

# NCCN Guidelines<sup>®</sup> Updates: Breast Cancer

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## Update to the Breast Cancer Guidelines-2016 Systemic Therapy

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# Outline

- Preoperative endocrine rx
- Optimizing adjuvant endocrine rx in pre- and postmenopausal women
- Preoperative HER2 –directed therapy
- New partners for endocrine rx in MBC
- Fertility

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## NCCN Guidelines Version 1.2016 Invasive Breast Cancer Updates

Updates in Version 1.2016 of the NCCN Guidelines for Breast Cancer from Version 2.2015 include:

- [BINV-10](#)
  - Modified the workup for consistency with BINV-1.
  - CBC includes platelets, deleted "platelets"
  - "Assess for distress" - moved the link to the NCCN Guidelines for Distress Management from the algorithm to a footnote.
  - Additional studies consider: CBC, liver function tests and alkaline phosphatase as optional based on signs and symptoms and clinical stage I-IIb, and IIIa (T3,N1,M0).
  - If lymph node FNA or core biopsy positive, axilla may be restaged after preoperative systemic therapy, added "(category 2B)."
  - Removed bottom branch for "Surgical resection."
- [BINV-11](#)
  - Clinically negative axillary lymph node, changed "should have" to "consider."
  - Clinically positive axillary lymph node, added (category 2B) to "SLNB or ALND can be performed if axilla is clinically negative."
- [BINV-12](#)
  - Preoperative systemic therapy, modified the statement "[Endocrine therapy alone with an aromatase inhibitor (preferred option for postmenopausal women; given along with ovarian suppression for premenopausal women) or tamoxifen may be considered for patients with hormone-receptor positive disease]."
  - Added a footnote "[See Principles of Preoperative Systemic Therapy \(BINV-L\).](#)"
- [BINV-13](#)
  - Mastectomy and surgical axillary staging ± reconstruction - Added a footnote. "[See Principles of Breast Reconstruction Following Surgery \(BINV-H\).](#)"
  - Revised footnote "qq": "Axilla may be restaged after preoperative systemic therapy (category 2B); ALND should be performed if axilla is clinically positive; SLNB or ALND can be performed if axilla is clinically negative."[BINV-14](#)
- [BINV-14](#)
  - Listed CBC, liver function tests and alkaline phosphatase under Additional studies.
  - CBC includes platelets, deleted "platelets"
  - "Assess for distress" - moved the link to the NCCN Guidelines for Distress Management from the algorithm to a footnote.

**UPDATES-2**

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## Who is Suitable for Neoadjuvant Endocrine Therapy?

- Selection paramount
  - ER Rich Cancers (Allred 7+8)
  - Older postmenopausal women but also
  - Younger women with significant morbidities

Neoadjuvant chemotherapy +/- anti-HER2 rx is increasingly successful in producing pCRs, BUT only in ER- and HER2-positive cancers



## ER vs Response to Neoadjuvant Chemotherapy

- 1731 patients neoadjuvant chemotherapy

- 1163 ER +; 556 ER-

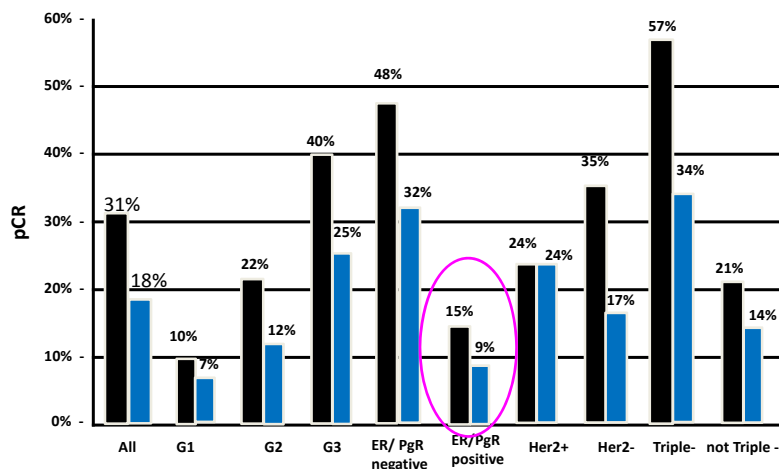
### Path CR Rate

- 24% for ER-
- 8% for ER+

p <0.001

Guarneri et al JCO 2006: 24; 1037-44

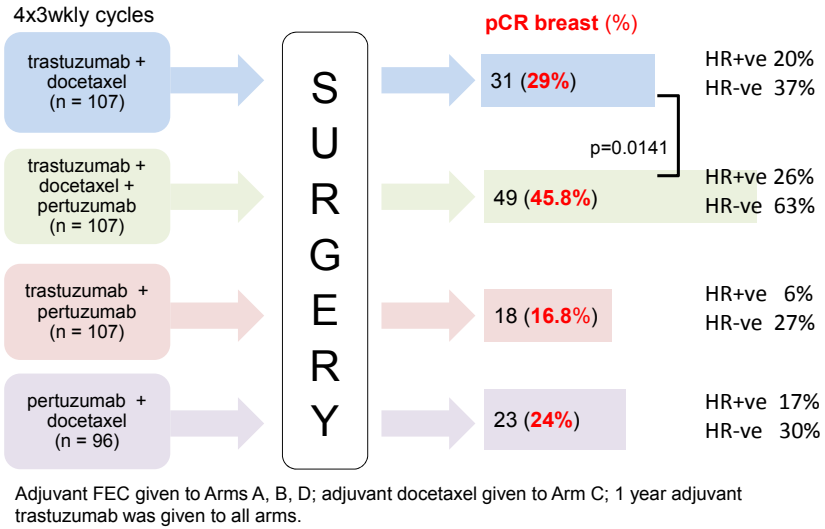
## Effect of Phenotype on pCR to Neoadjuvant Chemotherapy - GepearTrio



Huober J, et al. *Breast Cancer Res Treat.* 2010;124(1):133-140.

Age <40 – black bars  
Age ≥40 – blue bars

## NeoSphere: Neoadjuvant Phase 2 Operable or Locally Advanced. >2cm (417pts)



Gianni L, et al. *Lancet Oncol* 2012; **13**:25–32

Not fully appreciated that pCR is not as important in predicting outcome of ER- positive cancers

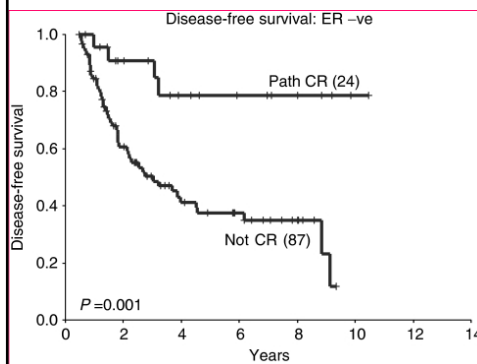
## ER status, pCR and prognosis in patients receiving neoadjuvant chemotherapy for early breast cancer

A E Ring, I E Smith, S Ashley, L G Fulford, and S R Lakhani  
*Br J Cancer.* 2004; 91: 2012–2017

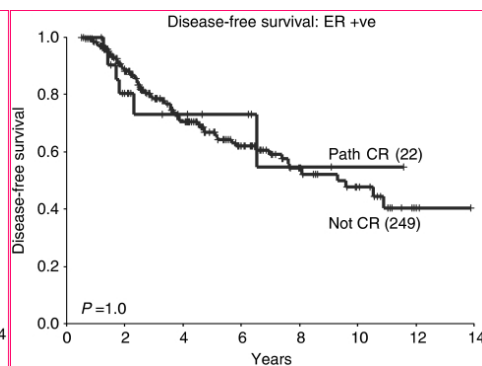
- 435 patients treated with neoadjuvant chemotherapy

## DFS in Patients with ER– and ER+ Cancers vs pCR

### ER Negative

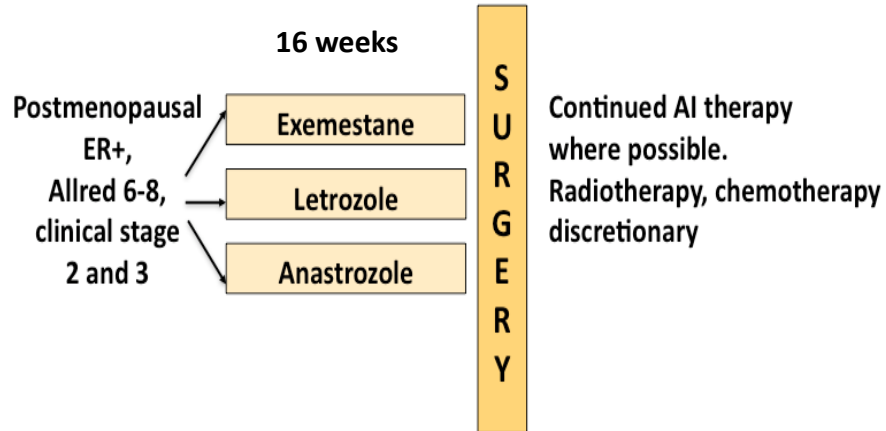


### ER Positive



Ring et al *Br J Cancer.* 2004; 91: 2012–2017

## ACOSOG Z1031 Study Design Cohort A



National Institutes of Health. [www.clinicaltrials.gov/ct/show/NCT00265759](http://www.clinicaltrials.gov/ct/show/NCT00265759). Accessed April 13, 2011.

## ACOSOG Z1031, Cohort A Clinical Responses

Clinical Response	Treatment Arm		
	EXE (n = 124)	LET (n = 127 )	ANA (n = 123)
<b>Complete Response</b>	<b>25 (20%)</b>	<b>26 (21%)</b>	<b>20 (16%)</b>
<b>Partial Response</b>	<b>49 (40%)</b>	<b>66 (52%)</b>	<b>63 (51%)</b>
<b>Clinical Response rate</b>	<b>74/124 (60%)</b>	<b>92/127 (72%)</b>	<b>83/123 (68%)</b>

All were ER rich with Allred scores of 6-8.

Olson JA, et al. Presented at: 2010 Breast Cancer Symposium; October 1-3, 2010; Washington, DC. Abstract 91.



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## NCCN Guidelines Version 1.2016 Invasive Breast Cancer Updates

Updates in Version 1.2016 of the NCCN Guidelines for Breast Cancer from Version 2.2015 include:

**BINV-H (1 of 2)**

- First paragraph, added the following “However, breast reconstruction should not interfere with the appropriate surgical management of the cancer or the scope of appropriate surgical treatment for this disease. Coordinating consultation and surgical treatment with a reconstructive surgeon should be executed within a reasonable time frame.”
- Modified “Oncoplastic techniques for breast conservation can extend breast-conserving surgical options in situations where the resection by itself would likely yield an unacceptable cosmetic outcome.”

**BINV-H (2 of 2)**

- Modified the statement “Evidence of nipple involvement such as Paget’s disease or other nipple discharge associated with malignancy, and/or imaging findings suggesting malignant involvement of the nipple or subareolar tissues is a contraindicates nipple preservation.”

**BINV-I**

- This page has been reorganized and updated.

**BINV-J**

- Changed tamoxifen for 5 y (category 1) ± ovarian suppression or ablation (category 2B) to a (category 1).
- Adjuvant endocrine therapy - premenopausal at diagnosis, added “or aromatase inhibitor for 5y + ovarian suppression or ablation (category 1).” With a new footnote “Aromatase inhibitor or tamoxifen for 5 y plus ovarian suppression should be considered, based on SOFT and TEXT clinical trial outcomes, for premenopausal women at higher risk of recurrence (i.e. young age, high grade tumor, lymph node involvement, Pagani, NEJM 2014, Prudence, NEJM 2014). Survival data still pending.”

UPDATES-4

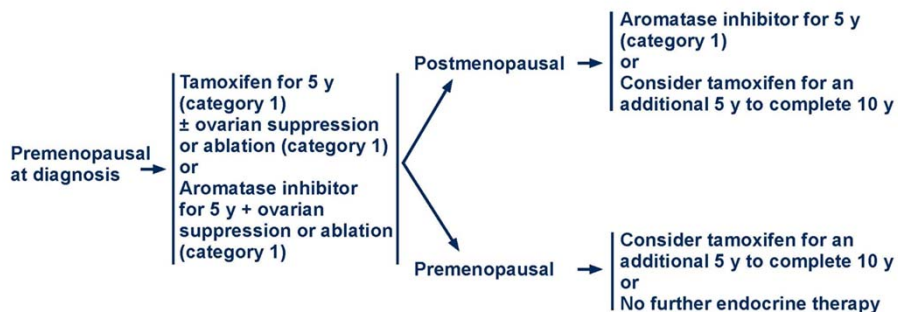
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## NCCN Guidelines Version 1.2016 Invasive Breast Cancer

### ADJUVANT ENDOCRINE THERAPY



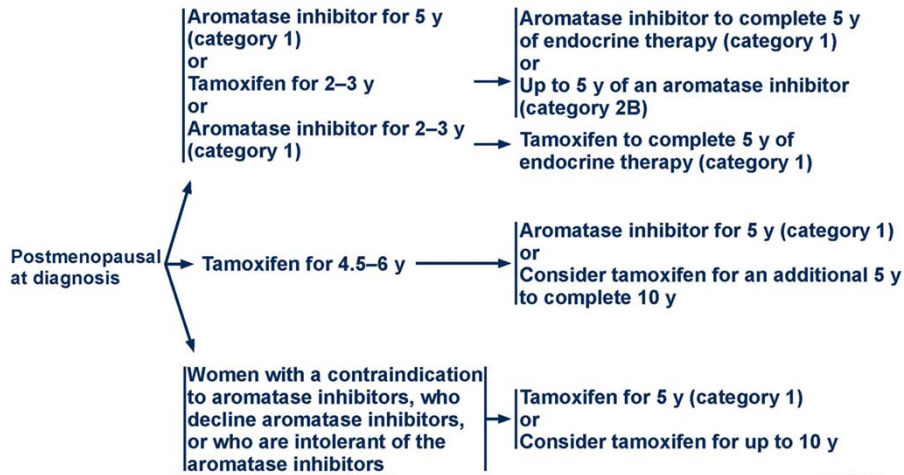
BINV-J

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ADJUVANT ENDOCRINE THERAPY



BINV-J

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### Early Stage Breast Cancer Tamoxifen: 5 Years Vs. Not

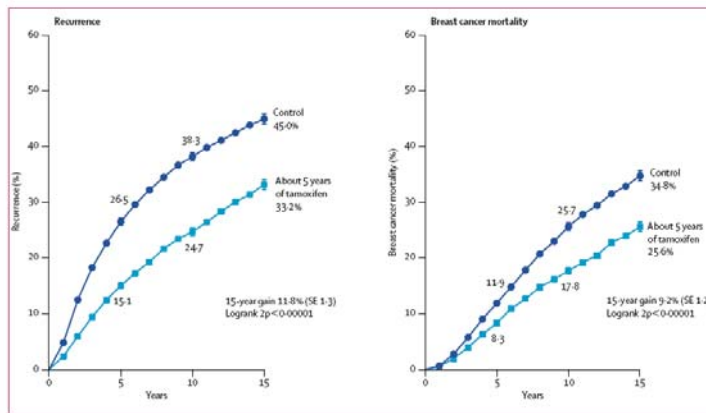


Figure 8: About 5 years of tamoxifen versus not in ER-positive (or ER-unknown) disease: 15-year probabilities of recurrence and of breast cancer mortality 10 386 women: 20% ER-unknown, 30% node-positive. Error bars are ±1SE.

