLN H&E negative patients, SLN IHC results (positive vs negative) was not significantly associated with differences in OS at 5 years.
- While bone marrow metastases were associated with worsened outcome, the results were not independent on multivariate analysis.
- Conclusion: Routine examination of SLNs by IHC is not supported by this study.

*Giuliano, et al. JAMA 306:385, 2011*

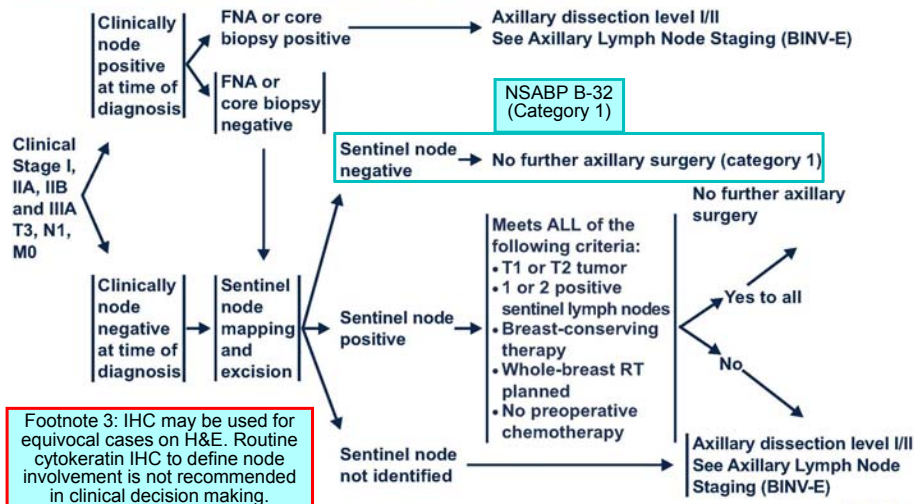




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## NCCN Guidelines Version 1.2016 Invasive Breast Cancer

### SURGICAL AXILLARY STAGING - STAGE I, IIA, IIB and IIIA T3, N1, M0



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## QUESTIONS

**Is completion axillary node dissection necessary when positive axillary nodes remain after SLN biopsy?**

- 1) Yes
- 2) No

**ACOSOG Z0011:  
A Randomized Trial of Axillary  
Node Dissection in Women with  
Clinical T1-2 N0 M0 Breast Cancer  
who have a Positive Sentinel Node**

Giuliano AE, McCall L, Beitsch PD, Whitworth PW,  
Blumencranz PW, Leitch AM, Saha S, Hunt K,  
Morrow M, Ballman KV



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Annual Meeting '10

*Giuliano, et al. JAMA 305:569, 2011*

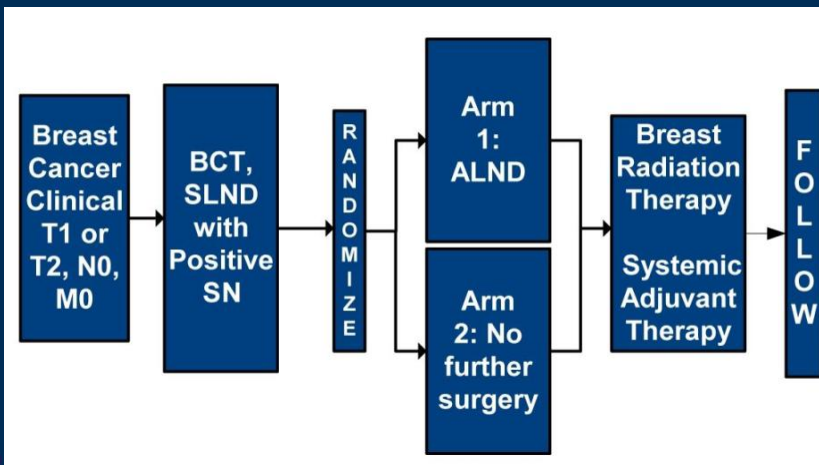
***Hypothesis:  
SLND alone achieves  
similar locoregional control  
and survival as  
Level I and II ALND for H&E  
SN node-positive women.***



Best of ASCO  
Annual Meeting '10

*Giuliano, et al. JAMA 305:569, 2011*

## 20011 Study Design Schema



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Giuliano, et al. JAMA 305:569, 2011

## Inclusion/Exclusion Criteria

### Eligibility

- Clinical T1 T2 N0 breast cancer
- H&E-detected metastases in SN (AJCC 5<sup>th</sup> edition)
- Lumpectomy with whole breast irradiation
- Adjuvant systemic therapy by choice

### Ineligibility

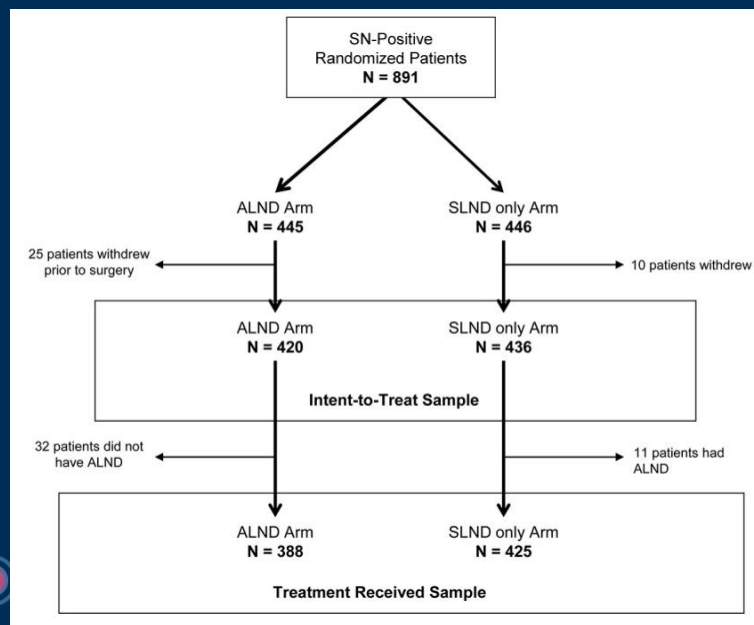
- *Third field (nodal irradiation) or APBI*
- **Metastases in SN detected by IHC**
- **Matted nodes**
- **3 or more involved SN**



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Giuliano, et al. JAMA 305:569, 2011

## Study Population Schema 5/99–12/04



## Adjuvant Systemic Therapy

	ALND	SLND
<b>Chemotherapy</b>	57.9%	58.0%
<b>Hormonal therapy</b>	46.4%	46.6%
<b>Either/Both</b>	96.0%	97.0%

*P* = N.S.

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*Giuliano, et al. JAMA 305:569, 2011*

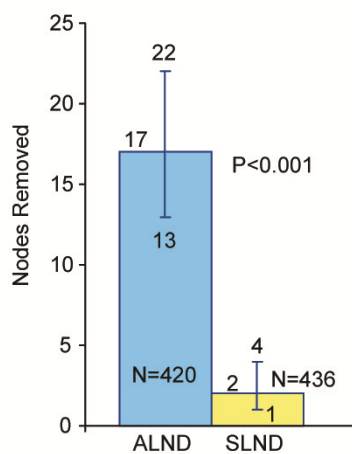
***106 (27.4%) patients  
treated with ALND  
had additional positive  
nodes removed  
beyond SN.***



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*Giuliano, et al. JAMA 305:569, 2011*

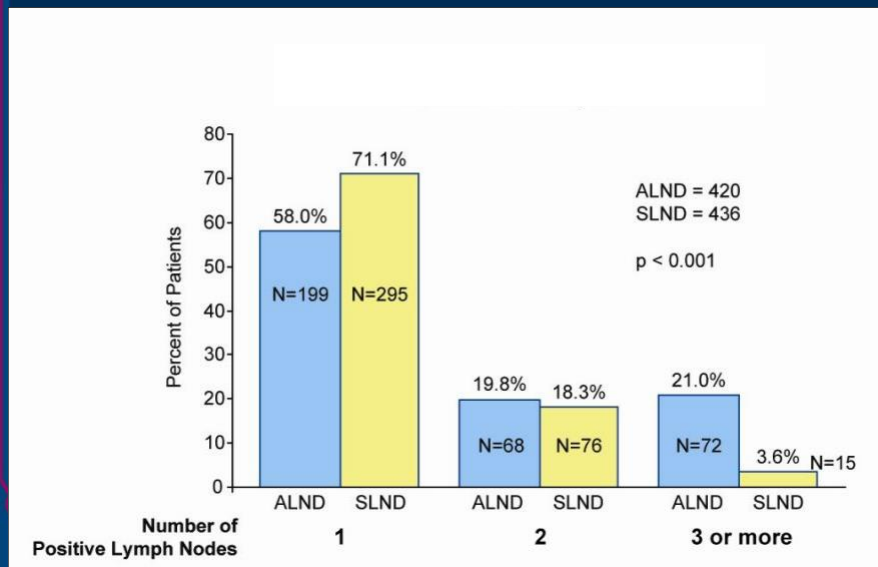
## Median Number of Lymph Nodes Removed



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*Giuliano, et al. JAMA 305:569, 2011*

## Number of Positive Lymph Nodes



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Giuliano, et al. JAMA 305:569, 2011

## Locoregional Recurrences

Recurrence	ALND (420 pts)	SLND (436 pts)
Local (Breast)	15 (3.6%)	8 (1.8%)
Regional (Axilla, Supraclavicular)	2 (0.5%)	4 (0.9%)
Total Locoregional	17 (4.1%)	12 (2.8%)

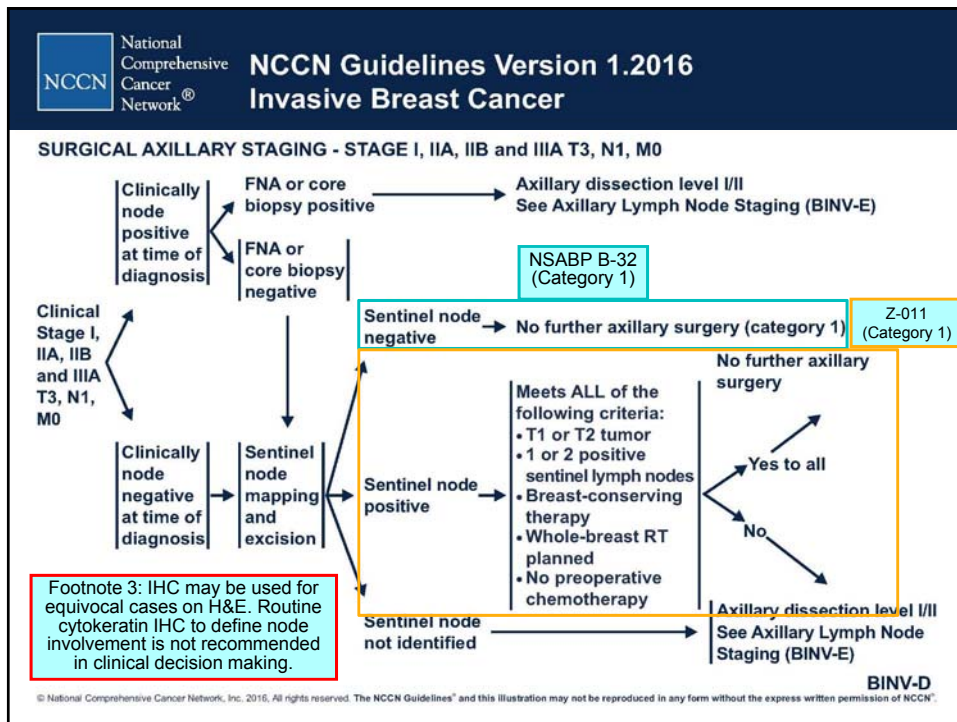
**P = 0.11**

Median follow-up = 6.3 years

Regional recurrence seen in only 0.7% of the entire population

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Giuliano, et al. JAMA 305:569, 2011

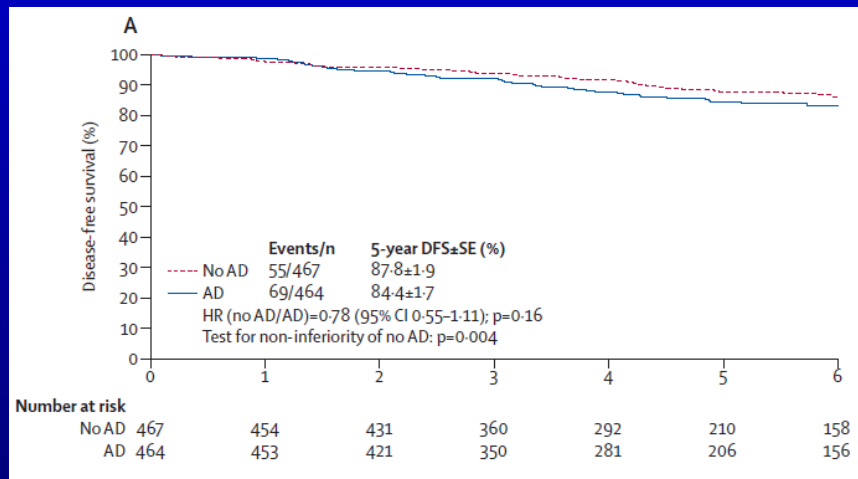


## SLN / MICROMETS IBCSG 23-01 TRIAL (2013)

- T1/T2, clinically node negative, > 1 micromet in SLN(s):
  - 934 patients randomized to ALND vs. no ALND
  - 9% had mastectomy; 13% had positive non-sentinel nodes
  - Median follow-up 57 months
- RESULTS:
  - No difference in disease-free survival or overall survival
  - Disease recurrence in the undissected axilla remained <1%

*Galimberti, et al., Lancet Oncol 14:297,2013*

## SLN / MICROMETS IBCSG 23-01 TRIAL (2013)



*Galimberti, et al., Lancet Oncol 14:297,2013*

## DISSECTION vs. XRT AMAROS TRIAL (2014)

- T1/T2, clinically node negative, positive SLN(s):
  - 4806 patients randomized to ALND vs. axillary radiotherapy
  - 1425 had positive SLN: 744 had ALND; 681 had axillary XRT
  - 33% had positive non-sentinel nodes; Median follow-up 6.1 years
- RESULTS
  - Low axillary recurrence rates (0.43% surgery vs 1.19% XRT)
  - Lymphedema more common in the axillary node dissection group

*Donker, et al., Lancet Oncol 15:1303,2014*



## DISSECTION vs. XRT AMAROS TRIAL (2014)

	Axillary lymph node dissection	Axillary radiotherapy	p value
Clinical sign of lymphoedema in the ipsilateral arm			
Baseline	3/655 (<1%)	0/586 (0%)	0.25
1 year	114/410 (28%)	62/410 (15%)	<0.0001
3 years	84/373 (23%)	47/341 (14%)	0.003
5 years	76/328 (23%)	31/286 (11%)	<0.0001
Arm circumference increase $\geq$ 10% of the ipsilateral upper or lower arm, or both			
Baseline	33/655 (5%)	24/586 (4%)	0.497
1 year	32/410 (8%)	24/410 (6%)	0.332
3 years	38/373 (10%)	22/341 (6%)	0.080
5 years	43/328 (13%)	16/286 (6%)	0.0009

Data are n/N (%), unless otherwise specified.

Table 2: Lymphoedema

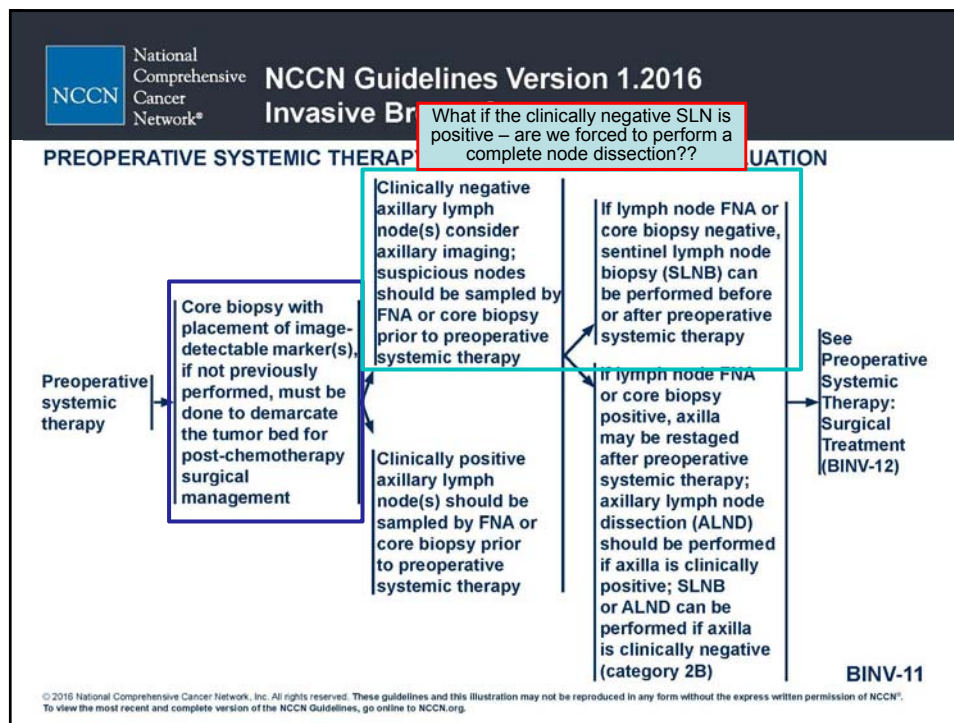
*Donker, et al., Lancet Oncol 15:1303,2014*