• More than half of recurrences and deaths occur post-treatment

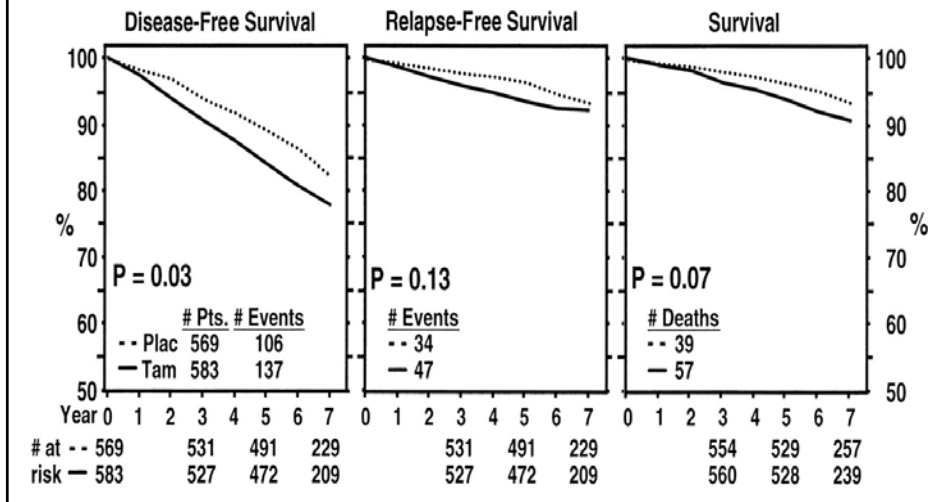
EBCTCG, Lancet 2005,365: 1687

# Tamoxifen

Why did we stop at 5 years anyway?

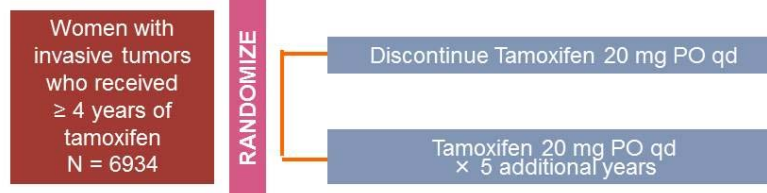
## Duration of Tamoxifen: NSABP B-14

Fisher, et al. JNCI 2001;  
median f/u 7 years post-rerandomization

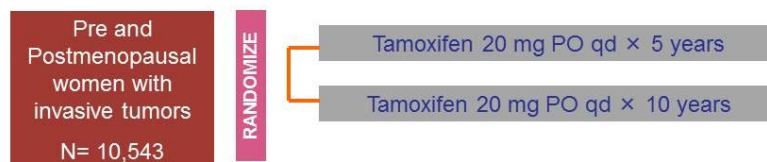


# Duration of Adjuvant Endocrine Therapy: What Have ATLAS & aTTOM Taught Us?

## Adj Tamoxifen To Offer More (aTTOM)



## Adj Tamoxifen Longer Ag Shorter (ATLAS)



PRESENTED AT: ASCO Annual '13 Meeting

Dent, R. 2013. ASCO Annual Meeting. Chicago, IL.

## Combined Outcomes in ATLAS and aTTom

	Breast Cancer Mortality	Overall Survival
Years 5 - 9	0.97 (0.84-1.15)	0.99 (0.89-1.10)
Years 10+	0.75 (0.65-0.86)*	0.84 (0.77-0.93)*
All Years	0.85 (0.77-0.94)*	0.91 (0.84-0.97)*

\*p < 0.05 favoring 10 years

Gray, et al. Abstract 5. ASCO 2013

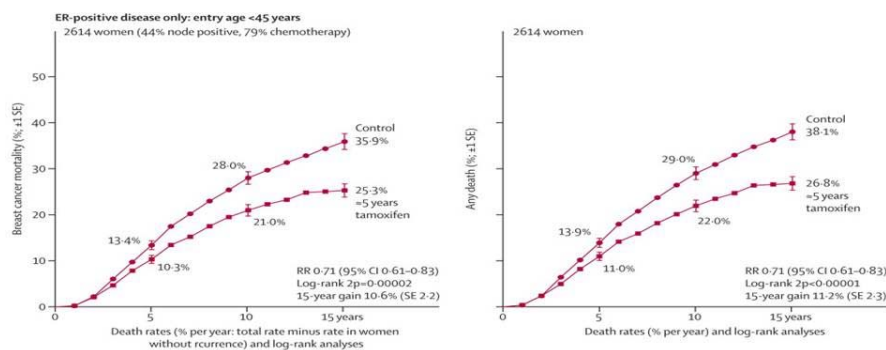
## Adjuvant Endocrine Therapy Premenopausal Women

## Breast Cancer in Premenopausal Women: The Scope of the Problem

- Most frequent cancer diagnosis in women worldwide
- In the US
  - ~75,340  $\leq$  age 54
  - ~25,270  $\leq$  age 44
- Most common cause of cancer death



## 15 year Outcome with 5 Years of Tamoxifen in < 45 Year Old Women



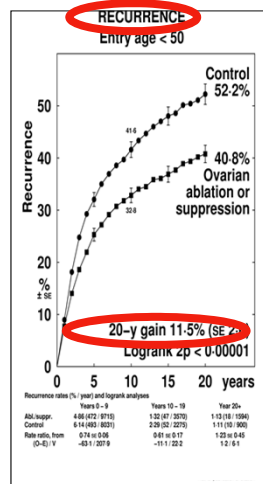
EBCTCG; The Lancet, Volume 378, Issue 9793, 2011, 771 - 784



## EBCTCG Ovarian Suppression/Ablation

### 2006 EBCTCG

- OA/OS vs not
- No chemo
- Not selected for ER



## SOFT: SUPPRESSION of OVARIAN FUNCTION TRIAL Premenopausal ER+ve and/or PR+ve Breast Cancer

3047 Patients Randomized  
in ITT (Dec 2003 - Jan 2011)

Primary  
Analysis (n=2033)

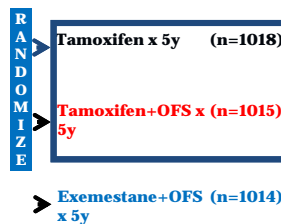
Median follow-up=5.6 y

### Two Patient Cohorts

**No Chemotherapy (47%)**  
Premenopausal, within 12 weeks of surgery  
(Median time since surgery = 1.8 months)

### Prior Chemotherapy (53%)

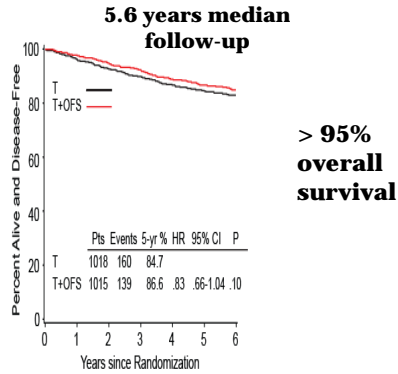
Premenopausal\* after completing chemotherapy;  
Randomization within 8 months of completion  
(Median time since surgery = 8.0 months)



\*According to locally-determined E<sub>2</sub> level in premenopausal range

OFS= ovarian function suppression  
(oophorectomy, triptorelin or XRT)

## SOFT Primary Analysis: Disease-free Survival

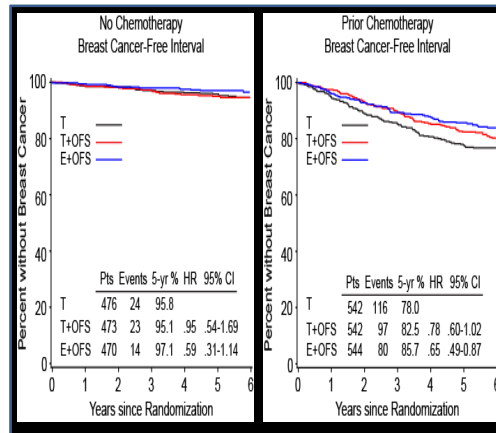


**> 95% overall survival**

**Primary analysis in overall population not significant (p=0.10)**  
**Multivariable Cox model HR=0.78 (95% CI 0.62-0.98) p=0.03**

Francis et al, N Engl J Med, 2015

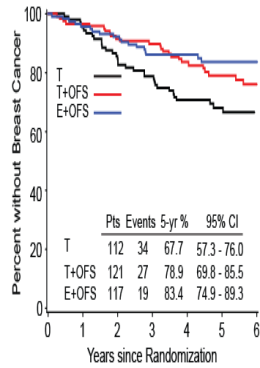
## SOFT—Outcomes by Chemotherapy



**No chemotherapy cohort selected for low risk features:**  
**90% ≥ age 40yr, 91% node negative, 85% tumor ≤ 2cm, 41% grade 1**

Francis et al, N Engl J Med, 2015

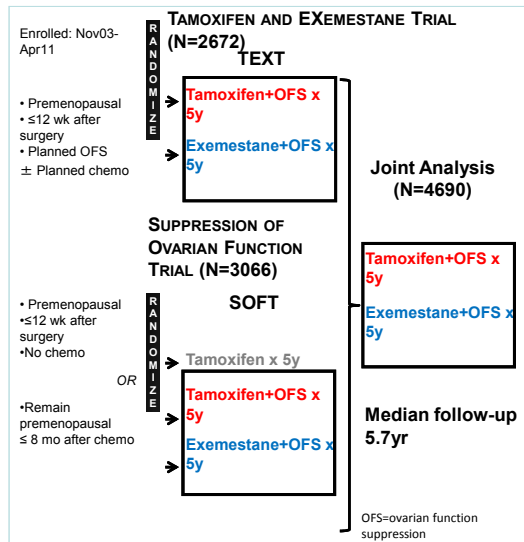
## SOFT—Outcomes for Women < 35 yr



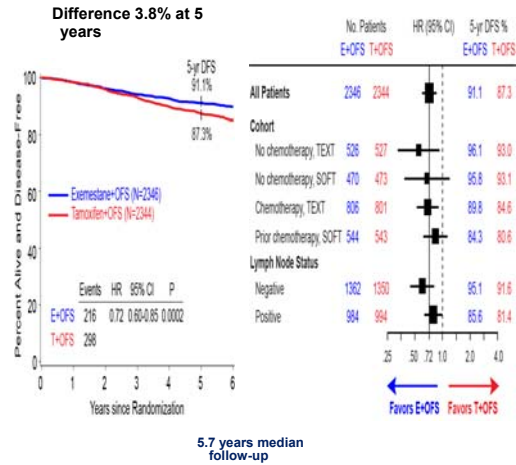
- 350 patients (11.5%) under age 35
- 94% received chemotherapy in this age group

Francis et al, N Engl J Med, 2015

## TEXT and SOFT Joint Analysis



## Exemestane+OFS Improved DFS



Pagani et al, N Engl J Med, 2014

## Joint Analysis of TEXT and SOFT

### Exemestane + OFS vs Tamoxifen + OFS

Outcome	HR (95% CI)	P
DFS	0.72 (0.60-0.85)	0.0002
BCFI	0.66 (0.55-0.80)	<0.0001
DDFI	0.78 (0.62-0.97)	0.02
OS	1.14 (0.86-1.51)	0.37

Median Follow-up of 5.7 years

Pagani et al, N Engl J Med, 2014

### Predictable Adverse Events Profile

CTCAE V3.0 Grade 3-4	E + OFS	T + OFS
Musculoskeletal	11%	5.2%
Fracture	1.3%	0.8%
Cardiac	0.3%	0.1%
Thrombosis/ embolism	0.8%	1.9%
Dyspareunia	2.3%	1.4%
Premature discontinuation	16%	11%

Pagani et al, N Engl J Med, 2014

### Patient Reported Outcomes in Joint Analysis

Symptom	OFS + Tamoxifen	OFS + Exemestane
Hot flashes/sweats	+	
Vaginal dryness		+
Loss of sexual interest		+
Difficulty with arousal		+
Bone/joint pain		+

“Changes in global QOL were small and similar between treatments over the 5 years”

Bernhard et al, Lancet Oncol, 2015



## Adjuvant Endocrine Therapy for Premenopausal Women

- **Consider use of OFS+Tamoxifen or OFS+AI for higher risk women like:**
  - Chemotherapy recipients who remain premenopausal**
  - Multiple positive nodes**
  - Age < 35 yrs**
- **Optimal duration of OFS-based therapy uncertain-suggest 3-5 years**
- **Long term follow-up of pivotal trials for adherence, toxicity & benefit critical**

## HER2+ Disease



Updates in Version 1.2016 of the NCCN Guidelines for Breast Cancer from Version 2.2015 include:

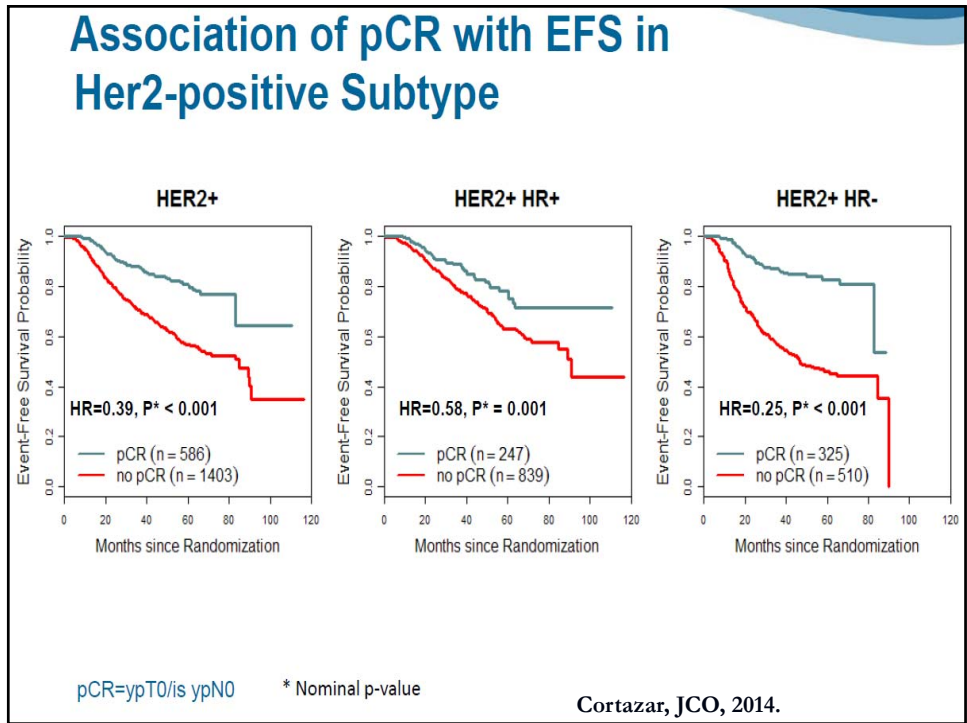
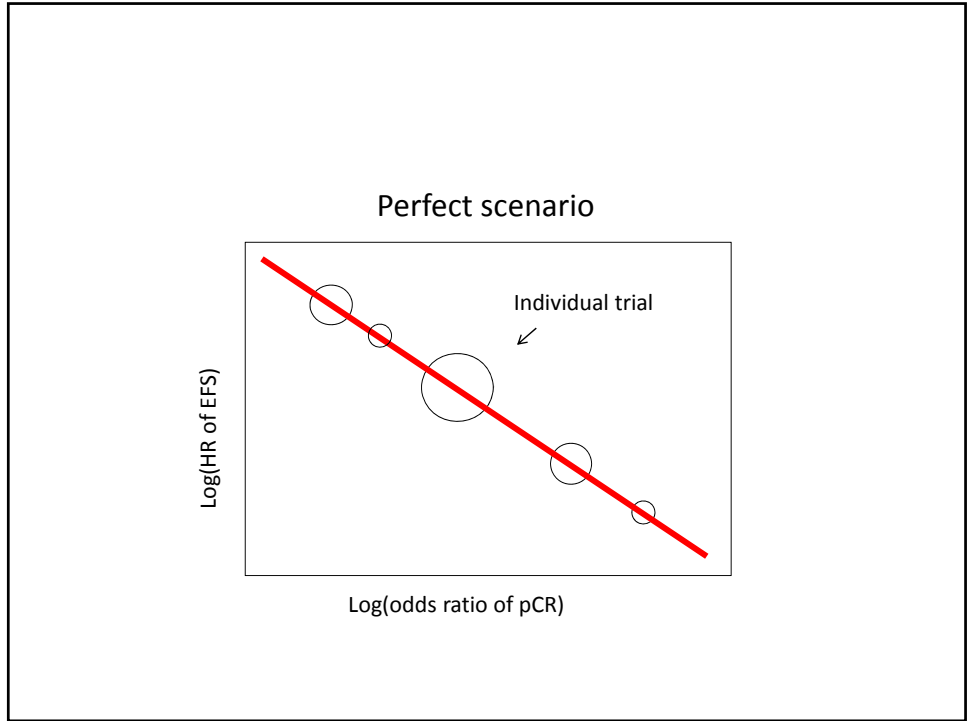
**BINV-15**

- “Preoperative systemic therapy, modified the statement [Endocrine therapy alone with an aromatase inhibitor (preferred option for postmenopausal women; given along with ovarian suppression for premenopausal women) or tamoxifen may be considered for patients with hormone-receptor positive disease].”
- Added a footnote “[See Principles of Preoperative Systemic Therapy \(BINV-L\)](#).”
- Removed the following footnotes from page [BINV-12](#) and [BINV-15](#), they have been incorporated into [Principles of Preoperative Systemic Therapy \(BINV-L\)](#):
  - ▶ A number of chemotherapy regimens have activity in the preoperative setting. In general, those chemotherapy regimens recommended in the adjuvant setting may be considered in the preoperative setting. See Preoperative/Adjuvant Chemotherapy (BINV-K). If treated with endocrine therapy, an aromatase inhibitor is preferred for postmenopausal women.
- ▶ Patients with HER2-positive tumors should be treated with preoperative systemic incorporating trastuzumab for at least 9 weeks of preoperative therapy. See Preoperative/Adjuvant Chemotherapy (BINV-K).
- ▶ A pertuzumab-containing regimen may be administered preoperatively to patients with greater than or equal to T2 or greater than or equal to N1, HER2-positive breast cancer.
- ▶ Administration of all chemotherapy prior to surgery is preferred.
- Removed “(plus internal mammary nodes if involved, strongly consider internal mammary nodes if not clinically involved (category 2B).”
- Removed “delayed” from breast reconstruction.
- Removed “consider” from the mastectomy/lumpectomy choice.
- Added “and internal mammary nodes and any part of the axillary bed at risk.”

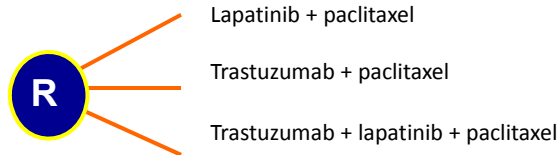
**UPDATES-2**

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Does improving pCR  
improve breast cancer outcomes?



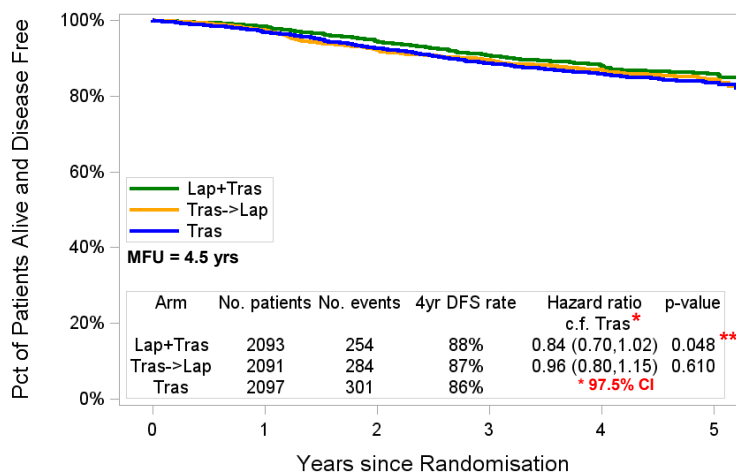
## Pathologic Response in Neo-ALTTO



	Path CR (breast only)	Path CR (breast + LN)
Lapatinib + Paclitaxel	25%	20%
Trastuzumab + paclitaxel	29%	28%
Trast + Lap + paclitaxel	51%	47%

Baselga et al, Lancet 2012

## ALTTO Trial: DFS Analysis

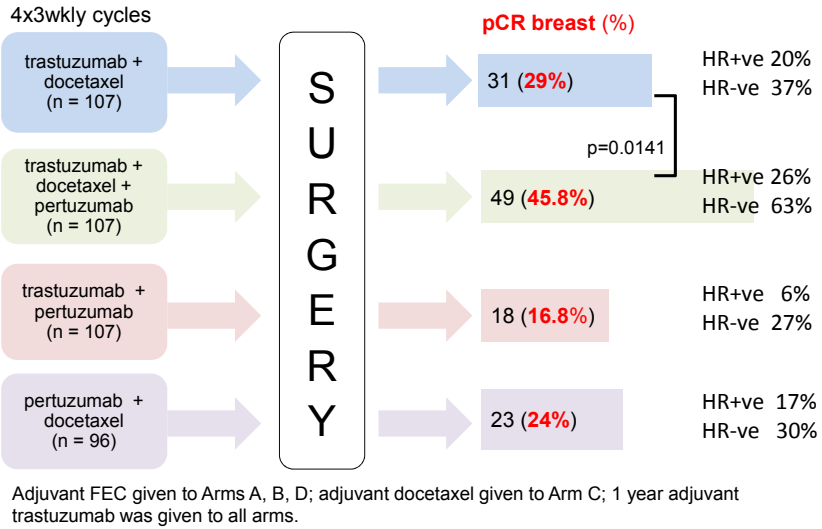


Lap+Tras	2093	1938	1832	1672	1256	474
Tras->Lap	2091	1957	1822	1684	1261	476
Tras	2097	1959	1838	1658	1246	448

\*\*p-value  $\leq$  0.025 required for statistical significance

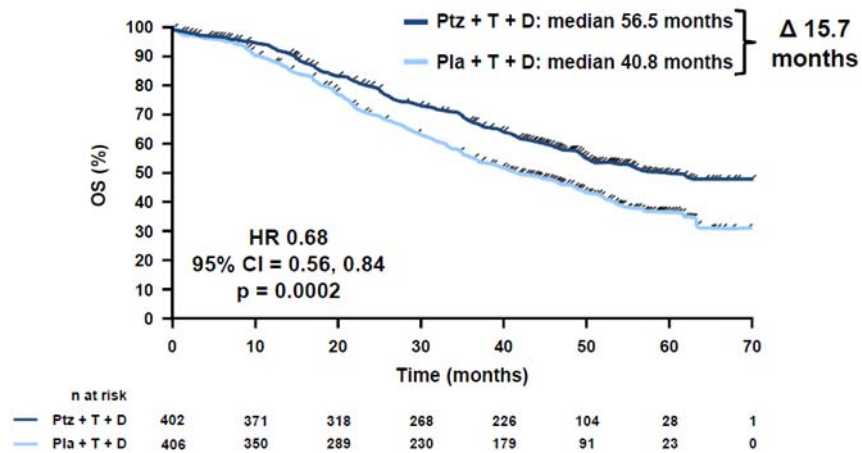
Piccart et al, ASCO 2014

## NeoSphere: Neoadjuvant Phase 2 Operable or Locally Advanced. >2cm (417pts)



Gianni L, et al. *Lancet Oncol* 2012; 13:25–32

## Final OS Analysis of CLEOPATRA sets a new paradigm of treatment of HER2+ MBC

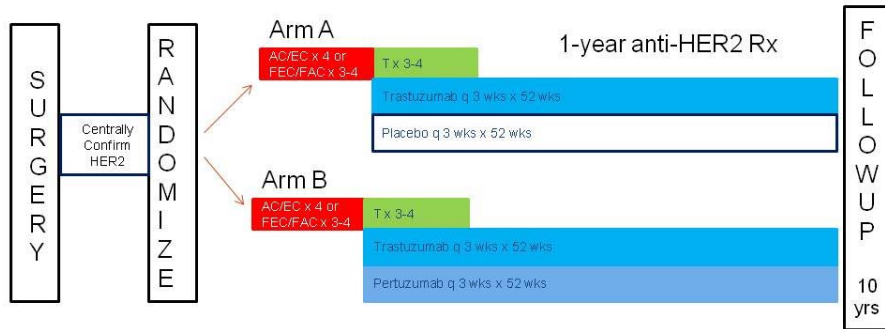


ITT population. Stratified by geographic region and neo/adjuvant chemotherapy. CI, confidence interval; Pla, placebo; Ptz, pertuzumab.

S. Swain et al, ESMO 2014



## APHINITY: Randomized Adjuvant Phase 3 Trial



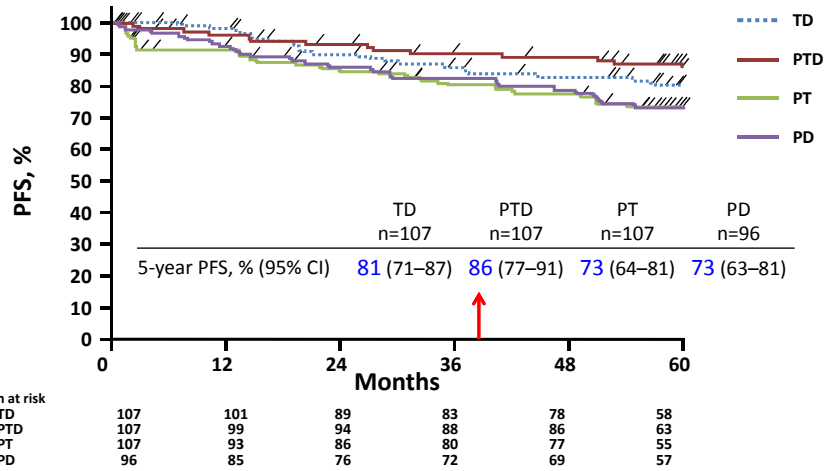
N=3800 planned  
(4800 enrolled)

A=doxorubicin, E=epirubicin, C=cyclophosphamide, T=taxane (paclitaxel or docetaxel),  
 F=5-fluorouracil, H=trastuzumab, P=pertuzumab

PRESENTED AT: ASCO Annual 15 Meeting

Reason to be encouraged

## NEOSPHERE: PFS by All Treatment Arms ASCO 2015 Update



Kaplan-Meier curves are truncated at 60 months (the end of scheduled follow-up). However, summary statistics shown here take into account all follow-up. Three late events occurred with PTD: two cases of progressive disease (PD) at 63 and 71 months, and one death due to an unrelated cerebrovascular accident without PD at 76 months.

Gianni et al ASCO 2015

## Case #1

- A 67 yo WF presents with newly diagnosed bone metastases. She was originally dx with a 3 cm IDC of the left breast 4 years earlier and underwent mastectomy. The tumor was ER+/PR+/HER2- and SLN were negative. A recurrence score was low. Treatment with anastrozole was initiated.