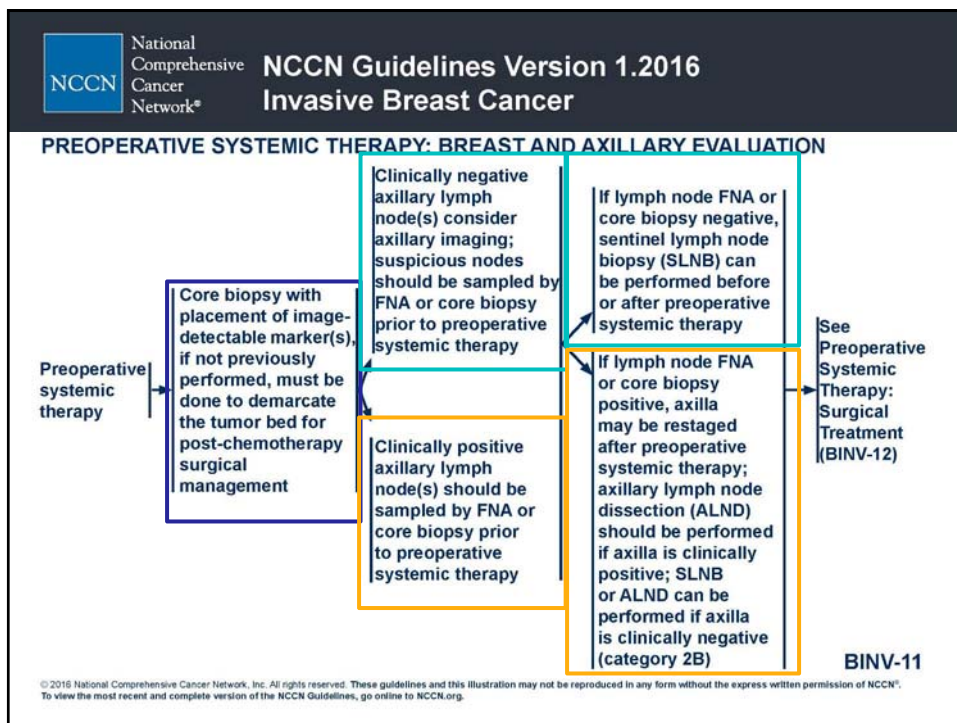
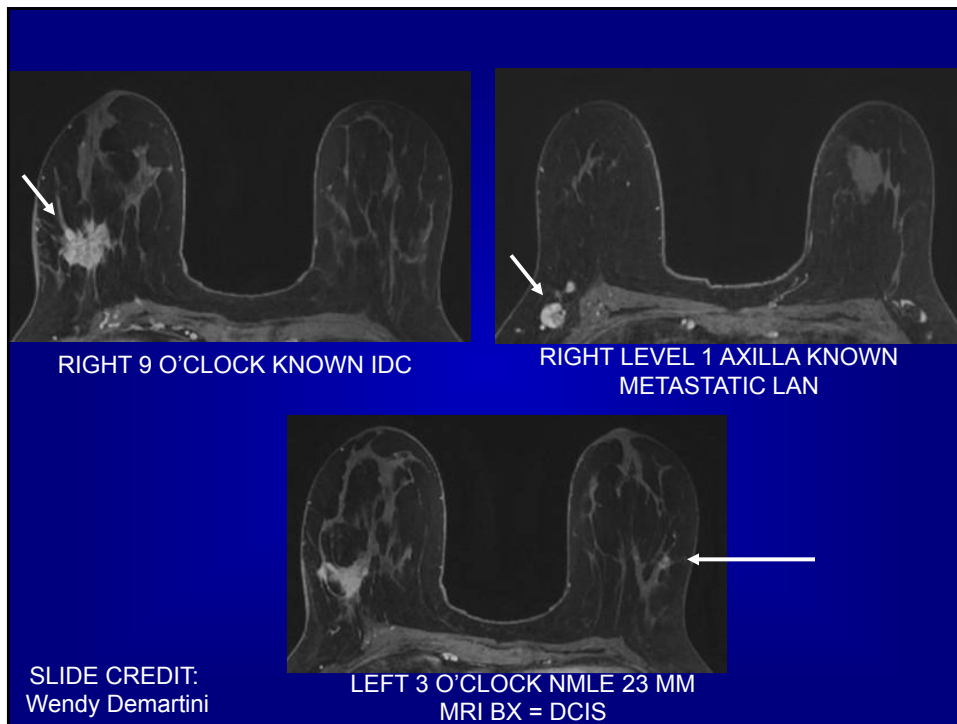
## BREAST CANCER 2016: Surgical Management of the Axilla

- Historical Perspective on the axilla
- Sentinel node excision for staging
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# BREAST CANCER 2016: Surgical Management of the Axilla

- Historical Perspective on the axilla
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- Sentinel node following neoadjuvant





## QUESTION

If a SLN biopsy or nodal sampling is positive *before* neoadjuvant therapy, is a complete axillary node dissection always required *after* neoadjuvant chemotherapy?

- 1) Yes
- 2) No

### Sentinel-lymph-node biopsy in patients with breast cancer before and after neoadjuvant chemotherapy (SENTINA): a prospective, multicentre cohort study

Thorsten Kuehn, Ingo Bauerfeind, Tanja Fehm, Barbara Fleige, Maik Hausschild, Gisela Helms, Annette Lebeau, Cornelia Liedtke, Gunter von Minckwitz, Valentina Nekjudova, Sabine Schmatloch, Peter Schrenk, Annette Staebler, Michael Untch

#### Summary

**Background** The optimum timing of sentinel-lymph-node biopsy for breast cancer patients treated with neoadjuvant chemotherapy is uncertain. The SENTINA (SENTinel NeoAdjuvant) study was designed to evaluate a specific algorithm for timing of a standardised sentinel-lymph-node biopsy procedure in patients who undergo neoadjuvant chemotherapy.

Kuehn, et al., *Lancet Oncol* 14:609,2013

# SLN / NEOADJUVANT CHEMO SENTINA TRIAL (2013)

- Four-arm prospective trial at 103 institutions in Europe:
  - Arm A (cN0, pN0): Clinically node-negative disease (cN0) with negative SLN before neoadjuvant chemotherapy
  - Arm B (cN0, pN1): If the sentinel node was positive (pN1), a second SLN procedure done after neoadjuvant chemotherapy
  - Arm C (cN+, ycN0): Women with clinically node-positive disease (cN+) who converted to clinically node-negative disease after chemotherapy (ycN0) had SLN and axillary dissection
  - Arm D (cN+, ycN1): Clinical nodal status remained positive (ycN1) underwent complete axillary dissection without SLN

*Kuehn, et al., Lancet Oncol 14:609,2013*

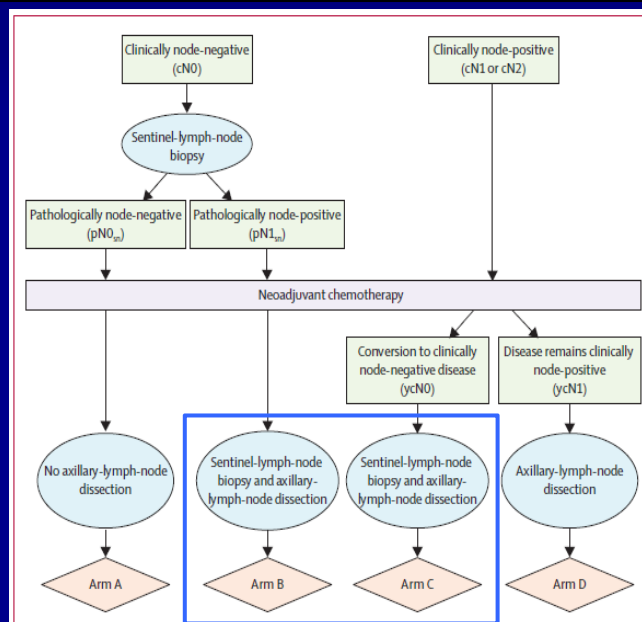


Figure 1: SENTINA trial design

*Kuehn, et al., Lancet Oncol 14:609,2013*

# SLN / NEOADJUVANT CHEMO SENTINA TRIAL (2013)

- Four-arm prospective trial at 103 institutions in Europe:
- 1737 patients received treatment, 1022 underwent SLN before chemo (arms A and B) - 99.1% detection rate
- 226 patients converted cN+ to ycN0 after chemo (Arm C):
  - 80.1% detection rate, 14.2% false-negative rate
  - 24.3% false-negative rate (17 of 70) for one node removed
  - 18.5% false-negative rate (10 of 54) for two nodes removed
- 64 patients who had a second SLN after chemo (arm B):
  - 60.8% detection rate, 51.6% false-negative rate