## Case #1

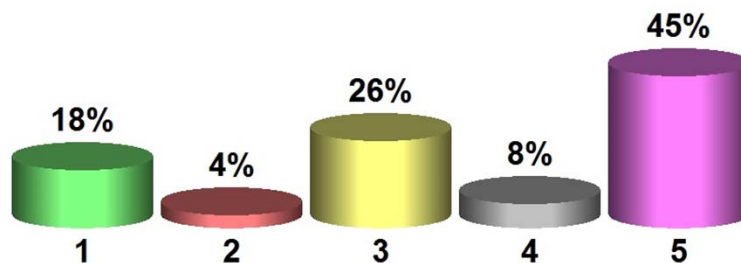
- She was doing well until recently when diffuse boney aches were noted not responding to NSAID
- Labs showed an elevated Alk Phos; a bone scan demonstrated several lytic lesions throughout the axial skeleton. CT CAP showed 2 suspicious 1 cm lesions in the liver
- A liver biopsy was consistent with the original dx and remained ER+

### Audience Polling Results

#### Case #1

In addition to starting a bone agent (bisphosphonate or denosumab) and discontinuing anastrozole you would:

1. Start chemotherapy
2. Start an alternative AI
3. Start an alternative AI and add palbociclib
4. Start fulvestrant
5. Start fulvestrant and palbociclib





Updates in Version 1.2016 of the NCCN Guidelines for Breast Cancer from Version 2.2015 include:

[BINV-K \(1 of 7\)](#)

- Footnote 5 is new to the page. "The regimens listed for HER2-negative disease are all category 1 (except where indicated) when used in the adjuvant setting."

- Removed FAC/CAF (fluorouracil/doxorubicin/cyclophosphamide) and FEC/CEF (cyclophosphamide/epirubicin/fluorouracil) from the list of regimens for preoperative/adjuvant chemotherapy.

[BINV-K \(3 of 7\)](#)

- Under the regimen "FAC followed by weekly paclitaxel, changed 6 to 4 cycles."

[BINV-K \(4, 5, and 6 of 7\)](#)

- Replaced cardiac monitoring at baseline, 3, 6, and 9 mo with "Evaluate left ventricular ejection fraction (LVEF) prior to and during treatment."

- Added the following footnote: "The optimal frequency of LVEF assessment during adjuvant trastuzumab therapy is not known. The FDA label recommends LVEF measurements prior to initiation of trastuzumab and every 3 mo during therapy."

[BINV-L](#)

- New page - Principles of Preoperative Systemic Therapy.

[BINV-N](#)

- Modified statement, "Premenopausal patients with *hormone receptor-positive* disease should have ovarian ablation/suppression and follow

- Endocrine therapy for recurrent or stage IV disease, added Palbociclib + fulvestrant (category 1) with the following footnote: "For postmenopausal women or for premenopausal women receiving ovarian suppression with an LHRH agonist, with hormone-receptor positive and HER2-negative metastatic breast cancer that has progressed on endocrine therapy."

- Footnote 4 "A single study (S0226) in women with hormone receptor-positive breast cancer and no prior chemotherapy, biological therapy, or endocrine therapy for metastatic disease demonstrated that the addition of fulvestrant to anastrozole resulted in prolongation of time to progression. Subset analysis suggested that patients without prior adjuvant tamoxifen and more than 10 years since diagnosis experienced the greatest benefit. Two studies with similar design (FACT and SOFEA) demonstrated no advantage in time to progression with the addition of fulvestrant to anastrozole."

UPDATES-5

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## ENDOCRINE THERAPY FOR RECURRENT OR STAGE IV DISEASE

Premenopausal patients with hormone-receptor positive disease should have ovarian ablation/suppression and follow postmenopausal guidelines

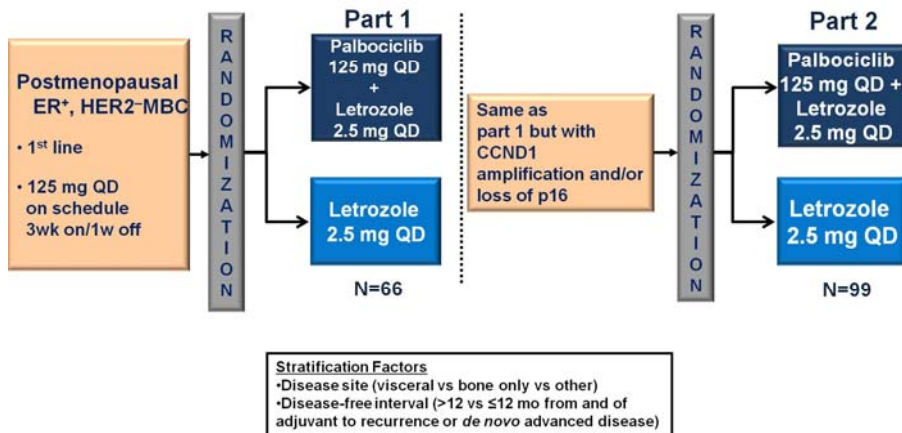
### Postmenopausal Patients

- Non-steroidal aromatase inhibitor (anastrozole, letrozole)
- Steroidal aromatase inactivator (exemestane)
- Exemestane + everolimus
- Palbociclib + letrozole
- Palbociclib + fulvestrant (category 1)
- Fulvestrant
- Tamoxifen or toremifene
- Megestrol acetate
- Fluoxymesterone
- Ethinyl estradiol

BINV-N

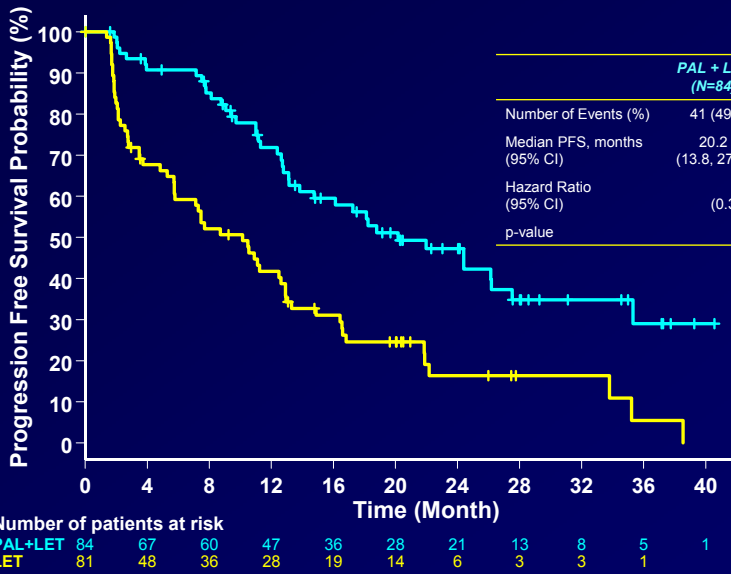
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## Phase II PALOMA-1/TRIO-18: Let +/- Palbociclib 1<sup>st</sup> line ER<sup>+</sup> MBC



Finn et al. The Lancet Oncology, Volume 16, Issue 1, 2015, 25 - 35

### Progression-Free Survival (ITT) PALOMA-1



Finn RS et al. Lancet Oncol 2015;16:25-35

PALOMA3: A Double-Blind, Phase III Trial of Fulvestrant with or without Palbociclib in Pre- and Post-Menopausal Women with Hormone Receptor-Positive, HER2-Negative Metastatic Breast Cancer that Progressed on Prior Endocrine Therapy

Turner NC et al. *Proc ASCO 2015*;Abstract LBA502.

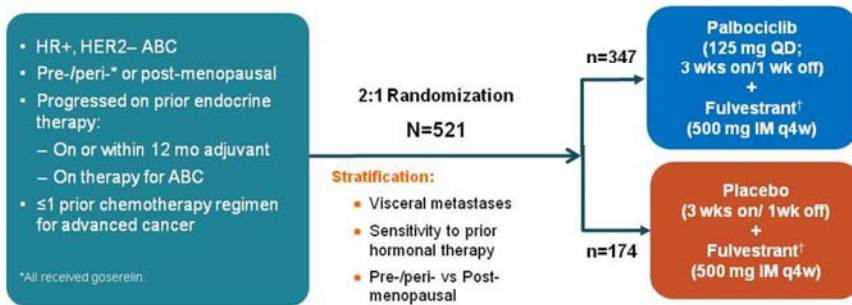


ESTABLISHED IN 1812 JULY 16, 2015 VOL. 373 NO. 3

Palbociclib in Hormone-Receptor-Positive Advanced Breast Cancer

Nicholas C. Turner, M.D., Ph.D., Jungsil Ro, M.D., Fabrice André, M.D., Ph.D., Sherene Loi, M.D., Ph.D., Sunil Verma, M.D., Hiroji Iwata, M.D., Nadia Harbeck, M.D., Sibylle Loibl, M.D., Cynthia Huang Bartlett, M.D., Ke Zhang, Ph.D., Carla Giorgetti, Ph.D., Sophia Randolph, M.D., Ph.D., Maria Koehler, M.D., Ph.D., and Massimo Cristofanilli, M.D.

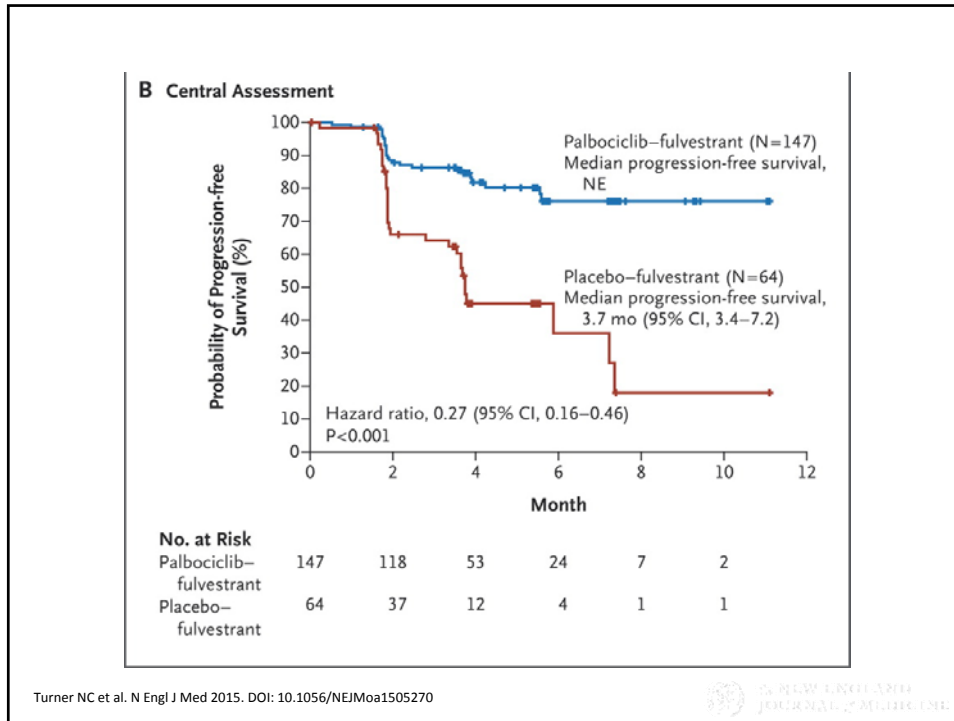
Phase III PALOMA-3: Fulvestrant +/- Palbociclib 2<sup>nd</sup> line ER+ MBC



• Post-menopausal patients must have progressed on prior aromatase inhibitor therapy.

Nicholas Turner at 2015 ASCO Annual Meeting





NCCN National Comprehensive Cancer Network®

## NCCN Guidelines Version 1.2016 Invasive Breast Cancer Updates

Updates in Version 1.2016 of the NCCN Guidelines for Breast Cancer from Version 2.2015 include:

**BINV-C**

- Fertility and birth control, modified the first bullet: "All premenopausal patients should be informed about the potential impact of chemotherapy on fertility and asked about their desire for potential future pregnancies. Patients who may desire future pregnancies should be referred to fertility specialists before chemotherapy and/or endocrine therapy, to discuss the options based on patient specifics, disease stage and biology, (which determine the urgency and type and sequence of treatment). Timing and duration allowed for fertility preservation, options inclusive of oocyte and embryo cryopreservation as well as evolving technologies, and the probability of successful pregnancies subsequent to completion of breast cancer therapy are also to be discussed."

**BINV-D**

- Footnote 2: Removed the last sentence "However, only peritumoral injections map to the internal mammary lymph node(s)."

**BINV-E**

- Replaced "Sentinel lymph node biopsy is the preferred method of axillary lymph node staging if there is an experienced sentinel node team and the patient is an appropriate sentinel lymph node biopsy candidate (See BINV-D)." with "Sentinel lymph node biopsy should be performed and is the preferred method of axillary lymph node staging if the patient is an appropriate sentinel lymph node biopsy candidate."

**BINV-F**

- Second paragraph, modified the last sentence "A boost to the tumor bed is recommended in patients at higher risk for recurrence."

**BINV-G**

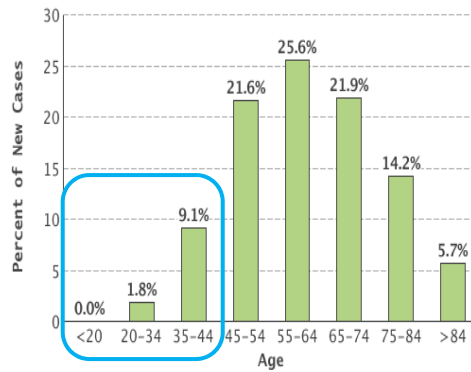
- Absolute contraindications: added "Diffusely positive pathologic margins" and removed "Positive pathologic margin."
- Relative contraindications: added "Positive pathologic margin" and removed "Diffusely positive pathologic margins."
- Added a link to [NCCN Guidelines for Genetic/Familial High-Risk Assessment Breast and Ovarian](#).

**UPDATES-4**

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## Many young women are diagnosed each year with breast cancer in the US

Percent of new cases by age



**>25,000** women  
< 45 years of age  
diagnosed each year

Treatment poses a risk  
of infertility

<http://seer.cancer.gov/>

## Professional guidelines highlight the need for clinicians to address fertility

**JOURNAL OF CLINICAL ONCOLOGY** ASCO SPECIAL ARTICLE

**ASRM PAGES**  
**Fertility preservation in patients undergoing gonadotoxic therapy or gonadectomy: a committee opinion**  
The Practice Committee of the American Society for Reproductive Medicine  
American Society for Reproductive Medicine, Birmingham, Alabama

Fertility Preservation for Patients With Cancer:  
American Society of Clinical Oncology Clinical Practice Guideline Update  
Allan W. Loren, Pamela B. Mangos, Lindsay Nohr Beck, Lawrence Brennan, Anthony J. Magdalinski, Ann H. Partridge, Gwendolyn Quinn, W. Hamish Wallace, and Eudora Olney

**American Academy of Pediatrics**  
DEDICATED TO THE HEALTH OF ALL CHILDREN

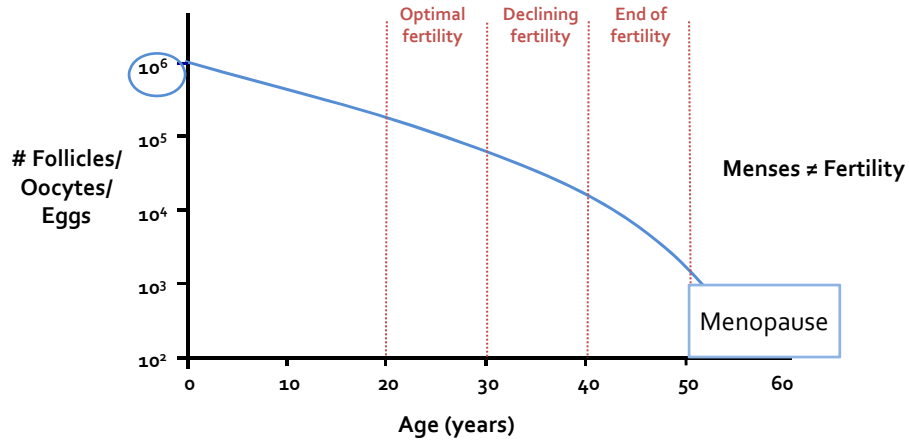
**TECHNICAL REPORT**  
**Preservation of Fertility in Pediatric and Adolescent Patients With Cancer**  
Mary E. Falter, MD, John Hutter, MD, the Committee on Bioethics, Section on Hematology/Oncology, and Section on Surgery

**NCCN Guidelines® Insights**  
Adolescent and Young Adult Oncology

**NCCN Guidelines® Insights**  
Adolescent and Young Adult Oncology,  
Version 2.2014



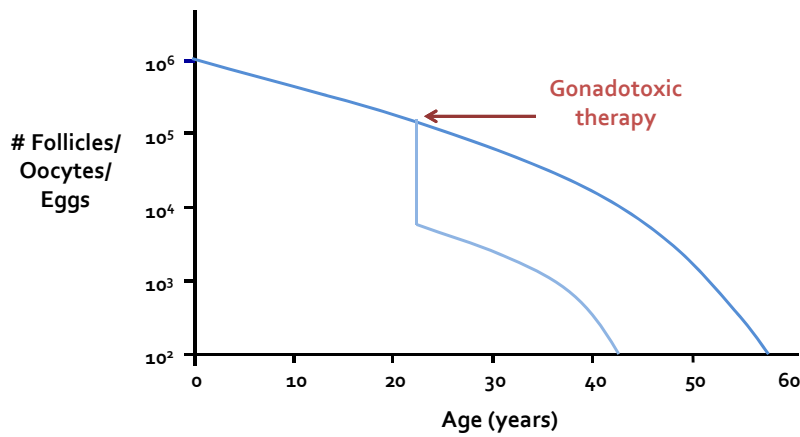
## Effect of Age on Ovarian Reserve



*Adapted from Faddy et al 1992*

## Effects of Treatment on Fertility

### Depletion of ovarian follicle pool



*Adapted from Faddy et al 1992*

## Fertility Preservation Options

Cryopreservation

Reduction of  
Toxicity

Even a single treatment with gonadotoxic therapy can affect gamete quality and DNA integrity, so fertility preservation must be completed before treatment begins.

## Ovarian Suppression

- GnRH agonists: leuprolide, goserelin, triptorelin
- Mechanism of action is unclear
  - Suppression of FSH → ↓ follicle recruitment and maturation → protection from chemo destruction?
- Administered as a monthly injection
  - Start 1-2 weeks before first chemotherapy
- Will cause menopausal symptoms

*Blumenfeld et al 2015; Del Mastro et al 2011;  
Lambertini et al 2015; Moore et al 2015*

## Ovarian Suppression

- Results have been conflicting – investigational  
PROMISE (ER+)

↓ POF (8% vs 22%)      ↑ pregnancies (5.4% vs 3%)

POEMS (ER-)

↓ POF (8.9% vs 25.9%)      ↑ pregnancies (21% vs 11%)

*Blumenfeld et al 2015; Del Mastro et al 2011;  
Lambertini et al 2015; Moore et al 2015*

## NCCN Guidelines Updates: Breast Cancer

Kilian E. Salerno, MD

Director of Breast and Soft Tissue /  
Melanoma Radiation Oncology  
*Roswell Park Cancer Institute*

NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®)

# Breast Cancer

Version 1.2016

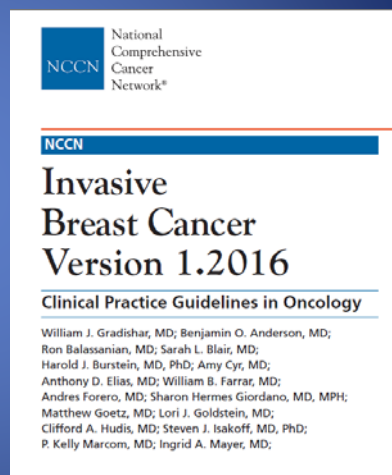
NCCN.org

NCCN Guidelines for Patients® available at [www.nccn.org/patients](http://www.nccn.org/patients)

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## NCCN Guidelines Updates: Breast Cancer

- All changes to guidelines summarized – pages UPDATES-1 through UPDATES-5
- Locoregional therapy manuscript published in current issue of JNCCN (March 2016 issue)



## Locoregional Updates

- Outline
  - General principles of radiation
  - Guidelines updates
  - Regional nodal irradiation (RNI)
    - Which patients need RNI and to what extent?

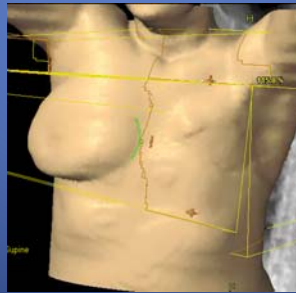
## Principles of Radiation Therapy

- Treatment options
  - Targets
  - Definitions
  - Techniques
- Optimizing treatment planning and delivery

# Radiation Treatment Options

## TARGETS:

- Whole breast
- Partial breast
- Chest wall
- Regional nodes
  - SCV
  - ICV
  - Axilla at risk
  - IMNs
- Boost



# Radiation Treatment Options

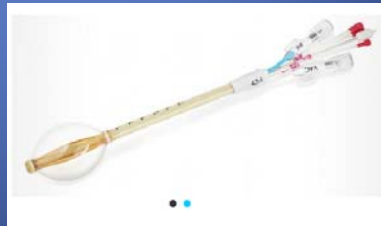
## DOSE and FRACTIONATION

- Conventional Fractionation
  - 1.8-2 Gy per fraction to total dose 45-50 Gy
- Hypofractionation
  - Shorter course utilizing larger doses per fraction
  - >2 Gy per fraction to lower total dose
    - 40 - 42.5 Gy given in daily fxs for whole breast
    - 34 - 38.5 Gy given twice daily fxs for partial breast
- Accelerated course
  - Treatment over shorter time course

# Radiation Treatment Options

## MODALITIES:

- External Beam
  - Photons
  - Electrons
- Brachytherapy
  - Radioactive source
  - Catheters/devices
- Intraoperative
  - Various means



National  
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NCCN Guidelines Version 1.2016  
Invasive Breast Cancer

## PRINCIPLES OF RADIATION THERAPY

### Whole Breast Radiation:

Target definition is the breast tissue in entirety. The whole breast should receive a dose of 46–50 Gy in 23–25 fractions or 40–42.5 Gy in 15–16 fractions (hypofractionation is preferred). All dose schedules are given 5 days per week. A boost to the tumor bed is recommended in patients at higher risk for recurrence. Typical boost doses are 10–16 Gy in 4–8 fractions.

### Chest Wall Radiation (including breast reconstruction):

The target includes the ipsilateral chest wall, mastectomy scar, and drain sites when indicated. Depending on whether the patient has had breast reconstruction or not, several techniques using photons and/or electrons are appropriate. CT-based treatment planning is encouraged in order to identify lung and heart volumes and minimize exposure of these organs. Dose is 46–50 Gy in 23–25 fractions to the chest wall +/- scar boost at 2 Gy per fraction to a total dose of approximately 60 Gy. All dose schedules are given 5 days per week. Special consideration should be given to the use of bolus material to ensure that the skin dose is adequate.

### Regional Nodal Radiation:

Target delineation is best achieved by the use of CT-based treatment planning. For the paraclavicular and axillary nodes, prescription depth varies based on the patient anatomy. For internal mammary node identification, the internal mammary artery and vein can be used as a surrogate for the nodal location (as the nodes themselves are not usually visible on planning imaging). Based on the post-mastectomy radiation randomized studies and recent trials, radiation therapy of the internal mammary lymph nodes should be strongly considered when delivering regional nodal irradiation. CT treatment planning should be utilized when treating the internal mammary lymph nodal volume to evaluate dose to normal tissues, especially the heart and lung, and dose constraints respected. Dose is 46–50 Gy in 23–25 fractions to the regional nodal fields. All dose schedules are given 5 days per week.

BINV4

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### PRINCIPLES OF RADIATION THERAPY

#### Optimizing Delivery of Individual Therapy:

It is important to individualize radiation therapy planning and delivery. CT-based treatment planning is encouraged to delineate target volumes and adjacent organs at risk. Greater target dose homogeneity and sparing of normal tissues can be accomplished using compensators such as wedges, forward planning using segments, and intensity-modulated radiation therapy (IMRT). Respiratory control techniques including deep inspiration breath-hold and prone positioning may be used to try to further reduce dose to adjacent normal tissues, in particular heart and lung. Boost treatment in the setting of breast conservation can be delivered using enface electrons, photons, or brachytherapy. Chest wall scar boost when indicated is typically treated with electrons or photons. Verification of daily setup consistency is done with weekly imaging. In certain circumstances, more frequent imaging may be appropriate. Routine use of daily imaging is not recommended.

BINV-1

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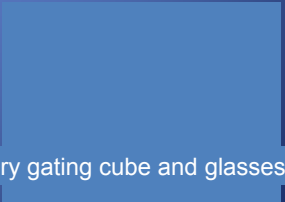
## Radiation Treatment Options

### TECHNIQUES:

- Positioning
  - Supine vs Prone
- CT based planning
- 3D conformal vs IMRT
- Respiratory control with deep inspiration breath hold technique
  - “respiratory gating”



Prone breast positioning



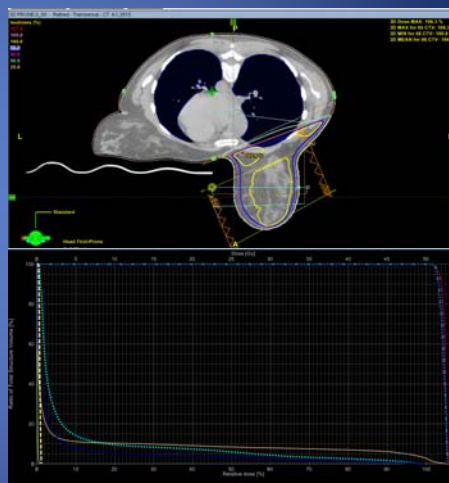
Respiratory gating cube and glasses



## Use of Prone Positioning

### Use of prone positioning

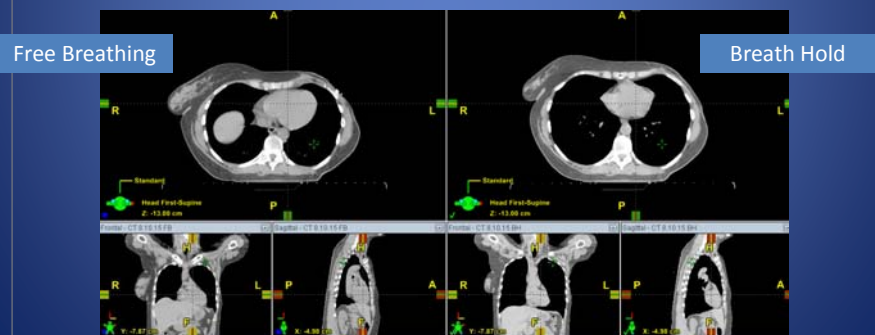
- Use in select patients with early stage disease
- Breast is target
- Minimize normal tissue doses and treatment toxicity



## Use of “Respiratory Gating”

### Breath hold technique

- Moderate deep inspiration
- Extra time, equipment, personnel, increased planning efforts and time for treatment



# NCCN Guidelines Updates: Breast Cancer

- Adjuvant radiation options following breast conserving surgery
  - Hypofractionation
  - Accelerated Partial Breast Irradiation (APBI)
  - Omission of RT

**NCCN** National Comprehensive Cancer Network® **NCCN Guidelines Version 1.2016**  
**Invasive Breast Cancer**

**LOCOREGIONAL TREATMENT OF CLINICAL STAGE I, IIA, OR IIB DISEASE OR T3, N1, M0**

Lumpectomy with surgical axillary staging (category 1)	≥4 positive axillary nodes	Radiation therapy to whole breast with or without boost <sup>r</sup> to tumor bed (category 1), infraclavicular region, supraclavicular area, internal mammary nodes, and any part of the axillary bed at risk (category 1). It is common for radiation therapy to follow chemotherapy when chemotherapy is indicated.	See BINV-4
	1-3 positive axillary nodes	Radiation therapy to whole breast with or without boost <sup>r</sup> to tumor bed (category 1). Strongly consider radiation therapy to infraclavicular region, supraclavicular area, internal mammary nodes, and any part of the axillary bed at risk. It is common for radiation therapy to follow chemotherapy when chemotherapy is indicated.	
	Negative axillary nodes	Radiation therapy to whole breast with or without boost <sup>r</sup> to tumor bed or consideration of partial breast irradiation (PBI) in selected patients. <sup>r,s</sup> It is common for radiation therapy to follow chemotherapy when chemotherapy is indicated. <sup>t</sup>	

<sup>r</sup>See Principles of Radiation Therapy (BINV-1).  
<sup>s</sup>PBI may be administered prior to chemotherapy.  
<sup>t</sup>Breast irradiation may be omitted in patients ≥70 y of age with estrogen-receptor positive, clinically node-negative, T1 tumors who receive adjuvant endocrine therapy (category 1). **BINV-2**

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### PRINCIPLES OF RADIATION THERAPY

#### Whole Breast Radiation:

Target definition is the breast tissue in entirety. The whole breast should receive a dose of 46–50 Gy in 23–25 fractions or 40–42.5 Gy in 15–16 fractions (hypofractionation is preferred). All dose schedules are given 5 days per week. A boost to the tumor bed is recommended in patients at higher risk for recurrence. Typical boost doses are 10–16 Gy in 4–8 fractions.