*Kuehn, et al., Lancet Oncol 14:609,2013*

	Arm B (n=64)	Arm C (n=226)
Overall false-negative rate (n/N; 95% CI)	51.6% (33/64; 38.7–64.2)	14.2% (32/226; 9.9–19.4)
False-negative rate, according to number of sentinel nodes removed		
1	66.7% (16/24)	24.3% (17/70)
2	53.8% (7/13)	18.5% (10/54)
3	50.0% (5/10)	7.3% (3/41)
4	50.0% (3/6)	0.0% (0/28)
5	18.2% (2/11)	6.1% (2/33)
False-negative rate, according to detection technique		
Radiocolloid alone	46.2% (18/39)	16.0% (23/144)
Radiocolloid and blue dye	60.9% (14/25)	8.6% (6/70)
Data are rate (number of patients), unless otherwise stated.		
<b>Table 4: False-negative rate of sentinel-lymph-node resection in patients with positive nodes, according to selected factors</b>		

*Kuehn, et al., Lancet Oncol 14:609,2013*

## SLN / NEOADJUVANT CHEMO SENTINA TRIAL (2013)

- Four-arm prospective trial at 103 institutions in Europe:
- Sentinel-lymph-node biopsy is a reliable diagnostic method before neoadjuvant chemotherapy
- After systemic treatment, SLN biopsy has a lower detection rate and higher false negative rate compared with SLN biopsy done before neoadjuvant chemotherapy
- The false negative rate of SLN biopsy decreased with number of SLNs found and was <10% when 3 or more SLNs were removed.

*Kuehn, et al., Lancet Oncol 14:609,2013*

## SLN / NEOADJUVANT CHEMO Z1071 TRIAL (2013)

- 136 institutions 2009-2011: T0-4, N1-2, neoadjuvant chemo:
  - 756 patients enrolled, 663 had cN1 disease, 649 had preop chemo
  - All patients underwent both SLN biopsy and completion ALND
- RESULTS:
  - SLN not identified in 46 pts (7.1%); 1 SLN excised in 78 pts(12.0%)
  - 525 had 2 or more SLNs: complete pathological response in 41%
  - 39 patients had a false-negative SLN biopsy (FNR 12.6%)
- CONCLUSION: Greater sensitivity necessary to avoid ALND

*Boughey, et al., JAMA 310:1455,2013*




## SLN / NEOADJUVANT CHEMO Z1071 TRIAL (2015)

- 136 institutions 2009-2011: T0-4, N1-2, neoadjuvant chemo:
  - 756 patients enrolled, 663 had cN1 disease, 649 had preop chemo
  - All patients underwent both SLN biopsy and completion ALND
  - 203 patients had clip placed in node at initial biopsy
- RESULTS:
  - In 170 (83.7%) with cN1 disease and  $\geq 2$  SLN removed, FNR 6.8%
  - In 34 (24.1%) where clip was in ALND specimen, FNR 19%
  - No clip placed, FNR 13.4%; Clip placement not confirmed, FNR 14.3%

*Boughey, et al., Ann Surg 261:547, 2015*

## BREAST CANCER 2016: Surgical Management of the Axilla

- Axillary recurrences seen in the 1970s have not continued in the era of modern adjuvant therapy.
- Sentinel node biopsy is now the standard of care for axillary staging with clinically node-negative cancers.
- Complete axillary node dissection has remained the standard of care with clinically node-positive cancers, even after a clinical response to neoadjuvant chemotherapy, but new approaches to avoiding complete node dissection are now emerging.



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## **Adjuvant Radiation Therapy in Early Stage Breast Cancer**

**Kilian E. Salerno, MD**  
Director of Breast, Soft Tissue/Melanoma  
Radiation Oncology  
*Roswell Park Cancer Institute*

## Adjuvant Radiation Therapy in Early Stage Breast Cancer

- Learning objectives:
  - To describe various adjuvant radiation treatment options in early stage breast cancer
  - To recognize patient and clinical factors that influence adjuvant radiation treatment selection

# Adjuvant Radiation Therapy in Early Stage Breast Cancer

- Learning objectives:
  - To describe various adjuvant radiation treatment options in early stage breast cancer
  - Rationale
  - Targets, definitions, doses, modalities, techniques

## Rationale

- Role of radiation in the setting of breast conservation and post mastectomy:
  - Improvement in local or locoregional control
  - Survival benefit for invasive carcinomas and in the post mastectomy setting
    - Disease free survival
    - Overall survival
  - Is this still true? For all patients?

## EBCTCG Meta-Analysis 2005

- “Effects of RT and Extent of Surgery for Early Breast Cancer on LR and 15 yr Survival”
- 42,000 women, 78 randomized trials
- Can avoid 1 breast cancer death over next 15 yrs for every 4 local recurrences prevented

Clarke et al, Lancet 2005

## EBCTCG Meta-Analysis 2011

- “Effects of RT after BCS on 10 yr Recurrence and 15 yr Breast Cancer Death”
- 10,801 women, 17 randomized trials
- RT reduces LR by 1/2 and breast cancer death rate by about 1/6
- Proportional reductions in risk with use of RT
- Actual absolute benefit differs among patients

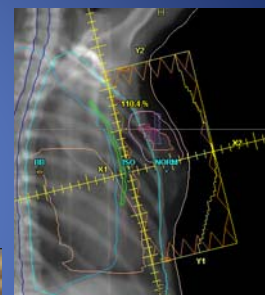
EBCTCG, Lancet 2011

# Principles of Radiation Therapy

- Treatment options
  - Targets
  - Definitions
  - Techniques
- Optimizing treatment planning and delivery

## Radiation Treatment Options

- TARGETS:
  - Whole breast
  - Partial breast
  - Chest wall
  - Regional nodes
    - SCV
    - ICV
    - Axilla at risk
    - IMNs
  - Boost

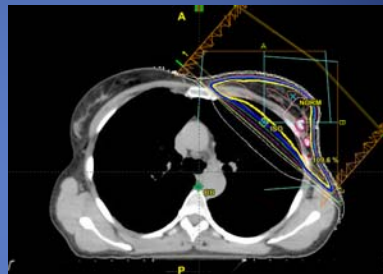


# Radiation Treatment Options

- DOSE and FRACTIONATION
  - Conventional Fractionation
    - 1.8-2 Gy per fraction to total dose 45-50.4 Gy
  - Hypofractionation
    - Shorter course utilizing larger doses per fraction
    - >2 Gy per fraction to lower total dose
      - 40-42.5 Gy given in daily fxs for whole breast
      - 34-38.5 Gy given twice daily fxs for partial breast
  - Accelerated course
    - Treatment over shorter time course

# Radiation Treatment Options

- MODALITIES:
  - External Beam
    - Photons
    - Electrons
    - Protons?
  - Brachytherapy
    - Radioactive source
    - Device
  - Intraoperative
    - Various means



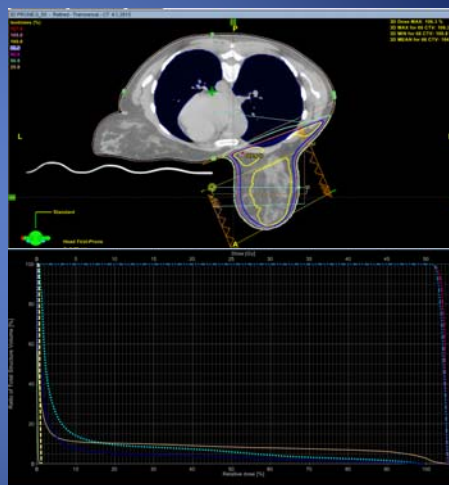


# Radiation Treatment Options

- TECHNIQUES:
  - Positioning
    - Supine vs Prone
  - CT simulation and volume based planning
  - 3D conformal vs IMRT
  - Respiratory control with deep inspiration breath hold technique
    - “respiratory gating”

## Use of Prone Positioning

- Use of prone positioning
- Use in select patients with early stage disease
  - Breast is target
  - Minimize normal tissue doses and treatment toxicity

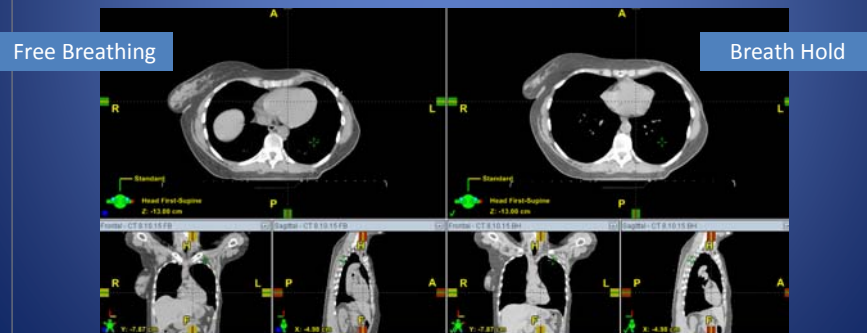




# Use of “Respiratory Gating”

## Breath hold technique

- Moderate deep inspiration
- Extra time, equipment, personnel, increased planning efforts and time for treatment



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## NCCN Guidelines Version 1.2016 Invasive Breast Cancer

### PRINCIPLES OF RADIATION THERAPY

#### Optimizing Delivery of Individual Therapy:

It is important to individualize radiation therapy planning and delivery. CT-based treatment planning is encouraged to delineate target volumes and adjacent organs at risk. Greater target dose homogeneity and sparing of normal tissues can be accomplished using compensators such as wedges, forward planning using segments, and intensity-modulated radiation therapy (IMRT). Respiratory control techniques including deep inspiration breath-hold and prone positioning may be used to try to further reduce dose to adjacent normal tissues, in particular heart and lung. Boost treatment in the setting of breast conservation can be delivered using enface electrons, photons, or brachytherapy. Chest wall scar boost when indicated is typically treated with electrons or photons. Verification of daily setup consistency is done with weekly imaging. In certain circumstances, more frequent imaging may be appropriate. Routine use of daily imaging is not recommended.

BINV-1

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# Radiation Treatment Options

- In summary:
  - Many radiation treatment options
  - Consider what was involved and what is at risk for microscopic disease
  - Individual risk stratification and treatment selection

## Adjuvant Radiation Therapy in Early Stage Breast Cancer

- Learning objectives:
  - To describe various adjuvant radiation treatment options in early stage breast cancer
  - To recognize patient and clinical factors that influence adjuvant radiation treatment selection

## Radiation Treatment Options

- How is treatment tailored to the individual patient?
  - Patient factors
  - Treatment factors
  - Disease burden
  - Biology
- Risks for disease morbidity vs treatment morbidity

## Factors that Influence Radiation Treatment Selection

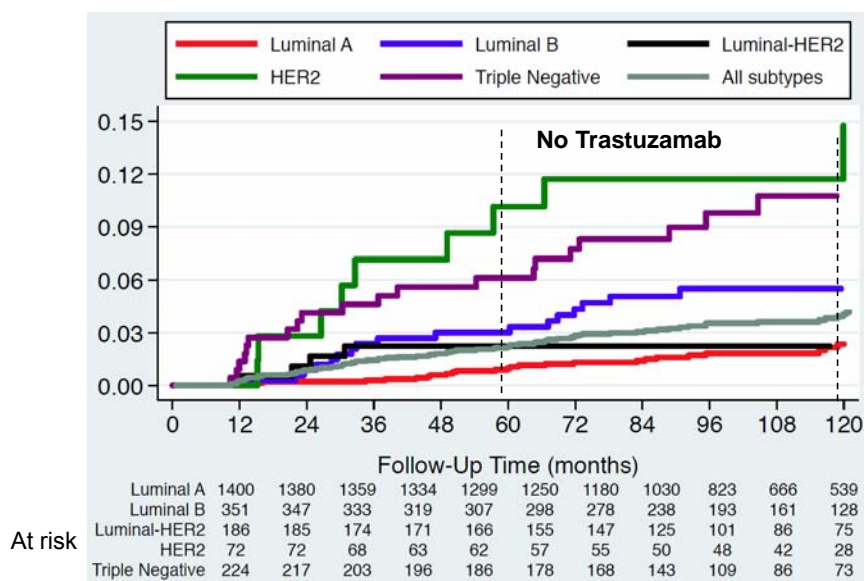
- **Patient factors:** age, comorbidities
- **Treatment factors:** type and extent of surgery, type of systemic therapy, response to neoadjuvant therapy
- **Disease burden:** T stage / size, N stage / # ratio / size, ECE, LVSI, EIC, margins
- **Biology:** grade, ER, PR, Her2, gene profile, recurrence score

# Risk Factors for LR

- Effect of biologic subtype, age, nodal involvement on risk for local recurrence
- DFCI/MGH series of >2200 pts treated with BCT from 1996-2007
- Low LR of 2% at 5 yrs
- Following slides courtesy of Jay Harris

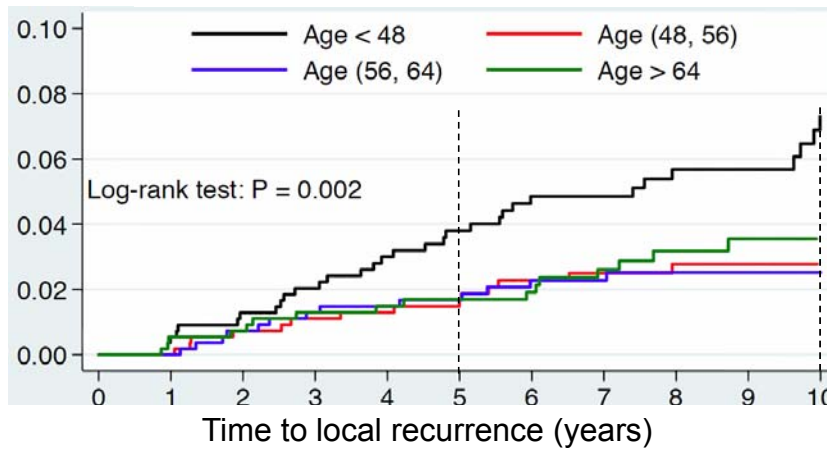
Nguyen et al, JCO 2008  
Arvold et al, JCO 2011

## K-M estimate of local recurrence by subtype



Slide courtesy of Jay Harris  
SABCS 2015 Presentation

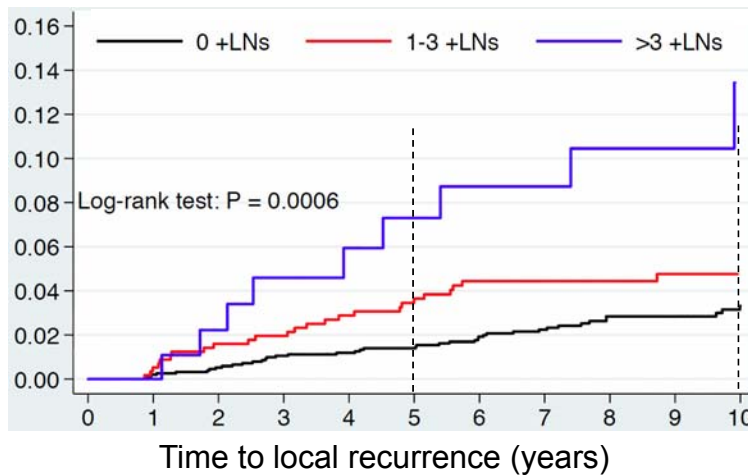
## K-M estimate of local recurrence by age



At risk	Age < 48	560	548	529	516	495	468	444	391	318	269	219
	Age (48, 56)	557	550	541	528	513	500	472	413	342	274	225
	Age (56, 64)	558	555	536	525	516	499	474	399	310	251	209
	Age > 64	558	548	531	514	496	471	438	383	304	247	190

Slide courtesy of Jay Harris  
SABCS 2015 Presentation

## K-M estimate of local recurrence by nodal status



At risk	0 + LNs	1563	1545	1506	1469	1431	1374	1288	1106	883	720	585
	1-3 + LNs	576	564	547	536	519	498	477	423	345	283	230
	>3 + LNs	94	92	84	78	70	66	63	57	46	38	28

Slide courtesy of Jay Harris  
SABCS 2015 Presentation

## Multivariate Cox regression of Local Recurrence

Prognostic factor	HR	95% CI
Age: 23-46	1.00	
47-54 and 55-63	~0.50	NS
64-87	0.19	0.07 - 0.57
Subtype: Luminal A	1.00	
Luminal B and Luminal-HER2	~2	NS
HER2 (No trastuzumab)	4.27	1.04 – 17.48
TNBC	4.15	1.19 – 14.38
Node-positive vs N0 (p=0.008)	2.46	1.27 – 4.75
Tumor >2cm vs ≤2cm (p=0.03)	2.03	1.07 – 3.88
Adjuvant chemo (p=0.02)	0.44	0.22 – 0.89

Slide courtesy of Jay Harris  
SABCS 2015 Presentation

## Radiation Treatment Options

- How is treatment tailored to the individual patient?
  - Individual risk stratification and treatment selection



## Clinical Case

71 yo lady with 1.8 cm right breast mass on screening mammogram. Bx proven invasive ca of NST, grade 2, ER/PR positive, Her2 negative.

cT1cN0M0. Opts for breast conservation.

pT1cN0 (0/2 slns), negative margins, no LVI, no EIC.