#### Accelerated Partial Breast Irradiation (APBI):

Preliminary studies of APBI suggest that rates of local control in selected patients with early-stage breast cancer may be comparable to those treated with standard whole breast RT. However, compared to standard whole breast radiation, several recent studies document an inferior cosmetic outcome with APBI. Follow-up is limited and studies are ongoing. Patients are encouraged to participate in clinical trials. If not trial eligible, per the consensus statement from the American Society for Radiation Oncology (ASTRO), patients who may be suitable for APBI are women 60 y and older who are not carriers of BRCA 1/2 mutation treated with primary surgery for a unifocal T1N0 ER-positive cancer. Histology should be infiltrating ductal or a favorable ductal subtype and not associated with EIC or LCIS, and margins should be negative.

34 Gy in 10 fractions delivered twice per day with brachytherapy or 38.5 Gy in 10 fractions delivered twice per day with external beam photon therapy is prescribed to the tumor bed. Other fractionation schemes are currently under investigation.

BINV-1

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## Hypofractionation

- Whole breast radiation: why is hypofractionation now preferred in the guidelines?
  - Long term results from Ontario and UK trials

Canadian 42.5 Gy in 16 fractions, no boost  
START B 40 Gy in 15 fractions, ± boost

- At least equivalent or better disease outcomes
- At least equivalent or better cosmesis
- At least equivalent or better side effects

Whelan et al, NEJM 2010

Haviland et al, Lancet Oncol 2013

# Hypofractionation

- Who can be treated with hypofractionated whole breast irradiation?
- ASTRO Guidelines 2011  
(following Ontario publication but prior to UK)

Table 1. Evidence supports the equivalence of hypofractionated whole breast irradiation with conventionally fractionated whole breast irradiation for patients who satisfy all of these criteria\*

1. Patient is 50 years or older at diagnosis.
2. Pathologic stage is T1–2 N0 and patient has been treated with breast-conserving surgery.
3. Patient has not been treated with systemic chemotherapy.
4. Within the breast along the central axis, the minimum dose is no less than 93% and maximum dose is no greater than 107% of the prescription dose ( $\pm 7\%$ ) (as calculated with 2-dimensional treatment planning without heterogeneity corrections).

\* For patients who do not satisfy all of these criteria, the task force could not reach consensus and therefore chose not to render a recommendation either for or against hypofractionated whole breast irradiation in this setting. Please see the text for a thorough discussion of tumor grade. Patients receiving any type of whole breast irradiation should generally be suitable for breast-conserving therapy with regards to standard selection rules (e.g., not pregnant, no evidence of multicentric disease, no prior radiotherapy to the breast, no history of certain collagen-vascular diseases).

- I treat more broadly than this since UK results
- ASTRO to update guidelines in 2017
- Not used routinely for nodal irradiation at this time

Smith et al, IJROBP 2011

# Recent Publications: Hypofractionation

Research

Original Investigation

**Differences in the Acute Toxic Effects of Breast Radiotherapy by Fractionation Schedule**  
Comparative Analysis of Physician-Assessed and Patient-Reported Outcomes in a Large Multicenter Cohort

Reshma Jaggi, MD, DPhil; Kent A. Griffith, MS; Thomas P. Bolke, MD; Eleanor Walker, MD; Teamour Narsishev, PhD; Inga S. Grills, MD; Jean M. Moran, PhD; Mary Feng, MD; James Hayman, MD; Lori J. Pierce, MD

Research

Original Investigation

**Acute and Short-term Toxic Effects of Conventionally Fractionated vs Hypofractionated Whole-Breast Irradiation**  
A Randomized Clinical Trial

Simona F. Shaitelman, MD, MEd; Pamela J. Schlembach, MD; Isidora Arzu, MD, PhD; Matthew Ballo, MD; Elizabeth S. Bloom, MD; Daniel Buchholz, MD; Gregory M. Chonkowski, MD; Tomas Drotak, MD; Emily Gracie, MD; Karen E. Hoffman, MD, MPH; Patrick Kelly, MD, PhD; Michelle Ludwig, MD, PhD; George H. Perkins, MD, MBA; Valeria Reed, MD; Shalini Shah, MD; Michael C. Staudier, MD; Eric A. Strom, MD; Inwilda Yoniff, MD; Wendy A. Woodward, MD, PhD; Joe Enos, PhD; Donald Baumann, MD; Alastair M. Thompson, MD; Diana Amaya, RN; Tanisha Davis, RN; William Guerra, BA; Lois Hamblin, RN; Gabriel Hortobagyi, MD; Kelly K. Hunt, MD; Thomas A. Buchholz, MD; Benjamin D. Smith, MD

Invited Commentary

**Hypofractionation for Early-Stage Breast Cancer**  
No More Excuses

Shyam K. Tanguturi, MD; Jennifer R. Bellon, MD

JAMA Oncol 2015



## Accelerated Partial Breast Irradiation (APBI)

- Different methods for delivery
  - IORT
  - Interstitial
  - Intracavitary
  - EBRT
- Different guidelines/consensus statements
  - ASTRO, ASBS, ABS, ESTRO
  - Inclusion/exclusion criteria for NSABP B39/ RTOG 0413
- ASTRO defines suitable, cautionary, unsuitable groups
- NCCN guidelines based on ASTRO suitable group

Smith et al, IJROBP 2009

## ASTRO APBI Consensus Statement

Table 2. Patients "suitable" for APBI if all criteria are present

Factor	Criterion
Patient factors	
Age	≥60 y
<i>BRCA1/2</i> mutation	Not present
Pathologic factors	
Tumor size	≤2 cm <sup>a</sup>
T stage	T1
Margins	Negative by at least 2 mm
Grade	Any
LVSI	No <sup>†</sup>
ER status	Positive
Multicentricity	Unicentric only
Multifocality	Clinically unifocal with total size ≤2.0 cm <sup>†</sup>
Histology	Invasive ductal or other favorable subtypes <sup>§</sup>
Pure DCIS	Not allowed
EIC	Not allowed
Associated LCIS	Allowed
Nodal factors	
N stage	pN0 (i, i <sup>+</sup> )
Nodal surgery	SN Bx or ALND <sup>  </sup>
Treatment factors	
Neoadjuvant therapy	Not allowed

\*\*\*Currently being updated\*\*\*

New draft was open for public comment through March 2016

Smith et al, IJROBP 2009

## Omission of Radiation

- In selected women with lower risk for recurrence
- No survival detriment
- CALGB 9343
  - 70 or older, small cancers, negative nodes, negative margins, ER/PR positive
  - BCS → Tamoxifen ± RT
  - 10% (no RT) vs 2 % (RT) LRR at median 12.6 yrs
- PRIME II, Fyles et al, NSABP B-21

Hughes et al, JCO 2013; Kunkler et al, Lancet Oncol 2015;

Fyles et al, NEJM 2004; Fisher et al JCO 2002

## Adjuvant Radiation Options Following BCS: Summary

- Hypofractionated Whole Breast Irradiation
  - ***PREFERRED***
- Accelerated Partial Breast Irradiation (APBI)
  - ***ASTRO suitable criteria***
- Omission of RT
  - ***YES in select patients***

## NCCN Guidelines Updates: Breast Cancer

- Post Mastectomy Radiation Therapy (PMRT)
- Regional nodal irradiation (RNI)
  - Either in setting of BCT or PMRT
  - $\geq 4$  LNs +
  - 1-3 LNs +
- Treatment of recurrence

## Historical PMRT Indications

### Classic Indications

- $\geq 4$  positive axillary lymph nodes
- Positive margins
- Tumor > 5 cm

### No radiation

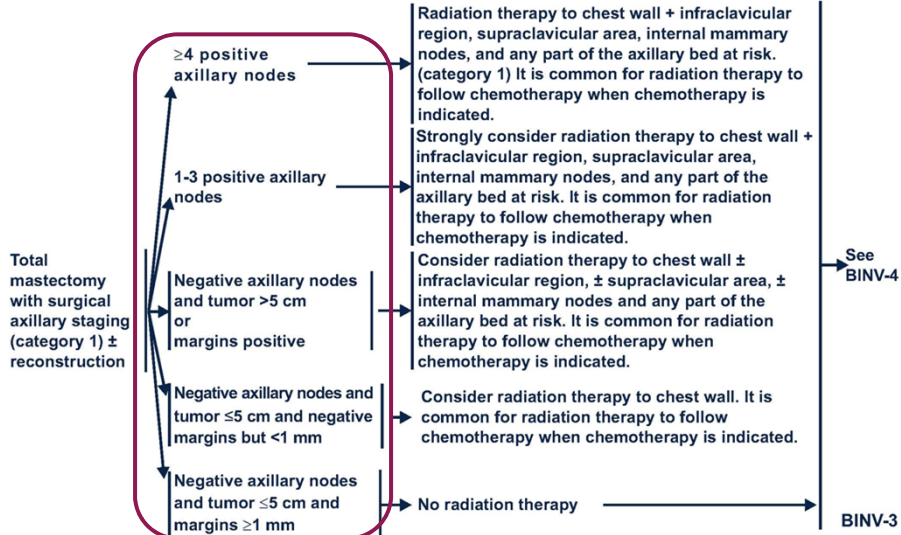
- Tumor < 5 cm
- Negative lymph nodes
- No high risk features

### Considerations

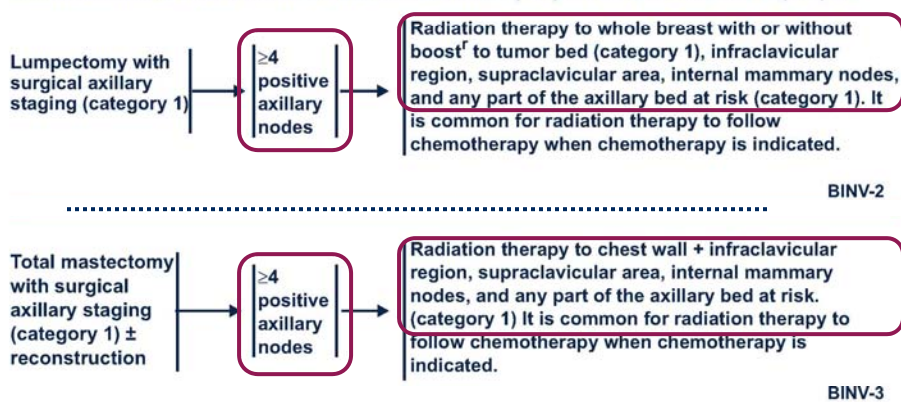
- 1-3 positive lymph nodes
- pT3N0
- Close margins
- High risk features
  - Age
  - Extracapsular extension
  - Lymphovascular invasion
  - Certain phenotypes



## LOCOREGIONAL TREATMENT OF CLINICAL STAGE I, IIA, OR IIB DISEASE OR T3, N1, M0



## LOCOREGIONAL TREATMENT







LOCOREGIONAL TREATMENT

Lumpectomy with  
surgical axillary  
staging (category 1)

1-3  
positive  
axillary  
nodes

Radiation therapy to whole breast with or without boost<sup>r</sup> to tumor bed (category 1). Strongly consider radiation therapy to infraclavicular region, supraclavicular area, internal mammary nodes, and **any part of the axillary bed at risk. It is common for radiation therapy to follow chemotherapy when chemotherapy is indicated.**

BINV-2

Total mastectomy  
with surgical  
axillary staging  
(category 1) ±  
reconstruction

1-3  
positive  
axillary  
nodes

Strongly consider radiation therapy to chest wall + infraclavicular region, supraclavicular area, internal mammary nodes, and **any part of the axillary bed at risk. It is common for radiation therapy to follow chemotherapy when chemotherapy is indicated.**

BINV-3

## Adjuvant Radiation Recommendations

- After neoadjuvant systemic therapy?
  - *RT recommended as per maximal stage of either clinical staging pre-systemic therapy or pathologic staging*



PRINCIPLES OF RADIATION THERAPY

**Preoperative Systemic Therapy:**

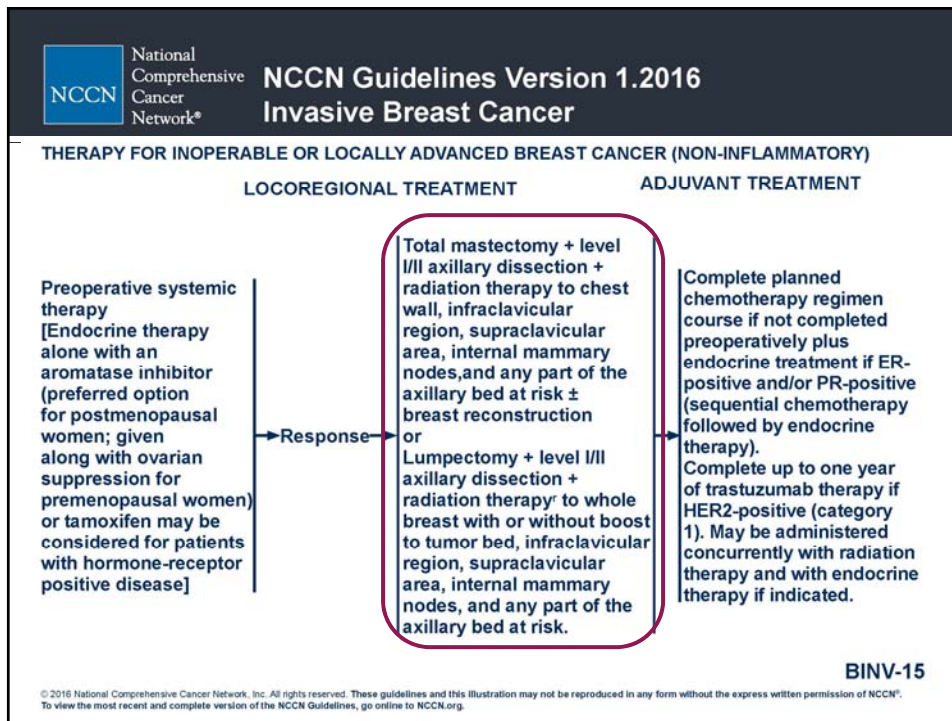
In patients treated with preoperative systemic therapy, indications for radiation therapy and treatment fields should be based on the maximum stage from the pre-therapy clinical stage, pathologic stage, and tumor characteristics.