Low recurrence score. Plans for endocrine therapy.

Sees you for breast RT recommendations...

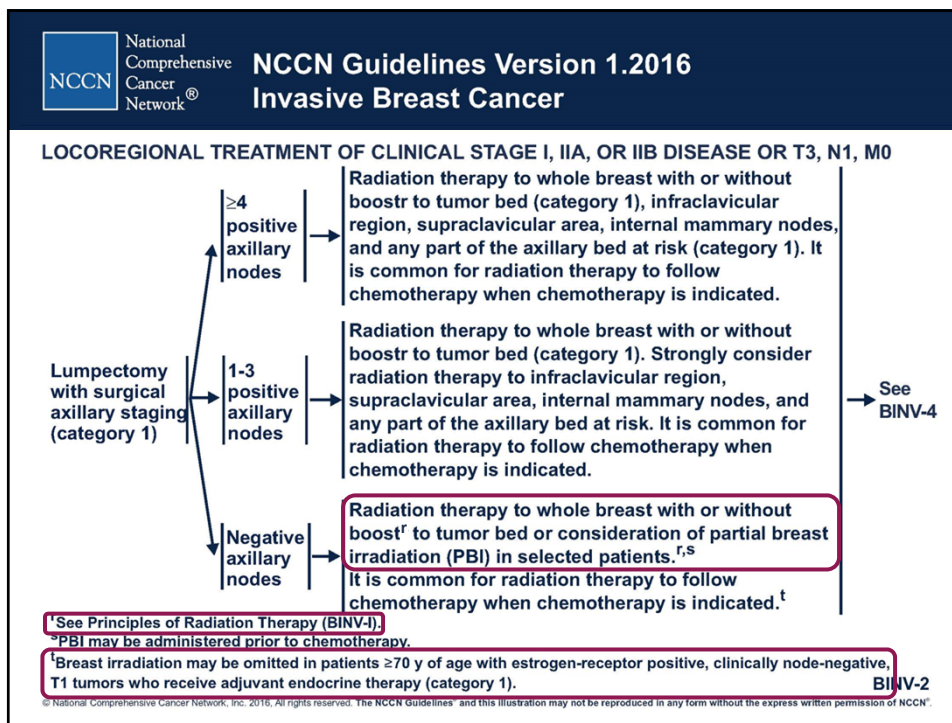
## Clinical Case

1. Mastectomy
2. Conv fx whole breast radiation  $\pm$  boost
3. Hypo fx whole breast radiation  $\pm$  boost
4. Accelerated partial breast irradiation (APBI)
5. No radiation
6. Clinical trial



# Treatment Options

- Mastectomy
  - Unlikely any indication for PMRT
  - If contraindication to RT
- Whole breast ± boost
  - Conv fx (5-6 wks)
  - Hypo fx (3-4 wks)
- APBI
  - Intraop, Brachy, EBRT (1-10 fxs)
- No radiation (omission of RT)





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## NCCN Guidelines Version 1.2016 Invasive Breast Cancer

### PRINCIPLES OF RADIATION THERAPY

#### Whole Breast Radiation:

Target definition is the breast tissue in entirety. The whole breast should receive a dose of 46–50 Gy in 23–25 fractions or 40–42.5 Gy in 15–16 fractions (hypofractionation is preferred). All dose schedules are given 5 days per week. A boost to the tumor bed is recommended in patients at higher risk for recurrence. Typical boost doses are 10–16 Gy in 4–8 fractions.

#### Accelerated Partial Breast Irradiation (APBI):

Preliminary studies of APBI suggest that rates of local control in selected patients with early-stage breast cancer may be comparable to those treated with standard whole breast RT. However, compared to standard whole breast radiation, several recent studies document an inferior cosmetic outcome with APBI. Follow-up is limited and studies are ongoing. Patients are encouraged to participate in clinical trials. If not trial eligible, per the consensus statement from the American Society for Radiation Oncology (ASTRO), patients who may be suitable for APBI are women 60 y and older who are not carriers of BRCA 1/2 mutation treated with primary surgery for a unifocal T1N0 ER-positive cancer. Histology should be infiltrating ductal or a favorable ductal subtype and not associated with EIC or LCIS, and margins should be negative.

34 Gy in 10 fractions delivered twice per day with brachytherapy or 38.5 Gy in 10 fractions delivered twice per day with external beam photon therapy is prescribed to the tumor bed. Other fractionation schemes are currently under investigation.

BINV-1

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## Hypofractionation

- Whole breast radiation: why is hypofractionation now preferred in the guidelines?
  - Long term results from Ontario and UK trials

Canadian 42.5 Gy in 16 fractions, no boost  
START B 40 Gy in 15 fractions, ± boost

- At least equivalent or better disease outcomes
- At least equivalent or better cosmesis
- At least equivalent or better side effects

Whelan et al, NEJM 2010

Haviland et al, Lancet Oncol 2013

# Hypofractionation

- Who can be treated with hypofractionated whole breast irradiation?
- ASTRO Guidelines 2011  
(following Ontario publication but prior to UK)

Table 1. Evidence supports the equivalence of hypofractionated whole breast irradiation with conventionally fractionated whole breast irradiation for patients who satisfy all of these criteria\*

1. Patient is 50 years or older at diagnosis.
2. Pathologic stage is T1–2 N0 and patient has been treated with breast-conserving surgery.
3. Patient has not been treated with systemic chemotherapy.
4. Within the breast along the central axis, the minimum dose is no less than 93% and maximum dose is no greater than 107% of the prescription dose ( $\pm 7\%$ ) (as calculated with 2-dimensional treatment planning without heterogeneity corrections).

\* For patients who do not satisfy all of these criteria, the task force could not reach consensus and therefore chose not to render a recommendation either for or against hypofractionated whole breast irradiation in this setting. Please see the text for a thorough discussion of tumor grade. Patients receiving any type of whole breast irradiation should generally be suitable for breast-conserving therapy with regards to standard selection rules (e.g., not pregnant, no evidence of multicentric disease, no prior radiotherapy to the breast, no history of certain collagen-vascular diseases).

- I treat more broadly than this since UK results
- ASTRO to update guidelines in 2017
- Not used routinely for nodal irradiation at this time

Smith et al, IJROBP 2011

## ASTRO Choosing Wisely®

- One of the 2013 recommendations:
  - Don't initiate whole breast radiotherapy as a part of breast conservation therapy in women age >50 with early stage invasive breast cancer without considering shorter treatment schedules.

**Choosing  
Wisely**®

*An initiative of the ABIM Foundation*

## Recent Publications: Hypofractionation

Research

Original Investigation

**Differences in the Acute Toxic Effects of Breast Radiotherapy by Fractionation Schedule**  
Comparative Analysis of Physician-Assessed and Patient-Reported Outcomes in a Large Multicenter Cohort

Reshma Jaggi, MD, DPhil, Kent A. Griffith, MS, Thomas P. Boike, MD, Eleanor Walker, MD, Taimour Naranjo, PhD, Inga S. Grills, MD, Jean M. Moran, PhD, Mary Feig, MD, James Hayman, MD, Lori J. Pierce, MD

Research

Original Investigation

**Acute and Short-term Toxic Effects of Conventionally Fractionated vs Hypofractionated Whole-Breast Irradiation**  
A Randomized Clinical Trial

Simona F. Shattelman, MD, MEd, Pamela J. Schlembach, MD, Isadora Arzu, MD, PhD, Matthew Ballo, MD, Elizabeth S. Bloom, MD, Daniel Buchholz, MD, Gregory M. Chronowski, MD, Tomas Dvorak, MD, Emily Gracie, MD, Karen E. Hoffman, MD, MPH, Patrick Kelly, MD, PhD, Michelle Ludwig, MD, PhD, George H. Perkins, MD, MBA, Valerie Reed, MD, Shalin Shah, MD, Michael C. Stauder, MD, Eric A. Strom, MD, Walecia Tarraf, MD, Wendy A. Woodward, MD, PhD, Joel Enos, PhD, Donald Baumers, MD, Alexander M. Thompson, MD, Diana Amey, RN, Tereisa Davis, RN, William Guerra, BA, Lois Hamblin, RN, Gabriel Hontela, MD, Kelly K. Hunt, MD, Thomas A. Buchholz, MD, Benjamin D. Smith, MD

Invited Commentary

**Hypofractionation for Early-Stage Breast Cancer**  
No More Excuses

Shyam K. Tanguturi, MD, Jennifer R. Bellon, MD

JAMA Oncol 2015

## Accelerated Partial Breast Irradiation (APBI)

- Different methods for delivery
  - IORT
  - Interstitial
  - Intracavitary
  - EBRT
- Different guidelines/consensus statements
  - ASTRO, ASBS, ABS, ESTRO
  - Inclusion/exclusion criteria for NSABP B39/ RTOG 0413
- ASTRO defines suitable, cautionary, unsuitable groups
- NCCN guidelines based on ASTRO suitable group