BINV-1

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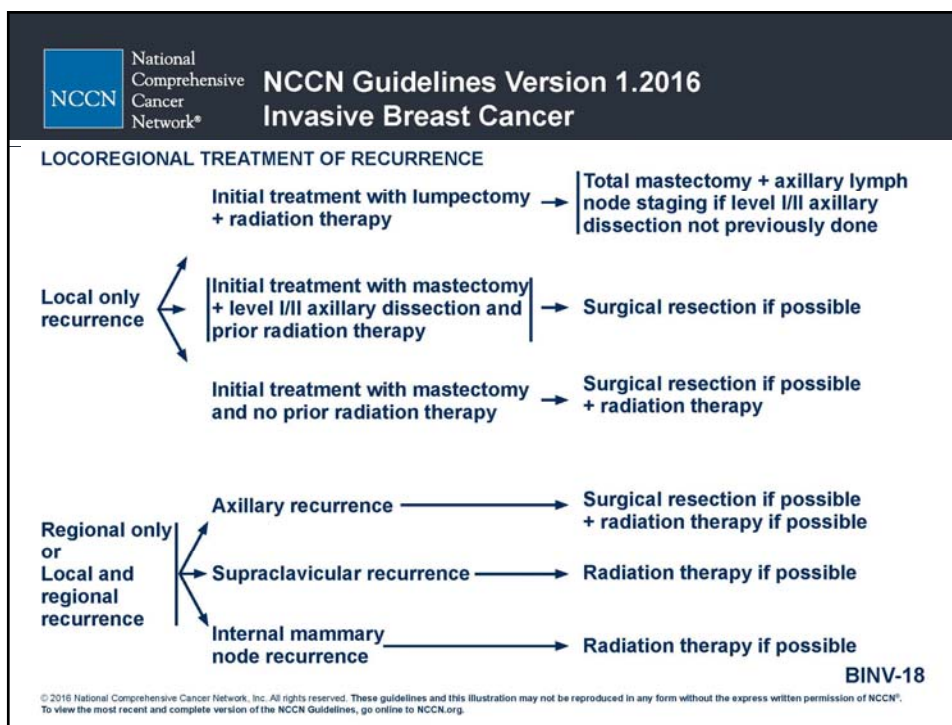
# Adjuvant Radiation Recommendations

- Treatment of inoperable or locally advanced breast cancer?
  - Neoadjuvant systemic therapy
  - Either BCT or PMRT with AxLND
  - RT to breast +/- boost or chest wall
  - RNI: SCV, ICV, axillary bed at risk, IMNs



# Treatment of Recurrence

- Importance of multi-disciplinary approach for optimal outcomes
- Management of recurrence depends on extent of disease and prior therapies received
  - Prior surgery
  - Prior axillary staging
  - Prior systemic therapy
  - Prior radiation therapy



## Locoregional Updates

- Outline
  - General principles of radiation
  - Guidelines updates
  - Regional nodal irradiation (RNI)
    - Which patients need RNI and to what extent?

## NCCN Guidelines Updates: Breast Cancer

- Who needs RNI?
- What influence of surgical resection and axillary surgical staging?
- What about in setting of neoadjuvant chemotherapy?
- What extent of RNI?



## Which patients need regional nodal irradiation (or not)?

### TARGETS:

#### Whole breast

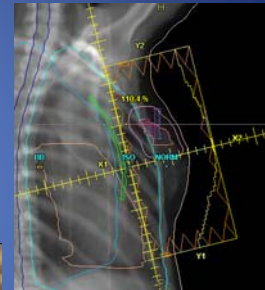
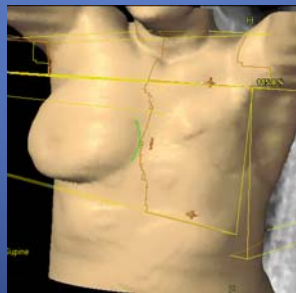
- Standard Tangents
- High Tangents

#### Chest wall

±

#### Regional nodes

- SCV
- ICV
- Axilla at risk
- IMNs



## Which patients need regional nodal irradiation (or not)?

- In setting of BCT?
  - ACOSOG Z11: cT1-2N0, 1-2 +SLNs, tangents
  - IBCSG 23-01: N1mic
  - MA 20: higher risk patients
  - EORTC 22922: higher risk patients

Giuliano et al, JAMA 2011; Galimberti et al, Lancet Oncol 2013;  
Whelan et al, NEJM 2015; Poortmans et al, NEJM 2015;

## Which patients need regional nodal irradiation (or not)?

- In post mastectomy setting?
  - B-04, Danish 82b and 82c, British Columbia
  - ECOG and NSABP pooled analyses
  - Patients on more recent trials?
    - Few on IBCSG and some on EORTC
    - SUPREMO
  - EBCTCG: benefit to RT

Fisher et al, NEJM 2002; Overgaard et al, Radiother Oncol. 2007; Ragaz et al, JNCI 2005;  
Recht et al, JCO 1999; Taghian et al, JCO 2004; EBCTCG, Lancet, 2014

## Which patients need regional nodal irradiation (or not)?

- AxLND vs Axillary RT?
  - AMAROS
  - In setting of neoadjuvant chemo?
  - Currently based on maximal disease stage
  - SENTINA: axillary staging options
  - Current open trials
    - NSABP B51 / RTOG 1304
    - Alliance A011202

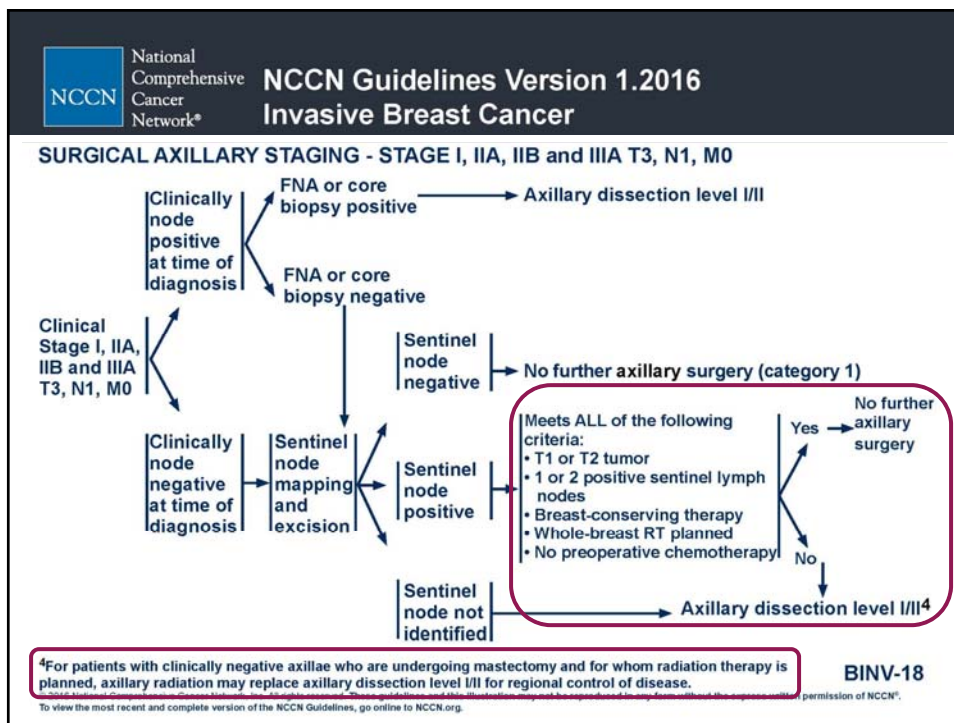
Donker et al, Lancet Oncol 2014

# ACOSOG Z11

- RCT of ALND vs observation for women with 1-2 positive SLNs
- 891 pts, cT1-2N0
- ~ 40% of +SLNs were micromets
- On AxLND, 27.4% of patients had additional +LNs
- Whole breast RT via tangents, no nodal
  - QARC analysis showed variation with 3<sup>rd</sup> field, high tangents use
- Median 6.3 yrs, no difference and low rates of LR / LRR (<5%), less lymphedema with SLN alone

Giuliano et al, JAMA 2011

Jagsi et al, JCO 2014



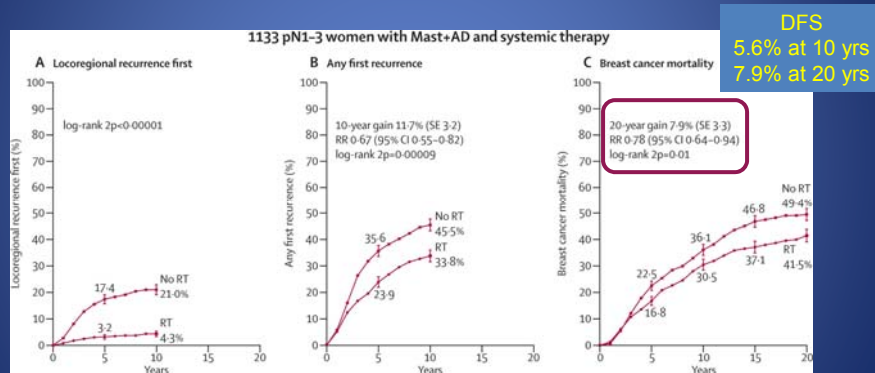
# EBCTCG Meta-Analysis 2014

- “Effects of RT after Mastectomy and Axillary Surgery on 10 yr Recurrence and 20 yr Breast Cancer Mortality”
- 8,135 women, 22 randomized trials, 1964-1986
- In women with 1-3 N+ and  $\geq 4$  N+ (not N0)
  - RT reduced LRR, OR, and breast cancer mortality
- Are the risks for recurrence the same now?
- Does this mean everyone should be treated?

EBCTCG: Early Breast Cancer Trialists' Collaborative Group

EBCTCG, Lancet 2014

# EBCTCG Meta-Analysis 2014



EBCTCG, Lancet 2014



# EBCTCG Meta-Analysis 2014

**Webtable 1: Randomised trials beginning before the year 2000 and comparing radiotherapy to the chest wall and regional lymph nodes versus not after mastectomy and axillary dissection (Mast+AD) or axillary sampling (Mast+AS) – treatment details.**

Year code and study name	Breast surgery	Axillary Surgery* (number of patients)	Chest wall RT	Supraclavicular (SC) and axillary fossa (AF) RT	Internal mammary chain RT	Boost RT to SC/AF	Common systemic chemoenocrine therapy
64B Oslo X-ray	RM	Axillary dissection (552)	26-41 Gy (1.3-2.1 Gy/f) o	36 Gy (1.8 Gy/f) o, SC: 18 Gy (o Gy/f) o, AF: 45 Gy de (1.8 Gy/f) c	25-41 Gy (1.3-2.1 Gy/f) o	None	Ovarian RT
71B Stockholm A	MRM	Axillary sampling (644)	45 Gy (1.8 Gy/f) e	45 Gy de (1.8 Gy/f) c	45 Gy (1.8 Gy/f) e	None	None
73A Southampton UK	SM	Axillary sampling (151)	45 Gy (2.3 Gy/f) c	55 Gy (2.5 Gy/f) c & b	46 Gy (2.3 Gy/f) c	None	None
745 Edinburgh I	SM	Axillary sampling (348)	42.5-45.0 Gy (4.25-4.5 Gy/f) m	42.5-45.0 Gy (4.25-4.5 Gy/f) m	None	None	F
74D DFCI Boston	MRM or RM	Axillary dissection (218)	45 Gy (2.3 Gy/f) c or m	45 Gy (2.3 Gy/f) c or m	0-45 Gy (0-2.3 Gy/f) c or m	None	Either (AC) 5 cycles or (AC) 10 cycles; or CMF or MF
74Q Piedmont OA (pN4+)	MRM or RM	Axillary dissection (120)	50 Gy (1.5-1.8 Gy/f) c or m	45-50 Gy (1.5-2.8 Gy/f) c or m	45-50 Gy (1.8-2.8 Gy/f) c or m	None	CMF or CMF
76A SECSG 1	MRM or RM	Axillary dissection (257)	50 Gy (2 Gy/f) u	50 Gy (2 Gy/f) u	50 Gy (2 Gy/f) u	None	CMF
76C Glasgow	SM	Axillary dissection (216)	37.8 Gy (2.5 Gy/f) o	37.8 Gy (2.5 Gy/f) o	37.8 Gy (2.5 Gy/f) o	None	CMF
77A MD Ander, 7730B	MRM or SM	Axillary dissection (82)	45-50 Gy (1.8-2.2 Gy/f) c	45-50 Gy (1.8-2.2 Gy/f) c	45-50 Gy (1.8-2.2 Gy/f) c or e	None	BCG-FAC or FAC
78A S Swedish BCG	MRM	Axillary sampling (17)	38 Gy (1.8 Gy/f) e, o, m or c	48-60 Gy (2.4 Gy/f) c or m	48 Gy (2.4 Gy/f) e, c or m	None	Premen: C; Postmen: tam
78G BCCA Vancouver	MRM	Axillary dissection (318)	37.5-40 Gy (2.3 Gy/f) c or m	37.5 Gy de (2.2 Gy/f) c or m	37.5 Gy de (2.3 Gy/f) c or m	None	CMF+ovarian RT or CMF
78Q Dusseldorf U	Patey	Axillary dissection (88)	40 Gy (2 Gy/f) c	40 Gy (2 Gy/f) c	40 Gy (2 Gy/f) c	None	LMF
79F Coimbra	NS	Axillary sampling (124)	36 Gy (3 Gy/f) o or m	36-45 Gy (3.3-3.8 Gy/f) m	39 Gy (3.3 Gy/f) m	None	AC
79S Metaxas Athens	MRM, Patey MRM, or RM	Axillary dissection (71)	45-50 Gy (2 Gy/f) m	45-50 Gy (2 Gy/f) m	45-60 Gy (2 Gy/f) m	None	CMF & tam
80S Helsinki	RM	Axillary dissection (99)	45 Gy (3 Gy/f) c	45 Gy (3 Gy/f) c, SC: 45 Gy (3 Gy/f) c, AF: 45-50 Gy (2 Gy/f) c or m	45 Gy (3 Gy/f) c	None	CAF1
80W NSABC Israel	NS	Unknown (112)	46-50 Gy (2 Gy/f) c or m	46-50 Gy (2 Gy/f) c or m	40 Gy (2 Gy/f) c or m	None	CMF
82B Danish BCG 82b pre	SM	Axillary dissection (418)	36-50 Gy (1.8-2.2 Gy/f) o or e	36-50 Gy (1.8-2.2 Gy/f) o or m	36-50 Gy (1.8-2.2 Gy/f) o or e	None	CMF
82C Danish BCG 82c post	SM	Axillary dissection (344)	36-50 Gy (1.8-2.2 Gy/f) o or e	36-50 Gy (1.8-2.2 Gy/f) o or m	36-50 Gy (1.8-2.2 Gy/f) o or e	None	tam
82Q EOCG EST3181	MRM or RM	Axillary dissection (332)	46 Gy (2 Gy/f) c or m	46-50 Gy (2 Gy/f) c or m	46 Gy (2 Gy/f) c, m or e	None	CAF&H&tam
84A GSSG 03 Germany	Patey	Axillary sampling (159)	50 Gy (2 Gy/f) c or m	50 Gy (2 Gy/f) c or m	44 Gy (1.8 Gy/f) c or m	None	CMF
85F Nottingham	SM	Axillary sampling (77)	45 Gy (3 Gy/f) m	45 Gy (3 Gy/f) m	None	None	Premen: CMF
86C CRC, UK	NS	Unknown (71)	Various	Various	Various	Various	Postmen: tam

\* Based on the description of axillary surgery in the trial protocol or publications or on information on individual women. Women were classified as having axillary dissection if they were in a trial where the protocol required removal of axillary lymph nodes in at least levels I & II or, if individual information was available (MD Ander, 7730B, Danish BCG 82b pre, Danish BCG 82c post), resection of ≥10 nodes. In other trials, women were classified as having axillary dissection if the trial publication indicated that the median number of nodes removed was ≥10. Women with less extensive axillary surgery were classified as having axillary sampling. Adjuvant systemic therapy: AC=doxorubicin and cyclophosphamide, AF=axillary fossa, b=additional posterior boost to axilla, BCG=breast cancer group, C=cyclophosphamide, c=cell-sal-50, de=dose at depth (of nodes), F=fluorouracil, Ft=Fluorouracil, f=fraction, Gy=Gray (intended dose), H=halofetin, L=lorazepam, m=metastatic, M=metastatic, Mel=melphalan, MRM=modified radical mastectomy, RM=radical mastectomy (Halsted), RT=radiotherapy, SC=supraclavicular, SM=simple (total) mastectomy, tam=tamoxifen, unknown.

EBCTCG, Lancet 2014

## Recent Publications: Regional Nodal Irradiation



MA.20

### Internal Mammary and Medial Supraclavicular Irradiation in Breast Cancer

P.M. Poortmans, S. Collette, C. Kirkove, E. Van Limbergen, V. Budach, H. Struikmans, L. Collette, A. Fourquet, P. Maingon, M. Valli, K. De Winter, S. Marnitz, I. Barillot, L. Scandolaro, E. Vonk, C. Rodenhuis, H. Marsiglia, N. Weidner, G. van Tienhoven, C. Glanzmann, A. Kuten, R. Arriagada, H. Bartelink, and W. Van den Bogaert, for the EORTC Radiation Oncology and Breast Cancer Groups<sup>a</sup>

EORTC 22922

Whelan et al, NEJM 2015

Poortmans et al, NEJM 2015

# Regional Nodal Irradiation

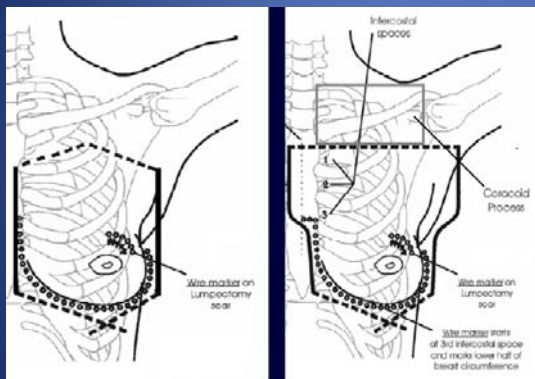
- MA.20:
  - 1832 pN+ (85% N1) or high risk N- pts (10%)
  - BCS and ALND, adjuvant systemic tx
  - WBI ± RNI
    - RNI = IMNs, SCV, ICV, ± Ax
- EORTC 22922:
  - 4000 pN+ (44% N1) or high risk N- pts (43%)
  - BCS (76%) or M and ALND, adjuvant systemic tx
  - WBI or CW ± RNI
    - RNI = IMNs, SCV, ICV, ± Ax

\* definitions of high risk N- differed as types did use of chemotherapy/endocrine therapy

Whelan et al, NEJM 2015

Poortmans et al, NEJM 2015

# MA.20 Radiation



- WBI
  - 50/25 +/- 10 Gy boost
- WBI + RNI (45/25)
  - IMNs
  - SCV/Level III

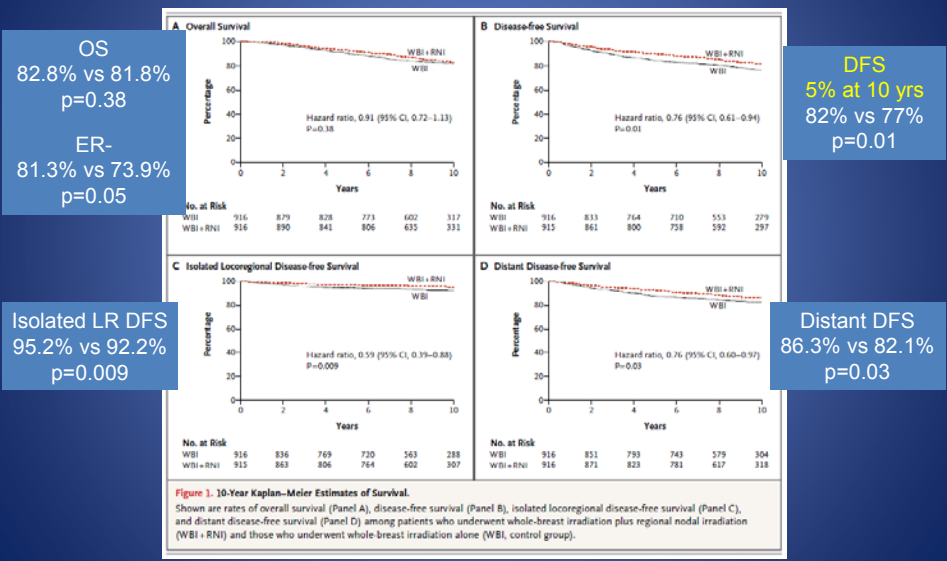
Whelan et al, NEJM 2015

# Regional Nodal Irradiation

- Results from MA 20 and EORTC 22922:
  - 10 yr median follow up
  - Primary endpoint was OS
  - RNI improved locoregional DFS, distant DFS, and death from breast cancer, but did not improve OS

Whelan et al, NEJM 2015  
Poortmans et al, NEJM 2015

## MA.20 Results



Whelan et al, NEJM 2015

How do we interpret and reconcile the differences between these studies in determining the role for regional nodal irradiation?

### Which patients need regional nodal irradiation?

- Consider whether a given study is applicable and whether an individual patient met the study eligibility.
- Assess individual risk for recurrence.
- Nomograms may be helpful.

## Which patients need regional nodal irradiation?

- Questions and answers regarding the extent of lymph node surgery (SLN Bx vs Ax LND) are not the same as question and answers regarding the need for, type of, and extent of regional nodal radiation.

## Clinical Case

48 year old premenopausal female with a cT2N1M0 (bx proven N+) left breast invasive carcinoma NST, grade 3, ER/PR negative, Her2 positive receives neoadjuvant chemotherapy with significant clinical response.

She is desirous of BCT and proceeds with WLE and SLN biopsy.



## Clinical Case

Pathology returns ypT1aN1a(sn) with 4 mm residual disease, associated DCIS, negative margins, and 1/2 lymph nodes positive with 3 mm involvement and no ECE.

What are your recommendations for next therapies?

## Audience Response Question

1. Axillary LND before any RT recommendation
2. Whole breast RT using high tangents with boost
3. Whole breast RT with boost + RNI to SCV, ICV and axilla, no IMNs
4. Whole breast RT with boost + RNI to SCV, ICV, axilla, and IMNs
5. Clinical trial



## Regional Nodal Irradiation Recommendations: Summary

- **≥4 LNs +**

Following either BCT or PMRT

***RT to breast +/- boost or chest wall +RNI***  
(category 1)

## Regional Nodal Irradiation Recommendations: Summary

- **1-3 LNs +**

BCT

– RT to breast +/- boost (category 1)

– ***Strongly consider RNI***

PMRT

– ***Strongly consider RT to chest wall +/-  
boost and RNI***

## Regional Nodal Irradiation Recommendations: Summary

- Which nodal volumes treated?
  - SCV
  - ICV
  - Axillary bed at risk
  - IMNs
- Attention to normal tissue dose constraints
  - In particular heart and lung

## Areas of Ongoing Study

- Concomitant boost with hypofractionation
  - RTOG 1005
- cN+ disease receiving neoadjuvant chemotherapy
  - Extent of axillary surgery and/or radiation
  - SLN bx negative → NSABP B51 / RTOG 1304
  - SLN bx positive → Alliance A011202
- Hypofractionation for nodal RT / PMRT?
- Use of biologic parameters to guide local therapy options

## cN1 → ypN0(sn) Extent of RNI: NSABP B51 / RTOG 1304

A Randomized Phase III Clinical Trial Evaluating Post-Mastectomy Chest Wall and Regional Nodal XRT and Post-Lumpectomy Regional Nodal XRT in Patients with Positive Axillary Nodes Before Neoadjuvant Chemotherapy Who Convert to Pathologically Negative Axillary Nodes After Neoadjuvant Chemotherapy

### NSABP B-51/RTOG 1304 Trial Phase III

- Clinical T1-3N1M0 breast cancer
- Pathology positive axillary node (**FNA/Core**)
- Neoadjuvant CT ± anti HER2

**ypN0** at definitive Breast Surgery + AND or SNB

#### Randomization

##### Arm 1

#### No Regional Nodal XRT

- A. Lumpectomy: Breast XRT.
- B. Mastectomy: Observation

##### Arm 2

#### Regional Nodal XRT

- A. Lump.: Breast/Nodal XRT
- B. Mast: Chestwall/ Nodal XRT

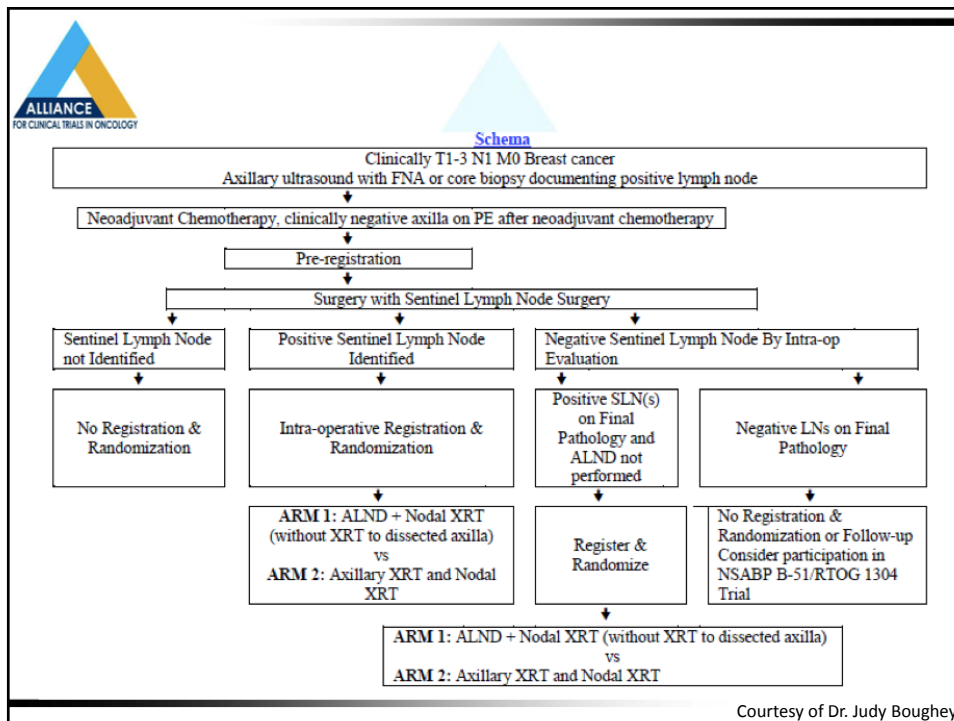
Targeted accrual = 1636

Stratification: Type of Surgery (Mast v. Lump) , ER-Status (+ v. -), HER2 Status (+ v. -), pCR in Breast (yes v. no)

Courtesy of Dr. Julia White

# cN1 → ypN+(sn) Extent of Axillary Sx: Alliance A011202

A Randomized Phase III Trial Comparing Axillary Lymph Node Dissection to Axillary Radiation in Breast Cancer Patients (cT1-3 N1) who have Positive Sentinel Lymph Node Disease After Neoadjuvant Chemotherapy



Questions?



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The banner features a green header bar at the top. Below it is a dark blue section containing the NCCN 21st Annual Conference logo, which includes the text 'NCCN' in white, '21st' in large green numbers, and 'ANNUAL CONFERENCE' in white. To the right of the logo, the text 'Advancing the Standard of Cancer Care™' is written in green. The bottom section of the banner is light blue with white wavy lines. In the bottom left corner, the NCCN logo is repeated with the text 'National Comprehensive Cancer Network®'. In the bottom right corner, the text 'NCCN.org – For Clinicians | NCCN.org/patients – For Patients' is displayed.