Smith et al, IJROBP 2009



# ASTRO APBI Consensus Statement

Table 2. Patients "suitable" for APBI if all criteria are present

Factor	Criterion
Patient factors	
Age	≥60 y
BRCA1/2 mutation	Not present
Pathologic factors	
Tumor size	≤2 cm*
T stage	T1
Margins	Negative by at least 2 mm
Grade	Any
LVI <sup>†</sup>	No <sup>‡</sup>
ER status	Positive
Multicentricity	Unicentric only
Multifocality	Clinically unifocal with total size ≤2.0 cm <sup>‡</sup>
Histology	Invasive ductal or other favorable subtypes <sup>§</sup>
Pure DCIS	Not allowed
EIC	Not allowed
Associated LCIS	Allowed
Nodal factors	
N stage	pN0 (i, i <sup>†</sup> )
Nodal surgery	SN Bx or ALND <sup>  </sup>
Treatment factors	
Neoadjuvant therapy	Not allowed

\*\*\*Currently being updated\*\*\*

New draft was open for public comment through March 2016

Smith et al, IJROBP 2009

## Omission of Radiation

- In selected women with lower risk for recurrence
- No survival detriment
- CALGB 9343
  - 70 yrs or older, small cancers, negative nodes, negative margins, ER/PR positive
  - BCS → Tamoxifen ± RT
  - LRR 10% (no RT) vs 2 % (RT) at median 12.6 yrs
- PRIME II, Fyles et al, NSABP B-21

Hughes et al, JCO 2013; Kunkler et al, Lancet Oncol 2015;

Fyles et al, NEJM 2004; Fisher et al JCO 2002

## Omission of Radiation: Practice Patterns

- Among NCCN institutions
  - Wide variability by site (range <10% to 50%)
  - Increased adoption with change in guidelines
  - Multivariable analysis for RT omission
    - Dx after 2004, older age, comorbidities, smaller tumors, no axillary surgery, treating institution
    - 70-74 yrs of age
      - Receipt of RT in 2000 94% vs 88% in 2009
    - >80 yrs of age
      - Receipt of RT in 2000 80% vs 41% in 2009

McCormick et al, J Am Coll Surg 2014

## Adjuvant Radiation Options Following BCS: Summary

- Hypofractionated Whole Breast Irradiation
  - ***PREFERRED***
- Accelerated Partial Breast Irradiation (APBI)
  - ***ASTRO suitable criteria***
- Omission of RT
  - ***YES in select patients***

## Clinical Case redux

Now our patient has BCS with SLN Bx and 1/3 SLNs are positive.

Sees you for next treatment recommendations...

## Clinical Case Redux

1. Axillary dissection
2. Whole breast radiation  $\pm$  boost
3. High tangents radiation  $\pm$  boost
4. Whole breast + regional nodal irradiation
5. Accelerated partial breast irradiation (APBI)
6. Clinical trial

## Clinical Case Redux2

Or she opts for mastectomy with SLN Bx and 1/3 SLNs are positive...

Axillary dissection?

PMRT?

Systemic therapy options?

## Which patients need regional nodal irradiation (or not)?

- What influence of surgical resection and axillary surgical staging?
- What about in setting of neoadjuvant chemotherapy?
- What extent of RNI?



## Which patients need regional nodal irradiation (or not)?

### TARGETS:

#### Whole breast

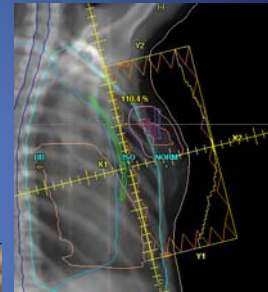
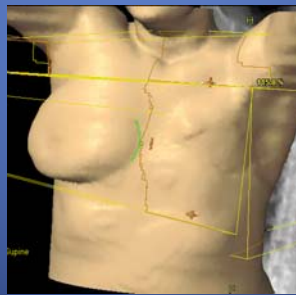
- Standard Tangents
- High Tangents

#### Chest wall

±

#### Regional nodes

- SCV
- ICV
- Axilla at risk
- IMNs



## Which patients need regional nodal irradiation (or not)?

- In setting of BCT?
  - ACOSOG Z11: cT1-2N0, 1-2 +SLNs, tangents
  - IBCSG 23-01: N1mic
  - MA 20: higher risk patients
  - EORTC 22922: higher risk patients

Giuliano et al, JAMA 2011; Galimberti et al, Lancet Oncol 2013;

Whelan et al, NEJM 2015; Poortmans et al, NEJM 2015;

## Which patients need regional nodal irradiation (or not)?

- In post mastectomy setting?
  - B-04, Danish 82b and 82c, British Columbia
  - ECOG and NSABP pooled analyses
  - Patients on more recent trials?
    - Few on IBCSG and some on EORTC
    - SUPREMO
  - EBCTCG: benefit to RT

Fisher et al, NEJM 2002; Overgaard et al, Radiother Oncol. 2007; Ragaz et al, JNCI 2005;  
Recht et al, JCO 1999; Taghian et al, JCO 2004; EBCTCG, Lancet, 2014

## Which patients need regional nodal irradiation (or not)?

- AxLND vs Axillary RT?
  - AMAROS
- In setting of neoadjuvant chemo?
  - Currently based on maximal disease stage
  - SENTINA: axillary staging options
  - Current open trials
    - NSABP B51 / RTOG 1304
    - Alliance A011202

Donker et al, Lancet Oncol 2014

## ACOSOG Z11

- RCT of AxLND vs observation for women with 1-2 positive SLNs
- 891 pts, cT1-2N0
- ~ 40% of +SLNs were micromets
- On AxLND, 27.4% of patients had additional +LNs
- Whole breast RT via tangents, no nodal
  - QARC analysis showed variation with 3<sup>rd</sup> field, high tangents use
- Median 6.3 yrs, no difference and low rates of LR / LRR (<5%), less lymphedema with SLN alone

Giuliano et al, JAMA 2011

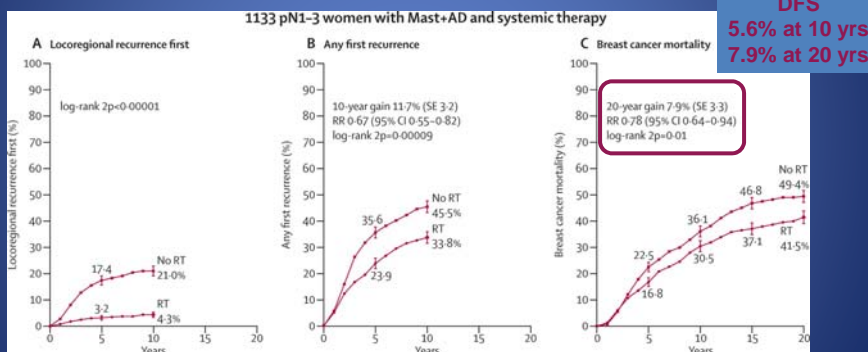
Jagsi et al, JCO 2014

## EBCTCG Meta-Analysis 2014

- “Effects of RT after Mastectomy and Axillary Surgery on 10 yr Recurrence and 20 yr Breast Cancer Mortality”
- 8,135 women, 22 randomized trials
- In women with 1-3 N+ and  $\geq 4$  N+
  - RT reduced LRR, OR, and breast cancer mortality
- Are the risks for recurrence the same now?
- Does this mean everyone should be treated?

EBCTCG, Lancet 2014

# EBCTCG Meta-Analysis 2014



EBCTCG, Lancet 2014

## Recent Publications: Regional Nodal Irradiation



MA.20

EORTC 22922



Whelan et al, NEJM 2015

Poortmans et al, NEJM 2015

# Regional Nodal Irradiation

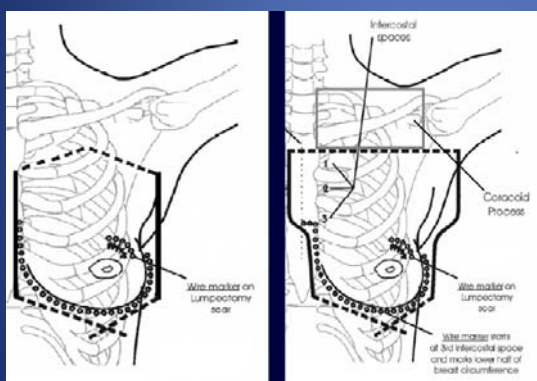
- MA.20:
  - 1832 pN+ (85% N1) or high risk N- pts (10%)
  - BCS and ALND, adjuvant systemic tx
  - WBI ± RNI
    - RNI = IMNs, SCV, ICV, ± Ax
- EORTC 22922:
  - 4000 pN+ (44% N1) or high risk N- pts (43%)
  - BCS (76%) or M and ALND, adjuvant systemic tx
  - WBI or CW ± RNI
    - RNI = IMNs, SCV, ICV, ± Ax

\* definitions of high risk N- differed as types did use of chemotherapy/endocrine therapy

Whelan et al, NEJM 2015

Poortmans et al, NEJM 2015

## MA.20 Radiation



- WBI
  - 50/25
  - +/- 10 Gy boost
- WBI + RNI (45/25)
  - IMNs
  - SCV/Level III

Whelan et al, NEJM 2015



# Regional Nodal Irradiation

- Results from MA 20 and EORTC 22922:
  - 10 yr median follow up
  - Primary endpoint was OS
  - RNI improved locoregional DFS, distant DFS, and death from breast cancer, but did not improve OS

Whelan et al, NEJM 2015

Poortmans et al, NEJM 2015

## MA.20 Results

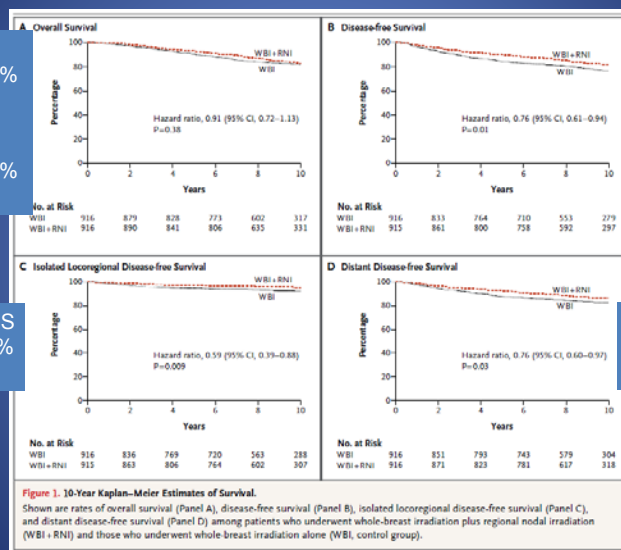
OS  
82.8% vs 81.8%  
p=0.38

ER-  
81.3% vs 73.9%  
p=0.05

Isolated LR DFS  
95.2% vs 92.2%  
p=0.009

DFS  
5% at 10 yrs  
82% vs 77%  
p=0.01

Distant DFS  
86.3% vs 82.1%  
p=0.03



Whelan et al, NEJM 2015

How do we interpret and reconcile the differences between these studies in determining the role for regional nodal irradiation?

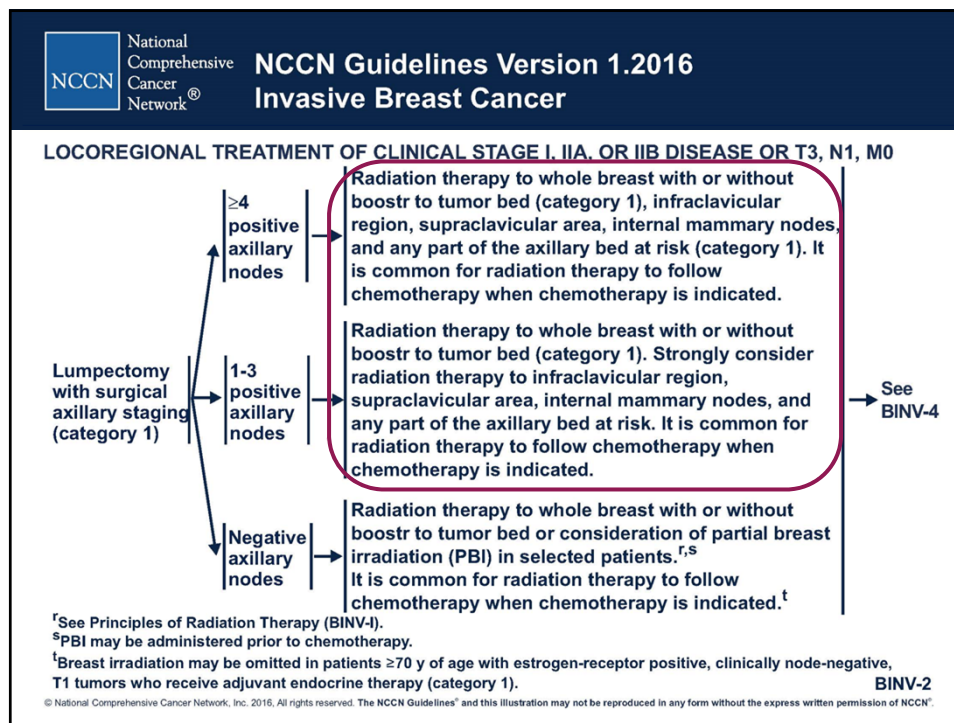
## Which patients need regional nodal irradiation (or not)?

- Consider whether a given study is applicable and whether an individual patient met the study eligibility.
- Assess individual risk for recurrence.
- Nomograms may be helpful.
  - MDACC and MSKCC
  - Prediction of additional positive non SLNs
  - T stage, histology, grade, # positive LNs, # LNs, size of LN met, ECE, LVSI, multifocality, ER status



## Which patients need regional nodal irradiation (or not)?

Questions and answers regarding the extent of lymph node surgery (SLN Bx vs Ax LND) are not the same as question and answers regarding the need for, type of, and extent of regional nodal radiation.

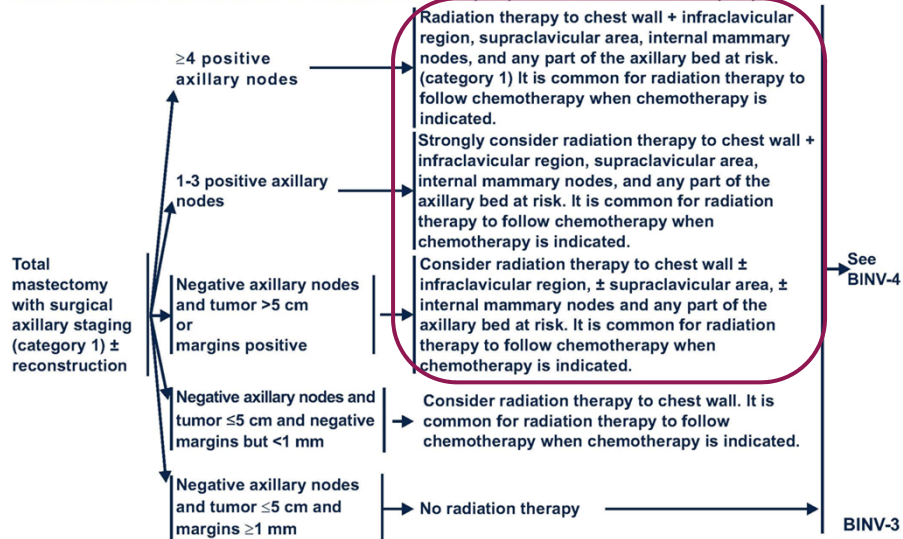




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## NCCN Guidelines Version 1.2016 Invasive Breast Cancer

### LOCOREGIONAL TREATMENT OF CLINICAL STAGE I, IIA, OR IIB DISEASE OR T3, N1, M0



## Regional Nodal Irradiation

- Which nodal volumes treated?
  - SCV
  - ICV
  - Axillary bed at risk
  - IMNs
- Attention to normal tissue dose constraints
  - In particular heart and lung

## Areas of Ongoing Study

- Concomitant boost with hypofractionation
  - RTOG 1005
- cN+ disease receiving neoadjuvant chemotherapy
  - Extent of axillary surgery and/or radiation
  - SLN bx negative → NSABP B51
  - SLN bx positive → Alliance A011202
- Hypofractionation for nodal RT / PMRT
- Use of biologic parameters to guide local therapy options

## Adjuvant Radiation Therapy in Early Stage Breast Cancer

- Learning objectives:
  - To describe various adjuvant radiation treatment options in early stage breast cancer
    - Many radiation treatment options
  - Optimize individual treatment

## Adjuvant Radiation Therapy in Early Stage Breast Cancer

- Learning objectives:
  - To recognize patient and clinical factors that influence adjuvant radiation treatment selection
    - Multiple variables and factors
      - Patient factors
      - Treatment factors
      - Disease burden
      - Biology
    - Not one single method to assess risk
    - Increasingly biology will be used to tailor therapies

## Adjuvant Radiation Therapy in Early Stage Breast Cancer

- Future Directions
  - To be better able to assess risk and treat accordingly
  - Define greater role for hypofractionation
  - Define more groups for omission of RT
  - Define in whom to treat or not treat with RNI
  - Define radiation treatment selection following neoadjuvant therapy